

## OTC Pink® Basic Disclosure Guidelines

Federal securities laws, such as Rules 10b-5 and 15c2-11 of the Securities Exchange Act of 1934 ("Exchange Act") as well as Rule 144 of the Securities Act of 1933 ("Securities Act"), and state Blue Sky laws, require issuers to provide *adequate current information* to the public markets. With a view to encouraging compliance with these laws, OTC Markets Group has created these OTC Pink Basic Disclosure Guidelines. We use the basic disclosure information provided by OTC Pink companies under these guidelines to designate the appropriate tier in the OTC Pink marketplace: Current, Limited or No Information. OTC Markets Group may require companies with securities designated as Caveat Emptor to make additional disclosures in order to qualify for OTC Pink Current Information tier.

### Qualifications for the OTC Pink - Current Information Tier

Companies that make the information described below publicly available on a timely basis (90 days after fiscal year end for Annual Reports; 45 days after each fiscal quarter end for Quarterly Reports) qualify for the Current Information Tier. Financial reports must be prepared according to U.S. GAAP or IFRS, but are *not required to be audited* to qualify for the OTC Pink Current Information tier.

#### Initial Qualification:

1. Subscribe to the [OTC Disclosure & News Service](#) on [www.OTCIQ.com](http://www.OTCIQ.com) to publish your financial reports and material news.
2. Create the following documents, save them in PDF format and upload them via [www.OTCIQ.com](http://www.OTCIQ.com):
  - Annual Financial statements (Document must include: Balance Sheet, Income Statement, Statement of Cash Flows, Notes to Financial Statements) for the previous two fiscal years. If these reports are audited, please attach the audit letter from the [PCAOB](#) registered audit firm. Each year's Annual Financial statements should be posted separately under the report type "Annual Report" in OTCIQ.
  - Any subsequent Quarterly Reports since the most recent Annual Report.
  - The most recent fiscal period end report should also include information in accordance with these OTC Pink Basic Disclosure Guidelines; use the fillable form beginning on page 3.
3. If financial reports are not audited by a [PCAOB](#) registered audit firm:
  - Submit a signed Attorney Letter Agreement (first two pages of the [Attorney Letter Guidelines](#)).
  - After following the appropriate procedures with a qualified attorney, upload an Attorney Letter complying with [Attorney Letter Guidelines](#) through your [otciq.com](http://otciq.com) account.

#### Ongoing Qualification:

1. **For each Fiscal Quarter End**, upload a Quarterly Report via [www.OTCIQ.com](http://www.OTCIQ.com) within **45 days** of the quarter end. (A separate quarterly report is not required for the 4<sup>th</sup> quarter.) The Quarterly Report should include:
  - Information in accordance with these OTC Pink Basic Disclosure Guidelines -- use the fillable form beginning on page 3.
  - Quarterly financial statements (Balance Sheet, Income Statement, Statement of Cash Flows, Notes to Financial Statements).
  - No Audit Letter or Attorney Letter is required.
2. **For each Fiscal Year End**, upload an Annual Report within **90 days** of the fiscal year end. The Annual Report should include:
  - Information in accordance with these OTC Pink Basic Disclosure Guidelines -- use the fillable form beginning on page 3.
  - Annual financial statements (Balance Sheet, Income Statement, Statement of Cash Flows, Notes to Financial Statements, and Audit Letter, if the financial statements are audited).
3. If financial reports are not audited by a [PCAOB](#) registered audit firm, upload an Attorney Letter via [www.OTCIQ.com](http://www.OTCIQ.com) complying with the [Attorney Letter Guidelines](#) within **120 days** of the fiscal year end.

### Qualifications for the OTC Pink - Limited Information Tier

Companies that make the information described below publicly available within the prior 6 months qualify for the Limited Information Tier.

1. Subscribe to the [OTC Disclosure & News Service](http://www.OTCIQ.com) on [www.OTCIQ.com](http://www.OTCIQ.com) to publish your financial reports and material news.
2. Create a Quarterly Report or Annual Report for a fiscal period ended within the previous 6 months, save it in PDF format and upload it via [www.OTCIQ.com](http://www.OTCIQ.com). The Quarterly Report or Annual Report includes:
  - Balance Sheet, Income Statement, and Total Number of Issued and Outstanding Shares. Financial statements must be prepared in accordance with US GAAP, but are not required to be audited. (Please note that Cash Flow Statements are not required to qualify for the Limited Information tier; however, unless the financial statements include a Cash Flow Statement, no financial data will be included in the OTC Financials Data Service, which distributes company financial data to online investor portals and makes the data available on your company's Financials tab on [www.otcm Markets.com](http://www.otcm Markets.com))
  - A company in the Limited Information tier, may, but is not required to, include information in accordance with these OTC Pink Basic Disclosure Guidelines using the fillable form beginning on page 3.

### **Current Reporting of Material Corporate Events**

OTC Markets Group encourages companies to make public disclosure available regarding corporate events that may be material to the issuer and its securities. Persons with knowledge of such events would be considered to be in possession of material nonpublic information and may not buy or sell the issuer's securities until or unless such information is made public. If not included in the issuer's previous public disclosure documents or if any of the following events occur after the publication of such disclosure documents, the issuer shall publicly disclose such events by disseminating a news release within 4 business days following their occurrence, and posting such news release through the OTC Disclosure & News Service.

Material corporate events include:

- Entry or Termination of a Material Definitive Agreement
- Completion of Acquisition or Disposition of Assets, Including but not Limited to mergers
- Creation of a Direct Financial Obligation or an Obligation under an Off-Balance Sheet Arrangement of an Issuer
- Triggering Events That Accelerate or Increase a Direct Financial Obligation or an Obligation under an Off-Balance Sheet Arrangement
- Costs Associated with Exit or Disposal Activities
- Material Impairments
- Sales of Equity Securities
- Material Modification to Rights of Security Holders
- Changes in Issuer's Certifying Accountant
- Non-Reliance on Previously Issued Financial Statements or a Related Audit Report or Completed Interim Review
- Changes in Control of Issuer
- Departure of Directors or Principal Officers; Election of Directors; Appointment of Principal Officers
- Amendments to Articles of Incorporation or Bylaws; Change in Fiscal Year
- Amendments to the Issuer's Code of Ethics, or Waiver of a Provision of the Code of Ethics
- Other events the issuer considers to be of importance

## OTC Pink Basic Disclosure Guidelines

### 1) Name of the issuer and its predecessors (if any)

In answering this item, please also provide any names used by predecessor entities in the past five years and the dates of the name changes.

Since May 19<sup>th</sup> 2011 UMAX Group Corp has always been UMAX Group Corp

### 2) Address of the issuer's principal executive offices

#### Company Headquarters

Address 1: 2764 N Green Valley Pkwy Suite 300

Address: Henderson NV 89104

Address 3:

Phone: 702 628 0211

Email: info@umaxcorp.com

Website(s): www.umaxcorp.com

#### IR Contact

Address 1:

Address 2: \_\_\_\_\_ None

Address 3:

Phone:

Email:

Website(s):

### 3) Security Information

Trading Symbol: UMAX

Exact title and class of securities outstanding:

CUSIP: 904197100

Par or Stated Value: \$0.001

Total shares authorized :2,000,000,000 as of: 11-4-2016

Total shares outstanding: 80,355 as of: (11/4/2016)

Additional class of securities (if necessary): class A & B Preferred

Trading Symbol: UMAX

Exact title and class of securities outstanding: A & B Class Preferred

CUSIP:

Par or Stated Value: 0.0001

Total shares authorized: 150,000,000 as of: 11/4/2016

Total shares outstanding: 6,630,000 as of: 11/4/2016 B Class

Total shares outstanding 40,000,000 as of: 11/4/2016 A Class

#### Transfer Agent

Name: Island Stock Transfer

Address 1: 15500 Roosevelt Boulevard, Suite 301

Address 2: Clearwater FL 33760

Address 3: \_\_\_\_\_

Phone: 972 289 0010

Is the Transfer Agent registered under the Exchange Act?\*

Yes: X No:

\*To be included in the OTC Pink Current Information tier, the transfer agent must be registered under the Exchange Act.

