

Company Name: _____
U.S. Trading Symbol: _____
Address: _____
City, State, Zip: _____
Main Telephone: _____
Company Website: _____
State of Incorporation: _____

Select Services

- Real-time Level 2 Quote Display Service** **No Application Fee, \$3,000 Annual Fee**
 Sponsor Level 2 Quotes for your stock so investors can have free access to the same market data professional traders have. Broker-Dealer identifiers, bid and ask prices, and time & sales data delivered to investors on www.otcmarkets.com and on your corporate IR site – all in real-time.
- OTC Disclosure & News Service[®]** Distribute your company's news, research and financial reports to www.otcmarkets.com and other financial information portals, so that investors can analyze, value, and trade your company's stock. Select the Application Type:
 - New Application** **\$1,000 Application Fee,** **\$5,000 Annual Fee or**
 \$3,000 Semi-Annual Fee
 - Change in Control¹** **\$1,000 Application Fee** **Annual Fee N/A**

Subscribers are required to submit a new Order Form, Application Fee, board resolution verifying Change in Control and any other applicable documentation related to a change in Officers, Directors or Affiliates. Services may be suspended until OTC Markets has completed review of an Order Form following such Change in Control.

Please describe the recent corporate action: _____

¹ "Change in Control" shall mean any events resulting in:

- (i) Any "person" (as such term is used in Sections 13(d) and 14(d) of the Exchange Act) becoming the "beneficial owner" (as defined in Rule 13d-3 of the Exchange Act), directly or indirectly, of securities of the Company representing fifty percent (50%) or more of the total voting power represented by the Company's then outstanding voting securities;
- (ii) The consummation of the sale or disposition by the Company of all or substantially all of the Company's assets;
- (iii) A change in the composition of the Board occurring within a two (2)-year period, as a result of which fewer than a majority of the directors are directors immediately prior to such change; or
- (iv) The consummation of a merger or consolidation of the Company with any other corporation, other than a merger or consolidation which would result in the voting securities of the Company outstanding immediately prior thereto continuing to represent (either by remaining outstanding or by being converted into voting securities of the surviving entity or its parent) at least fifty percent (50%) of the total voting power represented by the voting securities of the Company or such surviving entity or its parent outstanding immediately after such merger or consolidation.

Data Privacy

OTC Markets Group takes the security of your information very seriously. OTC Markets Group's current, publicly-posted Privacy Statement (available at www.otcmarkets.com) applies to any personal data that is collected, stored, processed, disclosed or used by OTC Markets Group. We use the personal information collected in this form (e.g. Officer and Director information, below) to conduct identity verification and public records searches, including but not limited to, criminal and civil background checks, in order to protect the integrity of the information about your company that is publicly displayed on our websites and in our market data products. We do not share this personal information with third parties, except to perform these compliance checks or in response to an order or request from a court or governmental agency. In signing this Order Form, the company acknowledges that it is authorized to provide this information to OTC Markets Group.

Please list ALL Officers and Directors

Title	Full Legal Name	Birth Date	City, State, Country of Residence
_____	_____	_____	_____
_____	_____	_____	_____
_____	_____	_____	_____
_____	_____	_____	_____
_____	_____	_____	_____
_____	_____	_____	_____
_____	_____	_____	_____

Transfer Agent Authorization

- I have authorized the below named Transfer Agent to provide information directly to OTC Markets Group, upon its request, information related to the Company's securities, including but not limited to, shares authorized, shares issued and outstanding, and share issuance history. **Authorization is required to process this Order Form.**

Firm Name: _____
Address: _____
Contact Name: _____
Email: _____
Phone Number: _____

Review of Promotion Policy and Best Practices

- An officer of the company has reviewed [OTC Markets Group Policy on Stock Promotion](https://www.otcmarkets.com/files/OTC_Markets_Group_Policy_on_Stock_Promotion.pdf)² as well as the [Stock Promotion: Best Practices for Issuers](https://www.otcmarkets.com/files/Best_Practices_for_Issuers_Stock_Promotion.pdf)³ found on www.otcmarkets.com.

