

# OTC LINK ECN – SUBSCRIPTION AGREEMENT

## SCHEDULE A – SERVICES AND FEES

### TRADING FEES – Effective January 1, 2024

<b>For securities with share price greater than or equal to \$1.00</b>	
Liquidity Type	(Rebate) / Fee
Monthly executed shares adding liquidity Between 1 and 999,999	(0.0005)
Monthly executed shares adding liquidity Between 1,000,000 and 4,999,999	(0.0011)
Monthly executed shares adding liquidity Between 5,000,000 and 9,999,999	(0.0016)
Monthly executed shares adding liquidity Between 10,000,000 and 14,999,999	(0.0018)
Monthly executed shares adding liquidity Greater than or equal to 15,000,000	(0.0026)
Executed Shares adding liquidity Fully Hidden / Midpoint orders	(0.0005)
Executed Removing Liquidity	0.0029
Executed Routed to OTC Link ATS	0.0038
Executed Routed to other than OTC Link	0.004

<b>For securities with share price less than \$1.00 and greater than or equal to \$0.01</b>	
Liquidity Type	(Rebate) / Fee
Executed Adding Liquidity	None
Executed Removing Liquidity	0.00003
Executed Routed to OTC Link ATS	0.00008
Executed Routed to other than OTC Link	0.00008

<b>For securities with share price less than \$0.01</b>	
Liquidity Type	(Rebate) / Fee
Executed Adding Liquidity	None
Executed Removing Liquidity	0.3% of Notional
Executed Routed to OTC Link ATS	0.8% of Notional
Executed Routed to other than OTC Link	0.8% of Notional

<b>Enhanced Liquidity Routing</b>	
Executed Routed >= \$1.00	.003
Executed Routed < \$1.00	.00003

<b>Connectivity Fees</b>	
NY4 - 1 Gig Physical Connectivity	\$1,000 per Month
NY4 – 10 Gig Physical Connectivity	\$3,000 per Month
Port Charges (per port)	\$200 per Month

Above terms and prices may be changed by OTC Link with five (5) days prior notice.

<b>Minimum order size for display of OTC Equity Securities (in accordance with FINRA Rule 6433)</b>	
<b>Price (Bid / Offer)</b>	<b>Minimum Order Size</b>
0.0001 – 0.0999	10,000
0.10 – 0.1999	5,000
0.20 – 0.5099	2,500
0.51 – 0.9999	1,000
1.00 – 174.99	100
>=175.00	1

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