List any restrictions on the transfer of security:

None

Describe any trading suspension orders issued by the SEC in the past 12 months.

None

List any stock split, stock dividend, recapitalization, merger, acquisition, spin-off, or reorganization either currently anticipated or that occurred within the past 12 months:

None

#### **4) Issuance History**

List below any events, in chronological order, that resulted in changes in total shares outstanding by the issuer in the past two fiscal years and any interim period. The list shall include all offerings of equity securities, including debt convertible into equity securities, whether private or public, and all shares or any other securities or options to acquire such securities issued for services, describing (1) the securities, (2) the persons or entities to whom such securities were issued and (3) the services provided by such persons or entities. The list shall indicate: See Attached List

A. The nature of each offering (e.g., Securities Act Rule 506, intrastate, etc.);

B Class Preferred Shares

B. Any jurisdictions where the offering was registered or qualified;

NV, MO.

C. The number of shares offered;

50,000,000 B Class Shares @ \$0.10 per share

D. The number of shares sold;

2,200,000 Shares

E. The price at which the shares were offered, and the amount actually paid to the issuer;

B Class Preferred \$ 0.10 Per Share a total of \$220,000 during this quarter

F. The trading status of the shares; and

Restricted

G. Whether the certificates or other documents that evidence the shares contain a legend (1) stating that the shares have not been registered under the Securities Act and (2) setting forth or referring to the restrictions on transferability and sale of the shares under the Securities Act.

All with Standard Legends

## 5) Financial Statements

Provide the financial statements described below for the most recent fiscal year end or quarter end to maintain qualification for the OTC Pink Current Information tier. For the initial disclosure statement (qualifying for Current Information for the first time) please provide reports for the two previous fiscal years and any interim periods.

- A. Balance sheet;
- B. Statement of income;
- C. Statement of cash flows;
- D. Financial notes; and
- E. Audit letter, if audited N/A

The financial statements requested pursuant to this item shall be prepared in accordance with US GAAP by persons with sufficient financial skills.

You may either (i) attach/append the financial statements to this disclosure statement or (ii) post such financial statements through the OTC Disclosure & News Service as a separate report using the appropriate report name for the applicable period end. ("Annual Report," "Quarterly Report" or "Interim Report").

If you choose to publish the financial reports separately as described in part (ii) above, you must state in the accompanying disclosure statement that such financial statements are incorporated by reference. You may reference the document(s) containing the required financial statements by indicating the document name, period end date, and the date that it was posted to otcq.com in the field below.

### Quarterly Report October 31 2016

Information contained in a Financial Report is considered current until the due date for the subsequent Financial Report. To remain in the OTC Pink Current Information tier, a company must post its Annual Report within 90 days from its fiscal year-end date and Quarterly Reports within 45 days of its fiscal quarter-end date.

## 6) Describe the Issuer's Business, Products and Services

Describe the issuer's business so a potential investor can clearly understand the company. In answering this item, please include the following:

- A. a description of the issuer's business operations;

### Business Description

UMAX is an emerging company looking to build green housing/sub divisions incorporating both technology and agricultural aspects of local community sustainability community projects as well as mining of mineral and rare earths. UMAX is researching and developing net zero homes with green energy utilizing wind and solar components in its future developments. Where possible hydroponics and green houses will be an aspect of the home developments encouraging home grown organic produce within the communities. The CEO an ex CEO of a solar and wind company believes that it is important to merge technology with general construction concepts. At the same time bringing awareness to home owners on the benefits of green living, not just energy but general health of home grown food, herbs and plants. The houses will have a build in green houses where possible. This in turn will bring the companies true vision into reality. To trim the costs of the construction and designs, UMAX intends to eventually create jobs by manufacturing some of the components in the USA or at least buying materials and components manufactured in the USA, hence job creation both vertical and horizontal integration of the work force involved.

- B. Date and State (or Jurisdiction) of Incorporation:

May 19<sup>th</sup> 2011 Nevada

C. the issuer's primary and secondary SIC Codes;

5099 Solar Panels / Construction/ IT Developemnt

D. the issuer's fiscal year end date;

April 30<sup>th</sup> 2016

E. principal products or services, and their markets;

## 7) Describe the Issuer's Facilities

The goal of this section is to provide a potential investor with a clear understanding of all assets, properties or facilities owned, used or leased by the issuer.

In responding to this item, please clearly describe the assets, properties or facilities of the issuer, give the location of the principal plants and other property of the issuer and describe the condition of the properties. If the issuer does not have complete ownership or control of the property (for example, if others also own the property or if there is a mortgage on the property), describe the limitations on the ownership.

If the issuer leases any assets, properties or facilities, clearly describe them as above and the terms of their leases.

UMAX Group Corp  
2764 N Green Valley Pkwy Suite 300  
Henderson NV 89104

The company does not own or lease any property: Lease

## 8) Officers, Directors, and Control Persons

The goal of this section is to provide an investor with a clear understanding of the identity of all the persons or entities that are involved in managing, controlling or advising the operations, business development and disclosure of the issuer, as well as the identity of any significant shareholders.

A. Names of Officers, Directors, and Control Persons. In responding to this item, please provide the names of each of the issuer's executive officers, directors, general partners and control persons (control persons are beneficial owners of more than five percent (5%) of any class of the issuer's equity securities), as of the date of this information statement.

Ian Dixon: Director CEO: 30,000 Common & 40,000,000 Control Preferred A Class (See Ledgers)

B. Legal/Disciplinary History. Please identify whether any of the foregoing persons have, in the last five years, been the subject of:

1. A conviction in a criminal proceeding or named as a defendant in a pending criminal proceeding (excluding traffic violations and other minor offenses);

None

2. The entry of an order, judgment, or decree, not subsequently reversed, suspended or vacated, by a court of competent jurisdiction that permanently or temporarily enjoined, barred, suspended or otherwise limited such person's involvement in any type of business, securities, commodities, or banking activities;

None

3. A finding or judgment by a court of competent jurisdiction (in a civil action), the Securities and Exchange Commission, the Commodity Futures Trading Commission, or a state securities regulator of a violation of federal or state securities or commodities law, which finding or judgment has not been reversed, suspended, or vacated; or

None

4. The entry of an order by a self-regulatory organization that permanently or temporarily barred suspended or otherwise limited such person's involvement in any type of business or securities activities.

None

- C. Beneficial Shareholders. Provide a list of the name, address and shareholdings or the percentage of shares owned by all persons beneficially owning more than ten percent (10%) of any class of the issuer's equity securities. If any of the beneficial shareholders are corporate shareholders, provide the name and address of the person(s) owning or controlling such corporate shareholders and the resident agents of the corporate shareholders address.

Ian Dixon Director  
10175 Spencer St 2081  
Las Vegas NV 89183

#### 9) **Third Party Providers**

Please provide the name, address, telephone number, and email address of each of the following outside providers that advise your company on matters relating to operations, business development and disclosure:

##### Legal Counsel

Name: Ben Bunker  
Firm: The Ben Bunker Law Group PLLC  
Address 1: 3753 Howard Hughes Parkway Suite 200  
Address 2: Las Vegas NV 89169  
Phone: 702 784 5990  
Email: benbunker@bunkerlawgroup.com

##### Accountant/Book Keeping

Name: Charles Grigsby CFO/CPA,ABV/CFF,CVA,ABAR,MAFF,CFE,CPCM,CMAP  
Firm: Grigsby Forensic & Valuation  
Address 1: 8020 W Sahara Ave , 205  
Address 2: Las Vegas NV 89117  
Phone: 702 240 7717  
Email: grigsbyvaluation@gmail.com

##### Investor Relations Consultant

Name: None  
Firm: \_\_\_\_\_  
Address 1: \_\_\_\_\_  
Address 2: \_\_\_\_\_  
Phone: \_\_\_\_\_  
Email: \_\_\_\_\_

Other Advisor: Any other advisor(s) that assisted, advised, prepared or provided information with respect to this disclosure statement.