² https://www.otcmarkets.com/files/OTC_Markets_Group_Policy_on_Stock_Promotion.pdf

³ https://www.otcmarkets.com/files/Best_Practices_for_Issuers_Stock_Promotion.pdf

Authorized User(s)

Designate persons below (each an "Authorized User") to receive Company Credentials, which are required to access the OTC Disclosure & News Service and www.otciq.com. In the event an Authorized User leaves the Company or otherwise is no longer an Authorized User, the Company must notify OTC Markets Group immediately to terminate access or assign a new Authorized User. Changes to Authorized Users should be made using the **Authorized Users may not share Company Credentials.**

Authorized User 1:

Name: _____
Title/Affiliation: _____
Email: _____
Business Phone: _____
Date of Birth: _____

Authorized User 2: (Optional)

Billing Contact

All invoices and billing notices will be sent by **email** to the contact(s) listed below.

	Primary Billing Contact	Alternate Billing Contact
Name	_____	_____
Company Affiliation or Title	_____	_____
Address	_____	_____
City, State, Zip:	_____	_____
Email	_____	_____
Telephone	_____	_____

Payment Information

- All Fees are non-refundable
- All Fees are billed Annually or Semi-Annually, in advance, as indicated by your selection on page 1
- The Application Fee and first Annual Fee/Semi-Annual Fee for services must be paid upon submission of this Order Form. No services will be entitled until funds are received.

Payment Type

- ACH Payment – See ACH Payment Instructions below.
- Enclosed Check – Make checks payable to OTC Markets Group Inc. Checks must be mailed separately to our lockbox at the address below. Checks may take up to 10 days to be processed.

OTC Markets Group Inc.
PO Box 29959
New York, NY 10087-9959

- Credit Card Payment – Complete and submit the [Credit Card Authorization Form](#) ⁴

ACH Payment Instructions:

JP Morgan Chase
Money Transfer & Wire Department
4 New York Plaza
New York, NY 10004
ABA Routing Number: 021000021
Credit the Account of: OTC Markets Group Inc.
Account #: 764232302

SWIFT Code: CHASUS33

Include the following information in the Memo /Special instructions/Pay Detail of the ACH transfer:

- (1) "OTCIQ"
- (2) Company name and trading symbol
- (3) invoice number, if available

⁴ <https://www.otcmarkets.com/files/CCForm.docx>

Supplemental Application Materials

Along with the submission of this application, OTC Markets Group requests you submit your most recent shareholder list. Click on attachment link below.



If applying for services following a **Change in Control** as defined on Page 1, OTC Markets Group requests that such companies also submit a board resolution and letter of resignation for each member of management and the board of directors who have resigned. Click on attachment links below.



Additional documents may also be emailed to issuers@otcmarkets.com or mailed to OTC Markets Group, Corporate Services, 100 M Street SE, Suite 220, Washington DC 20003. We will verify your company information and provide Company Credentials and instructions to the Authorized User(s).

OTC Markets Group may request additional information or documents related to this Order Form prior to providing Company Credentials. OTC Markets Group may, in its sole and absolute discretion, determine not to provide Company Credentials as requested on this Order Form.

Access to this service does not guarantee that a company will move to the Current Information or Limited Information tier or that a Caveat Emptor designation will be removed.

Agreement

THIS AGREEMENT, dated as of the date executed by OTC Markets Group Inc., a Delaware corporation ("OTC Markets Group"), with an office at 300 Vesey Street, 12th Floor, New York, NY 10282, is by and between OTC Markets Group and the Company, as identified below (the "Company").

The Company's use of OTC Markets Group's www.otciq.com web portal ("OTCIQ") and its receipt and use of the Information provided by OTC Markets Group to subscribers of the Services selected in this Order Form, is more fully defined in, and is governed by, the OTCIQ Agreement, as amended from time to time, which, together with all attachments, appendices, addenda, cover sheets, amendments, exhibits, schedules and other materials referenced therein (collectively, the "**Agreement**"), is available at <https://www.otcmarkets.com/files/OTCIQAgreement.pdf> and is incorporated herein by reference in its entirety. Please read the Agreement carefully prior to signing below.

IN WITNESS WHEREOF, the parties hereto have caused this Agreement to be executed by their duly authorized officers.

Company

I have read and agree to the full [OTCIQ Agreement](#).

Company Name: _____

By (Signature): _____

Officer Name (Print): _____

Title (Print): _____

Email: _____

Phone: _____

Date of Birth of Signatory: _____

Date: _____

OTC Markets Group Inc.

By: _____

Name: Lisabeth Heese

Title: Executive Vice President

Date of Execution: _____