

Data Offerings

FILING DATA

Disclosure filing information for SEC reporting issuers.

FINANCIAL DATA

Financial information (e.g. Balance Sheet, Income Statement data) for SEC and OTC reporting issuers.

OWNERSHIP (13F) DATA

Investment Company portfolio ownership information.

INSIDER DATA

Insider activity data for SEC reporting issuers.

IPO/SPO DATA

Transaction data for Initial and Follow-on Offerings.

CIK MAPPING

CIK (SEC identifier) mapping to security (Symbol) information.

Filings Data

SEC filings available in multiple formats and delivery options (e.g. APIs) that allow easily integrate into existing workflows and with customized alerts for new reports.

COVERAGE	SEC Filings
SEC FILING TYPE	<ul style="list-style-type: none"> • Quarterly: 10-Qs • US Annual: 10-Ks • Non-US Domiciled Annual: 20-Fs, 40-Fs • Registrations Statements: F-1s, S-1s, S-2s, S-3s, SB-1s 424Bs • Current Event: 8-Ks, 6-Ks • Insider Filings: Forms 3, 4, 5 • Proxies: DEF 14As • All other SEC Form Types submitted
FILE FORMATS	HTML, PDF, RTF (Word), Converted Text, XBRL (if filed by the company)
DELIVERY	EDGAR Pro+ and EDGAR Pro Desktop Applications Explorer API Data Feeds FTP
HISTORY	1994 to present

9,400

FILERS IN THE
PAST 12 MONTHS

48,465

TOTAL NUMBER
OF FILERS
SINCE 1994

15.8M

TOTAL NUMBER
OF FILINGS
SINCE 1994



Financial Data

Structured, comprehensive, comparable financial data found in SEC and OTC disclosure delivered in easy to use API or flat file format.

COVERAGE	SEC Filings and OTC Filings	
FORM TYPES	SEC Filings: <ul style="list-style-type: none"> • Quarterly • US Annual • Non-US Annual • Registrations • Current Event 	OTC Filings: <ul style="list-style-type: none"> • Annual • Semi-Annual/Interim • Quarterly
DATA FIELDS/CONTENT	Financial statements: <ul style="list-style-type: none"> • Balance Sheet • Income Statement • Cash Flow Special ‘One-off’ items: <ul style="list-style-type: none"> • Cover Sheet & Cover Sheet Declarations (Seasoned Issuer, Not Required To File Reports, Has Filed All Reports, Delinquent Filers, Accelerated Filer and Shell Company) • Cover Sheet TSO (CSTSO) & Balance Sheet TSO (BSTSO) • Auditor Opinion (If Financials are Audited) 	
DELIVERY	EDGAR Pro+ and EDGAR Pro Desktop Applications Explorer API, DataFied API Data Files	
HISTORY	1999 to present for SEC filings 2012 to present for OTC filings	

12,300

ACTIVE LISTED
AND OTC TICKERS

1,300

NON-SEC REPORTING
ISSUERS



Ownership (13F) Data

Data from 13F filings translated into accessible formats providing a comprehensive picture of investment company portfolio ownership information.

COVERAGE	US Exchange Listed Securities
FORM TYPES	Form 13F and associated amendments
DATA FIELDS/CONTENT	For a class of securities: <ul style="list-style-type: none"> • Issuer Name • Class of Securities (e.g. Common stock, Class A shares, Put/Call option) • CUSIP Number • Market Value • Amount (Number of shares or principal amount) • Type of Security (Shares, Principal amount, Put/Call options) • Investment Discretion (Sole, Defined, Other) • Voting Authority (Sole, Shared, None)
DELIVERY	EDGAR Pro+ and EDGAR Pro Desktop Applications Explorer API, DataFied API Data Feeds
HISTORY	Q3 1999 to present

9,000

ACTIVE 13F
FILERS

36,200

FILINGS IN PAST
12 MONTHS

16,200

TOTAL NUMBER
OF FILERS

478,000

TOTAL NUMBER
OF FILINGS



Insider Data

A complete picture of insider trading activity based on filings made by officers, directors, and significant shareholders with tools to easily monitor and track insider activity.

COVERAGE	SEC Reporting Companies
FORM TYPES	SEC Form 3,4,5 and associated amendments
DATA FIELDS/CONTENT	<ul style="list-style-type: none">• Date of Transaction• Price of Transacted Shares• Number of Shares Transacted• Shares Held After Transaction• Direct or Indirect Ownership
DELIVERY	EDGAR Pro+ and EDGAR Pro Desktop Applications Explorer API, DataFied API Data Feeds
HISTORY	May 2003 to present

68,000

FILERS IN PAST
12 MONTHS

202,800

FILINGS IN PAST
12 MONTHS

294,000

TOTAL NUMBER
OF FILERS

4.4M

TOTAL NUMBER
OF FILINGS



IPO/SPO Data

Key data points on deal status, offering information and underwriters extracted from IPO and SPO registration statements and prospectuses. More than 20 years of deal data available in easy to access and use formats.

COVERAGE	NYSE, NASDAQ, OTC
FORM TYPES	S-1, S-3, F-1, 424B, 1-A, 253G and associated amendments for Equity offerings
DATA FIELDS/CONTENT	<div> Deal information: <ul style="list-style-type: none"> Deal Status (Filed, Priced, Withdrawn) </div> <div> Company information: <ul style="list-style-type: none"> Company Name Address State of Incorporation Market Exchange Ticker Symbol CEO Name URL </div> <div> Share Offering information: <ul style="list-style-type: none"> Shares Offered Share Price Shares over Allotment Shares Outstanding Shares After Offering Employee Count Financial Data: total assets, liabilities, stockholders equity, revenue, and net income </div> <div> Deal advisors: <ul style="list-style-type: none"> Underwriter Names Company Counsel Names Underwrite Counsel Names Auditor Transfer Agent Fees Section (Total expenses of the offering (e.g. registration fee, printing expenses)) </div> <div> Additional information: <ul style="list-style-type: none"> Business Company Competition Use of Proceeds Data </div>
DELIVERY	EDGAR Pro+ and EDGAR Pro Desktop Applications Data Feeds
HISTORY	IPO data: January 1997 to present SPO data: January 2000 to present

CIK Mapping

Ensure accurate association of disclosure to tradeable securities with our SEC CIK (Central Index Key) symbol mapping data.

COVERAGE	NYSE, Nasdaq and OTC Markets Securities
DATA FIELDS/CONTENT	<ul style="list-style-type: none">• Ticker Symbol• Company Name• Issuer Description• CIK• SIC Code• Market Operator• Market Tier
FILE FORMATS	ASCII text
DELIVERY	File Delivery via Secure FTP Explorer API