Name: None  
Firm: \_\_\_\_\_  
Address 1: \_\_\_\_\_

Address 2: \_\_\_\_\_

Phone: \_\_\_\_\_

Email: \_\_\_\_\_

#### **10) Issuer Certification**

The issuer shall include certifications by the chief executive officer and chief financial officer of the issuer (or any other persons with different titles, but having the same responsibilities).

The certifications shall follow the format below:

I, Ian Dixon certify that:

1. I have reviewed all the financial report since my nomination
2. Based on my knowledge, this disclosure statement does not contain any untrue statement of a material fact or omit to state a material fact necessary to make the statements made, in light of the circumstances under which such statements were made, not misleading with respect to the period covered by this disclosure statement; and
3. Based on my knowledge, the financial statements, and other financial information included or incorporated by reference in this disclosure statement, fairly present in all material respects the financial condition, results of operations and cash flows of the issuer as of, and for, the periods presented in this disclosure statement.

Dated 11/4/16

CEO / Director

Ian Dixon

A handwritten signature in black ink, appearing to read 'Ian Dixon', is written over a horizontal line. The signature is stylized and cursive.

**UMAX GROUP CORP**  
**BALANCE SHEET AS OF OCTOBER 31, 2016**

**ASSETS**

Current Assets:  
Cash-in-Bank \$111,506  
Total Current Assets \$111,506

Fixed Assets:  
Computer Equipment 964  
Total Fixed Assets 964

Other Assets:  
Investment-Rockstar Mining Corp 88,429  
Total Other Assets 88,429

**TOTAL ASSETS** 200,899

**LIABILITIES & STOCKHOLDERS' EQUITY**

Long-term Debt:  
Related Party Note 66,640  
Total Long-term Debt 66,640

Stockholders' Equity:  
Common Stock 175,460  
Preferred Stock Class B 230,000  
Preferred Stock Class C 2,500  
Additional Paid-in-Capital 154,565  
Retained Earnings -410,463  
Current Period Net (Loss) -17,803  
Total Stockholders' Equity 134,259

**Total Liabilities & Stockholders'Equity** \$200,899

**UMAX GROUP CORP**  
**STATEMENT OF SOURCES AND USES OF FUNDS**

Net (Loss) for Period:	-	\$17,803
Changes in Components of Working Capital:		
(Decrease) in OTC Filing Fees Due	-	<u>2,100</u>
Cash (used) in Operating Activity		-\$19,903
Investment Activity:		
(Increase) in Fixed Assets	-	553
(Increase) in Investment in Rockstar Mining Corp	-	88,415
Increase in Class B Preferred Stock		<u>220,000</u>
Total Investment Activity		<u>131,032</u>
Total Cash Provided for During Period		<u>111,129</u>
Cash-in-bank August 1, 2016		<u>377</u>
Cash-in-bank October 31, 2016		<u>111,506</u>

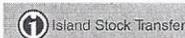
**UMAX GROUP CORP**  
**STATEMENT OF REVENUE AND EXPENSE FOR THE**  
**THREE MONTH PERIOD ENDED OCTOBER 31, 2016**

<b>REVENUE</b>		
REIMBURSEMENT OF EXPENSES		\$1,500
<b>ADMINISTRATIVE EXPENSES</b>		
TRAVEL	\$1,012	
BANK CHARGES	89	
ADMINISTRATION FEES	14,000	
COMPUTER & INTERNET COSTS	108	
LEGAL FEES	300	
MEALS & ENTERTAINMENT	560	
SUNDRY EXPENSE	50	
OFFICE SUPPLIES	850	
POSTAGE & DELIVERY	234	
PROFESSIONAL FEES-REG A	<u>2,100</u>	
<b>TOTAL ADMINISTRATIVE EXPENSES</b>		<u>19,303</u>
<b>NET (LOSS) FOR PERIOD</b>		<u><u>-\$17,803</u></u>

# CERTIFIED SHAREHOLDER LIST

## ISLAND STOCK TRANSFER

Effective: 11/4/16

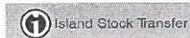


Issue: UMAX GROUP CORP		Abbrev: UMAX		Cusip: 904197209	
Account/TIN	Name & Address			Shares Held	
35	GLORIA ABAT 116 DOUGLAS DALE PT. S.E. CALGARY AB T2Z 3B4 CANADA			2	0.002 %
Certificate # / Book	Issue Date	Cancel Date	Denomination	Restricted	
RS.1078	09/20/2016		1	REST - RESTRICTED	
RS.1127	09/20/2016		1	REST - RESTRICTED	
			TOTAL ACTIVE SHARES	2 *	
			TOTAL RESTRICTED SHARES	2 *	
75	GEORGE APANEL 2736 HAVANA ST DENVER CO 80238			1	0.001 %
Certificate # / Book	Issue Date	Cancel Date	Denomination	Restricted	
RS.1125	09/20/2016		1	REST - RESTRICTED	
			TOTAL ACTIVE SHARES	1 *	
			TOTAL RESTRICTED SHARES	1 *	
74	PERLITA ARASAKI 1626 EVERGREEN DR CALGARY AB T2Y 3B7 CANADA			1	0.001 %
Certificate # / Book	Issue Date	Cancel Date	Denomination	Restricted	
RS.1124	09/20/2016		1	REST - RESTRICTED	
			TOTAL ACTIVE SHARES	1 *	
			TOTAL RESTRICTED SHARES	1 *	
51	JIM ASKEW 7660 BRIGHAM DRIVE SANDY SPRINGS GA 30350			1	0.001 %
Certificate # / Book	Issue Date	Cancel Date	Denomination	Restricted	
RS.1095	09/20/2016		1	REST - RESTRICTED	
			TOTAL ACTIVE SHARES	1 *	
			TOTAL RESTRICTED SHARES	1 *	
55	ASSURE BRANDS INC 506 CORTEE JANA OXNARD CA 93030			303	0.377 %
Certificate # / Book	Issue Date	Cancel Date	Denomination	Restricted	
RS.1101	09/20/2016		303	REST - RESTRICTED	
			TOTAL ACTIVE SHARES	303 *	
			TOTAL RESTRICTED SHARES	303 *	
40	KIMBERLY AYOMA #2 GRAPEVINE IRVINE CA 92602			1	0.001 %
Certificate # / Book	Issue Date	Cancel Date	Denomination	Restricted	
RS.1084	09/20/2016		1	REST - RESTRICTED	

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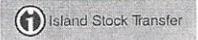


Issue: UMAX GROUP CORP		Abbrev: UMAX		Cusip: 904197209	
Account/TIN	Name & Address			Shares Held	
	KIMBERLY AYOMA			(Continued)	
	TOTAL ACTIVE SHARES			1 *	
	TOTAL RESTRICTED SHARES			1 *	
58	LARRY BRAMLET 1451 FM97 LOCKNEY TX 79241			2 0.002 %	
Certificate # / Book	Issue Date	Cancel Date	Denomination	Restricted	
RS.1108	09/20/2016		2	REST - RESTRICTED	
	TOTAL ACTIVE SHARES			2 *	
	TOTAL RESTRICTED SHARES			2 *	
39	GREG BREGANTE AND DONNA BREGANTE JTO 2725 MONTIFLORA CT. ELK GROVE CA 95624			1 0.001 %	
Certificate # / Book	Issue Date	Cancel Date	Denomination	Restricted	
RS.1083	09/20/2016		1	REST - RESTRICTED	
	TOTAL ACTIVE SHARES			1 *	
	TOTAL RESTRICTED SHARES			1 *	
73	GREG BREGANTE 8725 MONTIFLORA CT ELKGROVE CA 95624			1 0.001 %	
Certificate # / Book	Issue Date	Cancel Date	Denomination	Restricted	
RS.1123	09/20/2016		1	REST - RESTRICTED	
	TOTAL ACTIVE SHARES			1 *	
	TOTAL RESTRICTED SHARES			1 *	
65	DON BRENHOLT PO BOX 6611 LAHAMBRA CA 91802			1 0.001 %	
Certificate # / Book	Issue Date	Cancel Date	Denomination	Restricted	
RS.1115	09/20/2016		1	REST - RESTRICTED	
	TOTAL ACTIVE SHARES			1 *	
	TOTAL RESTRICTED SHARES			1 *	
50	DENNIS BRESNAN 5134 W. 127TH TERRACE LEAKWOOD KS 66209			1 0.001 %	
Certificate # / Book	Issue Date	Cancel Date	Denomination	Restricted	
RS.1094	09/20/2016		1	REST - RESTRICTED	
	TOTAL ACTIVE SHARES			1 *	
	TOTAL RESTRICTED SHARES			1 *	
80	NORMAN BUTTERMAN 5621 S FLORENCE ST GREENWOOD VILLAGE CO 80111			1 0.001 %	
Certificate # / Book	Issue Date	Cancel Date	Denomination	Restricted	
RS.1131	09/20/2016		1	REST - RESTRICTED	

# CERTIFIED SHAREHOLDER LIST

ISLAND STOCK TRANSFER

Effective: 11/4/16



Issue: UMAX GROUP CORP		Abbrev: UMAX		Cusip: 904197209	
Account/TIN	Name & Address			Shares Held	
NORMAN BUTTERMAN		(Continued)			
		TOTAL ACTIVE SHARES		1 *	
		TOTAL RESTRICTED SHARES		1 *	
82	CALEDONIAN BANK LIMITED PO BOX 1043 69 DR. ROY'S DRIVE GEORGE TOWN GRAND CAYMAN KY1-1102 CAYMAN ISLANDS			138	0.172 %
Certificate # / Book	Issue Date	Cancel Date	Denomination	Restricted	
RS.1134	09/20/2016		138		
		TOTAL ACTIVE SHARES		138 *	
28	CEDE & CO 570 WASHINGTON BLVD JERSEY CITY NJ 07310			40,109	49.915 %
Certificate # / Book	Issue Date	Cancel Date	Denomination	Restricted	
1185	10/03/2016		20,000		
1186	10/03/2016		20,000		
1187	10/03/2016		109		
* BOOK *		10/03/2016	-40,109		
* BOOK *	10/03/2016		652		
* BOOK *	10/03/2016		39,457		
		TOTAL ACTIVE SHARES		40,109 *	
41	HAZEL CHRISTIANSEN 3547 FLECK ROAD EDMORE MI 48829			1	0.001 %
Certificate # / Book	Issue Date	Cancel Date	Denomination	Restricted	
RS.1085	09/20/2016		1	REST - RESTRICTED	
		TOTAL ACTIVE SHARES		1 *	
		TOTAL RESTRICTED SHARES		1 *	
77	HOWARD B CHRISTY JR 2030 SOUTHGATE BLVD HOUSTON TX 77030			1	0.001 %
Certificate # / Book	Issue Date	Cancel Date	Denomination	Restricted	
RS.1156	09/20/2016		1		
		TOTAL ACTIVE SHARES		1 *	
88	JIM CROW 6208 CARDINAL ST VENTURA CA 93003			1,250	1.556 %
Certificate # / Book	Issue Date	Cancel Date	Denomination	Restricted	
RS.1144	09/20/2016		1,250		
		TOTAL ACTIVE SHARES		1,250 *	

# CERTIFIED SHAREHOLDER LIST

## ISLAND STOCK TRANSFER

Effective: 11/4/16



<b>Issue:</b> UMAX GROUP CORP		<b>Abbrev:</b> UMAX	<b>Cusip:</b> 904197209
<b>Account/TIN</b>	<b>Name &amp; Address</b>	<b>Shares Held</b>	

78	ANTHONY DEUTMEYER 23652 GOMBERT DR ANAMOSA IA 52205	2 0.002 %	
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Certificate # / Book	Issue Date	Cancel Date	Denomination	Restricted
RS.1129	09/20/2016		2	REST - RESTRICTED
TOTAL ACTIVE SHARES			2	*
TOTAL RESTRICTED SHARES			2	*

86	IAN DIXON 10175 SPENCER ST, APT 2081 LAS VEGAS NV 89183	30,000 37.334 %	
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Certificate # / Book	Issue Date	Cancel Date	Denomination	Restricted
RS.1141	09/20/2016		2,500	
RS.1152	09/20/2016		10,000	
RS.1180	09/20/2016		17,500	
TOTAL ACTIVE SHARES			30,000	*

37	DWIGHT DODY 1902 ARCADIA STREET CLINTON MO 64735	3 0.004 %	
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Certificate # / Book	Issue Date	Cancel Date	Denomination	Restricted
RS.1080	09/20/2016		1	REST - RESTRICTED
RS.1102	09/20/2016		2	REST - RESTRICTED
TOTAL ACTIVE SHARES			3	*
TOTAL RESTRICTED SHARES			3	*

63	DAVID FREELAND 361 LAUREL BRANCH ROAD MAGGIE VALLEY NC 28751	1 0.001 %	
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Certificate # / Book	Issue Date	Cancel Date	Denomination	Restricted
RS.1113	09/20/2016		1	REST - RESTRICTED
TOTAL ACTIVE SHARES			1	*
TOTAL RESTRICTED SHARES			1	*

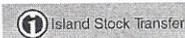
22	MARIA TERESA AGUILAR GAONA C. CUITZEO 117B COL CHAPALITA GUANAJUATO LEON 37340 MEXICO	80 0.100 %	
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Certificate # / Book	Issue Date	Cancel Date	Denomination	Restricted
RS.1021	09/20/2016		2	
RS.1029	09/20/2016		78	
TOTAL ACTIVE SHARES			80	*

# CERTIFIED SHAREHOLDER LIST

ISLAND STOCK TRANSFER

Effective: 11/4/16



Issue: UMAX GROUP CORP	Abbrev: UMAX	Cusip: 904197209
Account/TIN	Name & Address	Shares Held

16	RODOLFO GONZALEZ GARVEY CTO PALMA REAL 416 FRACC EL PALMAR GUANAJUATO LEON 37179 MEXICO	120 0.149 %
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Certificate # / Book	Issue Date	Cancel Date	Denomination	Restricted
RS.1015	09/20/2016		3	
RS.1030	09/20/2016		117	
<b>TOTAL ACTIVE SHARES</b>			120	*

45	TED GASIROWSKI 655 HAPPY HOURSE LN MILFORD OH 45150	2 0.002 %
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Certificate # / Book	Issue Date	Cancel Date	Denomination	Restricted
RS.1089	09/20/2016		1	REST - RESTRICTED
RS.1106	09/20/2016		1	REST - RESTRICTED
<b>TOTAL ACTIVE SHARES</b>			2	*
<b>TOTAL RESTRICTED SHARES</b>			2	*

89	BRADLEY MARC GILL 564 WEST 2150 SOUTH SYRACUSE UT 84075	2,500 3.111 %
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Certificate # / Book	Issue Date	Cancel Date	Denomination	Restricted
RS.1146	09/20/2016		2,500	
<b>TOTAL ACTIVE SHARES</b>			2,500	*

71	GERRY GOTTSSELIG 10200 E DRY CREEK RD 8-401 ANGLEWOOD CA 80112	1 0.001 %
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Certificate # / Book	Issue Date	Cancel Date	Denomination	Restricted
RS.1121	09/20/2016		1	REST - RESTRICTED
<b>TOTAL ACTIVE SHARES</b>			1	*
<b>TOTAL RESTRICTED SHARES</b>			1	*

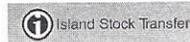
29	GREENLAND CAPITAL, INC. 4435 MCKINNEY AVENUE DALLAS TX 75205	27 0.034 %
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Certificate # / Book	Issue Date	Cancel Date	Denomination	Restricted
RS.1057	09/20/2016		3	
RS.1058	09/20/2016		3	
RS.1059	09/20/2016		3	
RS.1060	09/20/2016		3	
RS.1061	09/20/2016		3	
RS.1062	09/20/2016		3	
RS.1063	09/20/2016		3	
RS.1064	09/20/2016		3	

# CERTIFIED SHAREHOLDER LIST

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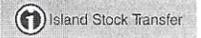


Issue: UMAX GROUP CORP		Abbrev: UMAX	Cusip: 904197209
Account/TIN	Name & Address	Shares Held	
GREENLAND CAPITAL, INC.		(Continued)	
RS.1066	09/20/2016	1	
RS.1067	09/20/2016	1	
RS.1068	09/20/2016	1	
<b>TOTAL ACTIVE SHARES</b>		<b>27 *</b>	
33	GRUPO AZORE, S.A. PUNTA PAITILLA, AVENIDA ITALIA PRIMER PISO, OFICINA 5 PANAMA CITY PANAMA		939 1.169 %
<b>Certificate # / Book</b>	<b>Issue Date</b>	<b>Cancel Date</b>	<b>Denomination Restricted</b>
RS.1076	09/20/2016		939
<b>TOTAL ACTIVE SHARES</b>			<b>939 *</b>
72	PERRY HESTON GAINES RANCH LOOP APT 415 AUSTIN TX 78735		1 0.001 %
<b>Certificate # / Book</b>	<b>Issue Date</b>	<b>Cancel Date</b>	<b>Denomination Restricted</b>
RS.1122	09/20/2016		1 REST - RESTRICTED
<b>TOTAL ACTIVE SHARES</b>			<b>1 *</b>
<b>TOTAL RESTRICTED SHARES</b>			<b>1 *</b>
61	JAMES HINKLE 402 JUMPIER ST SAN ANTONIO TX 78223		1 0.001 %
<b>Certificate # / Book</b>	<b>Issue Date</b>	<b>Cancel Date</b>	<b>Denomination Restricted</b>
RS.1111	09/20/2016		1 REST - RESTRICTED
<b>TOTAL ACTIVE SHARES</b>			<b>1 *</b>
<b>TOTAL RESTRICTED SHARES</b>			<b>1 *</b>
7	ANETA KACZOROWSKA KAMELIOWA 24 TORUN 87-100 POLAND		101 0.126 %
<b>Certificate # / Book</b>	<b>Issue Date</b>	<b>Cancel Date</b>	<b>Denomination Restricted</b>
RS.1006	09/20/2016		3
RS.1032	09/20/2016		98
<b>TOTAL ACTIVE SHARES</b>			<b>101 *</b>
76	KEVIN KEARNEY 2045 COFFEE LANE SEBASTPOL CA 95472		1 0.001 %
<b>Certificate # / Book</b>	<b>Issue Date</b>	<b>Cancel Date</b>	<b>Denomination Restricted</b>
RS.1126	09/20/2016		1 REST - RESTRICTED
<b>TOTAL ACTIVE SHARES</b>			<b>1 *</b>
<b>TOTAL RESTRICTED SHARES</b>			<b>1 *</b>

**CERTIFIED SHAREHOLDER LIST**

**ISLAND STOCK TRANSFER**

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Issue: UMAX GROUP CORP		Abbrev: UMAX	Cusip: 904197209
Account/TIN	Name & Address	Shares Held	

8	HANNA KLAMANN UGORY 11 TORUN 87-100 POLAND		101 0.126 %
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Certificate # / Book	Issue Date	Cancel Date	Denomination	Restricted
RS.1007	09/20/2016		3	
RS.1033	09/20/2016		98	
<b>TOTAL ACTIVE SHARES</b>			101	*

3	MARIANNA KLAMANN KWIATOWA 81 TORUN 87-100 POLAND		101 0.126 %
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Certificate # / Book	Issue Date	Cancel Date	Denomination	Restricted
RS.1002	09/20/2016		3	
RS.1034	09/20/2016		98	
<b>TOTAL ACTIVE SHARES</b>			101	*

9	IWONA KLAMANN-WOZNY KWIATOWA 81 TORUN 87-100 POLAND		101 0.126 %
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Certificate # / Book	Issue Date	Cancel Date	Denomination	Restricted
RS.1008	09/20/2016		3	
RS.1035	09/20/2016		98	
<b>TOTAL ACTIVE SHARES</b>			101	*

5	MARIOLA KUCHNICKA CHODKIEWICZA 12A/M9 TORUN 87-100 POLAND		101 0.126 %
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Certificate # / Book	Issue Date	Cancel Date	Denomination	Restricted
RS.1004	09/20/2016		3	
RS.1036	09/20/2016		98	
<b>TOTAL ACTIVE SHARES</b>			101	*

42	RON LORENZAN 282 OAK PARK ROAD YANKTON SD 57078		2 0.002 %
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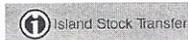
Certificate # / Book	Issue Date	Cancel Date	Denomination	Restricted
RS.1086	09/20/2016		1	REST - RESTRICTED
RS.1099	09/20/2016		1	REST - RESTRICTED
<b>TOTAL ACTIVE SHARES</b>			2	*
<b>TOTAL RESTRICTED SHARES</b>			2	*

53	KEVIN LOVELAND RR 6 BOX 682 POCATELLO ID 83202-9538		1 0.001 %
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# CERTIFIED SHAREHOLDER LIST

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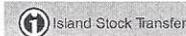


Issue: UMAX GROUP CORP		Abbrev: UMAX		Cusip: 904197209	
Account/TIN	Name & Address			Shares Held	
KEVIN LOVELAND			(Continued)		
Certificate # / Book	Issue Date	Cancel Date	Denomination	Restricted	
RS.1081	09/20/2016		1	REST - RESTRICTED	
			1	*	
TOTAL ACTIVE SHARES			1	*	
TOTAL RESTRICTED SHARES			1	*	
6	JUSTYNA LUKASIK DZIEWULSKIEGO 36/13 TORUN 87-103 POLAND			101 0.126 %	
Certificate # / Book	Issue Date	Cancel Date	Denomination	Restricted	
RS.1038	09/20/2016		98		
RS.1054	09/20/2016		3		
TOTAL ACTIVE SHARES			101	*	
11	JOSE REFUGIO MARQUEZ LUNA C VIZCAYA 208 COL ESPANA GUANAJUATO LEON 37330 MEXICO			80 0.100 %	
Certificate # / Book	Issue Date	Cancel Date	Denomination	Restricted	
RS.1010	09/20/2016		2		
RS.1039	09/20/2016		78		
TOTAL ACTIVE SHARES			80	*	
48	KEVIN MALLEY 310 MEADOW CREEK DRIVE ANARBOR MI 48105			1 0.001 %	
Certificate # / Book	Issue Date	Cancel Date	Denomination	Restricted	
RS.1092	09/20/2016		1	REST - RESTRICTED	
TOTAL ACTIVE SHARES			1	*	
TOTAL RESTRICTED SHARES			1	*	
47	GLEN MARDIN 760 COUNTRY ROAD. 254 TOWN CREEK AL 35672			1 0.001 %	
Certificate # / Book	Issue Date	Cancel Date	Denomination	Restricted	
RS.1091	09/20/2016		1	REST - RESTRICTED	
TOTAL ACTIVE SHARES			1	*	
TOTAL RESTRICTED SHARES			1	*	
57	GLEN MARDIS 760 COUNTRY RD 254 TOWN CREEK AL 35672			1 0.001 %	
Certificate # / Book	Issue Date	Cancel Date	Denomination	Restricted	
RS.1107	09/20/2016		1	REST - RESTRICTED	
TOTAL ACTIVE SHARES			1	*	
TOTAL RESTRICTED SHARES			1	*	

# CERTIFIED SHAREHOLDER LIST

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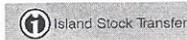


Issue: UMAX GROUP CORP		Abbrev: UMAX		Cusip: 904197209	
Account/TIN	Name & Address			Shares Held	
14	GABRIEL ARTURO ULISES GARCIA MARQUEZ C FOSFORO 810 COL VALLEY DE SENORA GUANAJUATO LEON 37205 MEXICO			80 0.100 %	
Certificate # / Book	Issue Date	Cancel Date	Denomination	Restricted	
RS.1013	09/20/2016		2		
RS.1040	09/20/2016		78		
<b>TOTAL ACTIVE SHARES</b>			<b>80</b>	<b>*</b>	
20	YAZMIN ELIZABETH AMEZQUITA MARQUEZ C PAMPLONA 208 COL ESPANA GUANAJUATO LEON 37330 MEXICO			120 0.149 %	
Certificate # / Book	Issue Date	Cancel Date	Denomination	Restricted	
RS.1019	09/20/2016		3		
RS.1041	09/20/2016		117		
<b>TOTAL ACTIVE SHARES</b>			<b>120</b>	<b>*</b>	
91	MICHELLE MERCIER 65 PINE AVENUE SUITES 325 LONG BEACH CA 90802			1,000 1.244 %	
Certificate # / Book	Issue Date	Cancel Date	Denomination	Restricted	
RS.1153	09/20/2016		1,000	REST - RESTRICTED	
<b>TOTAL ACTIVE SHARES</b>			<b>1,000</b>	<b>*</b>	
<b>TOTAL RESTRICTED SHARES</b>			<b>1,000</b>	<b>*</b>	
17	JORGE ARTURO TORRES MURILLO PRIV LATITUD 115 FRACC LA MARINA GUANAJUATO LEON 37100 MEXICO			120 0.149 %	
Certificate # / Book	Issue Date	Cancel Date	Denomination	Restricted	
RS.1016	09/20/2016		3		
RS.1042	09/20/2016		117		
<b>TOTAL ACTIVE SHARES</b>			<b>120</b>	<b>*</b>	
24	PERLA CASAS NAVA C. REPUBLICA 123 ZONA CENTRO GUANAJUATO LEON 37000 MEXICO			80 0.100 %	
Certificate # / Book	Issue Date	Cancel Date	Denomination	Restricted	
RS.1023	09/20/2016		2		
RS.1043	09/20/2016		78		
<b>TOTAL ACTIVE SHARES</b>			<b>80</b>	<b>*</b>	

# CERTIFIED SHAREHOLDER LIST

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Issue: UMAX GROUP CORP		Abbrev: UMAX		Cusip: 904197209	
Account/TIN	Name & Address			Shares Held	
4	SEBASTIAN NOWACKI RYBAKI 28B M.5 TORUN 87-100 POLAND			101	0.126 %
Certificate # / Book	Issue Date	Cancel Date	Denomination		Restricted
RS.1003	09/20/2016				3
RS.1044	09/20/2016				98
<b>TOTAL ACTIVE SHARES</b>					<b>101 *</b>
26	MA EUGENIA JASSO OLIYA BLVD REAL DEL MAR 5702 E 103 FRACC REAL DE SAN ANTONIA GUANAJUATO LEON 22290 MEXICO			80	0.100 %
Certificate # / Book	Issue Date	Cancel Date	Denomination		Restricted
RS.1025	09/20/2016				2
RS.1045	09/20/2016				78
<b>TOTAL ACTIVE SHARES</b>					<b>80 *</b>
25	BEATRIZ MARQUEZ OROZCO C. PASEO DE LA HERRADURA 124 FRACC PASEOS DEL COUNTRY GUANAJUATO LEON 37358 MEXICO			120	0.149 %
Certificate # / Book	Issue Date	Cancel Date	Denomination		Restricted
RS.1024	09/20/2016				3
RS.1046	09/20/2016				117
<b>TOTAL ACTIVE SHARES</b>					<b>120 *</b>
23	JUAN ANTONIO MARQUEZ OROZCO C. SALIDA A SAN JUAN DE LOS LAGOS 509 COL CHAPALITA GUANAJUATO LEON 37340 MEXICO			120	0.149 %
Certificate # / Book	Issue Date	Cancel Date	Denomination		Restricted
RS.1022	09/20/2016				3
RS.1047	09/20/2016				117
<b>TOTAL ACTIVE SHARES</b>					<b>120 *</b>
13	BARBARA ORZECZOWSKA SLASKIEGO 6C/79 TORUN 87-110 POLAND			101	0.126 %
Certificate # / Book	Issue Date	Cancel Date	Denomination		Restricted
RS.1012	09/20/2016				3

# CERTIFIED SHAREHOLDER LIST

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Issue: UMAX GROUP CORP		Abbrev: UMAX		Cusip: 904197209	
Account/TIN	Name & Address			Shares Held	
		BARBARA ORZECZOWSKA		(Continued)	
RS.1048		09/20/2016		98	
TOTAL ACTIVE SHARES				101	*
56	PETER PATEL 2171 N ALBRIGHT AVE UPLAND CA 91784			1	0.001 %
Certificate # / Book		Issue Date	Cancel Date	Denomination	Restricted
RS.1105		09/20/2016		1	REST - RESTRICTED
TOTAL ACTIVE SHARES				1	*
TOTAL RESTRICTED SHARES				1	*
38	PURUSHOOTTAM B. PATEL 2171 ALBRIGHT AVENUE UPLAND CA 91784			1	0.001 %
Certificate # / Book		Issue Date	Cancel Date	Denomination	Restricted
RS.1082		09/20/2016		1	REST - RESTRICTED
TOTAL ACTIVE SHARES				1	*
TOTAL RESTRICTED SHARES				1	*
43	CHRIS POSTEL 195 EUCLID AVENUE BLOOMINGDALE IL 60108			2	0.002 %
Certificate # / Book		Issue Date	Cancel Date	Denomination	Restricted
RS.1087		09/20/2016		1	REST - RESTRICTED
RS.1104		09/20/2016		1	REST - RESTRICTED
TOTAL ACTIVE SHARES				2	*
TOTAL RESTRICTED SHARES				2	*
68	TOM PRICE 66 DAVISON LANE EAST WEST ISLIP NY 11795			1	0.001 %
Certificate # / Book		Issue Date	Cancel Date	Denomination	Restricted
RS.1118		09/20/2016		1	REST - RESTRICTED
TOTAL ACTIVE SHARES				1	*
TOTAL RESTRICTED SHARES				1	*
62	ANDREW M REICHENBERGER 7263 N 6TH PLACE PHOENIX AZ 85020			1	0.001 %
Certificate # / Book		Issue Date	Cancel Date	Denomination	Restricted
RS.1112		09/20/2016		1	REST - RESTRICTED
TOTAL ACTIVE SHARES				1	*
TOTAL RESTRICTED SHARES				1	*
44	CHRISTOPHER RELIC 5128 S. YALE AVENUE #24-D TULSA OK 74135			2	0.002 %

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Issue: UMAX GROUP CORP	Abbrev: UMAX	Cusip: 904197209
Account/TIN	Name & Address	Shares Held

CHRISTOPHER RELIC (Continued)

Certificate # / Book	Issue Date	Cancel Date	Denomination	Restricted
RS.1088	09/20/2016		1	REST - RESTRICTED
RS.1100	09/20/2016		1	REST - RESTRICTED
<b>TOTAL ACTIVE SHARES</b>			2	*
<b>TOTAL RESTRICTED SHARES</b>			2	*

93	RIDGE POINT HOLDINGS LLC 8650 SPICEWOOD SPRINGS RD. STE. 145-572 AUSTIN TX 78759		1,050	1.307 %
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Certificate # / Book	Issue Date	Cancel Date	Denomination	Restricted
RS.1177	09/20/2016		1,050	
<b>TOTAL ACTIVE SHARES</b>			1,050	*

49	WILLIAM RIGBY 701 BURNING TREE LANE NAPERVILLE IL 60563		1	0.001 %
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Certificate # / Book	Issue Date	Cancel Date	Denomination	Restricted
RS.1093	09/20/2016		1	REST - RESTRICTED
<b>TOTAL ACTIVE SHARES</b>			1	*
<b>TOTAL RESTRICTED SHARES</b>			1	*

69	ROGER ROOT 17339 SE 84TH FOXGROVE AVE THE VILLAGES FL 32162		1	0.001 %
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Certificate # / Book	Issue Date	Cancel Date	Denomination	Restricted
RS.1119	09/20/2016		1	REST - RESTRICTED
<b>TOTAL ACTIVE SHARES</b>			1	*
<b>TOTAL RESTRICTED SHARES</b>			1	*

60	EILEEN ROSE 7450 FRANCE AVE SOUTH EDINA MN 55435		1	0.001 %
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Certificate # / Book	Issue Date	Cancel Date	Denomination	Restricted
RS.1110	09/20/2016		1	REST - RESTRICTED
<b>TOTAL ACTIVE SHARES</b>			1	*
<b>TOTAL RESTRICTED SHARES</b>			1	*

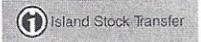
36	TOM ROSEN 145 HOLLY ROAD KUMKELTOWN PA 18058		1	0.001 %
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Certificate # / Book	Issue Date	Cancel Date	Denomination	Restricted
RS.1079	09/20/2016		1	REST - RESTRICTED
<b>TOTAL ACTIVE SHARES</b>			1	*
<b>TOTAL RESTRICTED SHARES</b>			1	*

# CERTIFIED SHAREHOLDER LIST

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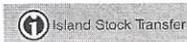


Issue: UMAX GROUP CORP		Abbrev: UMAX		Cusip: 904197209	
Account/TIN	Name & Address			Shares Held	
52	JEROME SAHLMAN 284 UPPER MOUNTAIN AVENUE MONTCLAIR NJ 07043			1	0.001 %
<b>Certificate # / Book</b>	<b>Issue Date</b>	<b>Cancel Date</b>	<b>Denomination</b>	<b>Restricted</b>	
RS.1096	09/20/2016		1	REST - RESTRICTED	
				1 *	
<b>TOTAL ACTIVE SHARES</b>				1 *	
<b>TOTAL RESTRICTED SHARES</b>				1 *	
81	VICTOR SAUL 8527 CRESCENT DR LOS ANGELES CA 90046			1,175	1.462 %
<b>Certificate # / Book</b>	<b>Issue Date</b>	<b>Cancel Date</b>	<b>Denomination</b>	<b>Restricted</b>	
RS.1132	09/20/2016		650	REST - RESTRICTED	
RS.1133	09/20/2016		525	REST - RESTRICTED	
				1,175 *	
<b>TOTAL ACTIVE SHARES</b>				1,175 *	
<b>TOTAL RESTRICTED SHARES</b>				1,175 *	
46	WILLIAM SCHROEDER 5611 TATTERIDGE DRIVE HOUSTON TX 77069			2	0.002 %
<b>Certificate # / Book</b>	<b>Issue Date</b>	<b>Cancel Date</b>	<b>Denomination</b>	<b>Restricted</b>	
RS.1090	09/20/2016		1	REST - RESTRICTED	
RS.1103	09/20/2016		1	REST - RESTRICTED	
				2 *	
<b>TOTAL ACTIVE SHARES</b>				2 *	
<b>TOTAL RESTRICTED SHARES</b>				2 *	
64	MARIA STEC 18667 FORT SMITH CIRCLE PORT CHARLOTTE FL 33948			1	0.001 %
<b>Certificate # / Book</b>	<b>Issue Date</b>	<b>Cancel Date</b>	<b>Denomination</b>	<b>Restricted</b>	
RS.1114	09/20/2016		1	REST - RESTRICTED	
				1 *	
<b>TOTAL ACTIVE SHARES</b>				1 *	
<b>TOTAL RESTRICTED SHARES</b>				1 *	
67	RICHARD STERNA 1133 BIRCH LANE WESTERN SPRINGS IL 60558			1	0.001 %
<b>Certificate # / Book</b>	<b>Issue Date</b>	<b>Cancel Date</b>	<b>Denomination</b>	<b>Restricted</b>	
RS.1117	09/20/2016		1	REST - RESTRICTED	
				1 *	
<b>TOTAL ACTIVE SHARES</b>				1 *	
<b>TOTAL RESTRICTED SHARES</b>				1 *	
70	THOMAS E SULLIVAN 20200 TIDEWINDS WAY GERMANTOWN MD 20874			1	0.001 %
<b>Certificate # / Book</b>	<b>Issue Date</b>	<b>Cancel Date</b>	<b>Denomination</b>	<b>Restricted</b>	
RS.1120	09/20/2016		1	REST - RESTRICTED	

# CERTIFIED SHAREHOLDER LIST

## ISLAND STOCK TRANSFER

Effective: 11/4/16



Issue: UMAX GROUP CORP	Abbrev: UMAX	Cusip: 904197209
Account/TIN	Name & Address	Shares Held

THOMAS E SULLIVAN (Continued)

TOTAL ACTIVE SHARES 1 \*  
TOTAL RESTRICTED SHARES 1 \*

79	SUREWAY INC 29179 N 78TH ST SCOTTSDALE AZ 85266	2 0.002 %
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Certificate # / Book	Issue Date	Cancel Date	Denomination Restricted
RS.1130	09/20/2016		2 REST - RESTRICTED
			TOTAL ACTIVE SHARES 2 *
			TOTAL RESTRICTED SHARES 2 *

66	JAY S THORPE 8917 CHESAPEAKE AVE BALTIMORE MD 21219	1 0.001 %
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Certificate # / Book	Issue Date	Cancel Date	Denomination Restricted
RS.1116	09/20/2016		1 REST - RESTRICTED
			TOTAL ACTIVE SHARES 1 *
			TOTAL RESTRICTED SHARES 1 *

34	MIKE WALSH NORTH \$ DELLWOOD DRIVE LONG VALLEY NJ 07853	1 0.001 %
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Certificate # / Book	Issue Date	Cancel Date	Denomination Restricted
RS.1077	09/20/2016		1 REST - RESTRICTED
			TOTAL ACTIVE SHARES 1 *
			TOTAL RESTRICTED SHARES 1 *

59	DAVID A WESTALL II 3658 SUMMERWIND CIRCLE BRADENTON FL 34209	1 0.001 %
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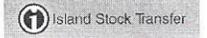
Certificate # / Book	Issue Date	Cancel Date	Denomination Restricted
RS.1109	09/20/2016		1 REST - RESTRICTED
			TOTAL ACTIVE SHARES 1 *
			TOTAL RESTRICTED SHARES 1 *

74	Holders Qualified	TOTAL OUTSTANDING SHARES	80,355
		TOTAL RESTRICTED SHARES	2,533
		TOTAL NON-RESTRICTED SHARES	77,822

# CERTIFIED SHAREHOLDER LIST

ISLAND STOCK TRANSFER

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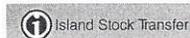
## RESTRICTION BREAKDOWN

RESTRICTION NAME	SHARES
REST - RESTRICTED	2,533

# CERTIFIED SHAREHOLDER LIST

## ISLAND STOCK TRANSFER

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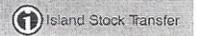


Issue: UMAX GROUP CORP B CLASS PREFERRED		Abbrev: UMAXPREF		Cusip:	
Account/TIN	Name & Address			Shares Held	
8	GODFREY AND KERRI BALA, JTWROS 470 DELAMERE WAY LAS VEGAS NV 89123			200,000	3.017 %
Certificate # / Book	Issue Date	Cancel Date	Denomination	Restricted	
1007	10/04/2016		200,000	REST - RESTRICTED	
	TOTAL ACTIVE SHARES		200,000	*	
	TOTAL RESTRICTED SHARES		200,000	*	
14	KEVIN BRADY 378 OTSEBO CT HENDERSON NV 89012			1,000,000	15.083 %
Certificate # / Book	Issue Date	Cancel Date	Denomination	Restricted	
1016	10/04/2016		1,000,000	RESTRICTED/CONTROL PERSON	
	TOTAL ACTIVE SHARES		1,000,000	*	
	TOTAL RESTRICTED SHARES		1,000,000	*	
3	HOWARD B CHRISTY JR 2030 SOUTHGATE BLVD HOUSTON TX 77030			240,000	3.620 %
Certificate # / Book	Issue Date	Cancel Date	Denomination	Restricted	
1002	05/14/2016		240,000	REST - RESTRICTED	
	TOTAL ACTIVE SHARES		240,000	*	
	TOTAL RESTRICTED SHARES		240,000	*	
15	DONALD FRANCO 6518 SOMERVILL RANCH ST LAS VEGAS NV 89131			1,000,000	15.083 %
Certificate # / Book	Issue Date	Cancel Date	Denomination	Restricted	
1017	10/04/2016		1,000,000	RESTRICTED/CONTROL PERSON	
	TOTAL ACTIVE SHARES		1,000,000	*	
	TOTAL RESTRICTED SHARES		1,000,000	*	
13	CHARLES GRIGSBY 8000 WEST SAHARA 205 LAS VEGAS NV 89117			1,000,000	15.083 %
Certificate # / Book	Issue Date	Cancel Date	Denomination	Restricted	
1015	10/04/2016		1,000,000	RESTRICTED/CONTROL PERSON	
	TOTAL ACTIVE SHARES		1,000,000	*	
	TOTAL RESTRICTED SHARES		1,000,000	*	
6	AMINA HENSON 9350 S. CIMARRON RD 4106 LAS VEGAS NV 89178			200,000	3.017 %
Certificate # / Book	Issue Date	Cancel Date	Denomination	Restricted	
1005	10/04/2016		200,000	REST - RESTRICTED	
	TOTAL ACTIVE SHARES		200,000	*	
	TOTAL RESTRICTED SHARES		200,000	*	

# CERTIFIED SHAREHOLDER LIST

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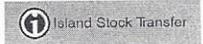


Issue: UMAX GROUP CORP B CLASS PREFERRED		Abbrev: UMAXPREF		Cusip:	
Account/TIN	Name & Address			Shares Held	
12	KURT B LUDLOW 476 PUNTO VALLATA DR HENDERSON NV 89011			1,000,000	15.083 %
Certificate # / Book	Issue Date	Cancel Date	Denomination	Restricted	
1014	10/04/2016		1,000,000	RESTRICTED/CONTROL PERSON	
			TOTAL ACTIVE SHARES	1,000,000 *	
			TOTAL RESTRICTED SHARES	1,000,000 *	
7	WILLIAM L. MISKALL JR 7614 GENERAL MEADE LANE ST. LOUIS MO 63123			700,000	10.558 %
Certificate # / Book	Issue Date	Cancel Date	Denomination	Restricted	
1006	10/04/2016		500,000	REST - RESTRICTED	
1012	10/04/2016		100,000	REST - RESTRICTED	
1013	10/04/2016		100,000	REST - RESTRICTED	
			TOTAL ACTIVE SHARES	700,000 *	
			TOTAL RESTRICTED SHARES	700,000 *	
2	ARTHER D MONTOYA 5712 ROYAL OAK NE ALBUQUERQUE NM 87111			100,000	1.508 %
Certificate # / Book	Issue Date	Cancel Date	Denomination	Restricted	
1001	05/11/2016		100,000	REST - RESTRICTED	
			TOTAL ACTIVE SHARES	100,000 *	
			TOTAL RESTRICTED SHARES	100,000 *	
5	DIANE MUSGRAVE 1525 5TH ST BOULDER CITY NV 89005			200,000	3.017 %
Certificate # / Book	Issue Date	Cancel Date	Denomination	Restricted	
1004	10/04/2016		200,000	REST - RESTRICTED	
			TOTAL ACTIVE SHARES	200,000 *	
			TOTAL RESTRICTED SHARES	200,000 *	
1	JOON PARK 3460 W. 7TH STREET APT 605 LOS ANGELES CA 90005			50,000	0.754 %
Certificate # / Book	Issue Date	Cancel Date	Denomination	Restricted	
1000	05/11/2016		50,000	REST - RESTRICTED	
			TOTAL ACTIVE SHARES	50,000 *	
			TOTAL RESTRICTED SHARES	50,000 *	
11	REYNALD SANDAVAL 7605 SIERRA PASEO LANE LAS VEGAS NV 89128			200,000	3.017 %
Certificate # / Book	Issue Date	Cancel Date	Denomination	Restricted	
1010	10/04/2016		200,000	REST - RESTRICTED	

# CERTIFIED SHAREHOLDER LIST

ISLAND STOCK TRANSFER

Effective: 11/4/16



Issue: UMAX GROUP CORP B CLASS PREFERRED

Abbrev: UMAXPREF

Cusip:

Account/TIN

Name & Address

Shares Held

REYNALD SANDAVAL

(Continued)

TOTAL ACTIVE SHARES                      200,000 \*

TOTAL RESTRICTED SHARES                200,000 \*

4	RICHARD MATT SLATE 193 S MORGAN VALLEY DR. MORGAN UT 84050	40,000 0.603 %
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Certificate # / Book	Issue Date	Cancel Date	Denomination	Restricted
1003	10/04/2016		40,000	REST - RESTRICTED
			TOTAL ACTIVE SHARES	40,000 *
			TOTAL RESTRICTED SHARES	40,000 *

10	STHOLDINGS LLC 11 BREEZY KNOLL LN LAKE ST. LOUIS MO 63367	450,000 6.787 %
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Certificate # / Book	Issue Date	Cancel Date	Denomination	Restricted
1009	10/04/2016		200,000	REST - RESTRICTED
1011	10/04/2016		250,000	REST - RESTRICTED
			TOTAL ACTIVE SHARES	450,000 *
			TOTAL RESTRICTED SHARES	450,000 *

9	YUKIKO KAWASKI YI 8053 TWAIN HARLE ST LAS VEGAS NV 89159	250,000 3.771 %
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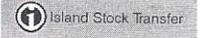
Certificate # / Book	Issue Date	Cancel Date	Denomination	Restricted
1008	10/04/2016		250,000	REST - RESTRICTED
			TOTAL ACTIVE SHARES	250,000 *
			TOTAL RESTRICTED SHARES	250,000 *

15	Holders Qualified	TOTAL OUTSTANDING SHARES	6,630,000
		TOTAL RESTRICTED SHARES	6,630,000
		TOTAL NON-RESTRICTED SHARES	0

# CERTIFIED SHAREHOLDER LIST

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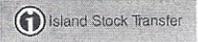
## RESTRICTION BREAKDOWN

RESTRICTION NAME	SHARES
REST - RESTRICTED	2,630,000
RESTRICTED/CONTROL PERSON	4,000,000

# CERTIFIED SHAREHOLDER LIST

*ISLAND STOCK TRANSFER*

Effective: 11/4/16



Issue: UMAX GROUP CORP A CLASS SUPER PREFERRED

Abbrev: UMAXPRE

Cusip:

Account/TIN

Name & Address

Shares Held

1	IAN DIXON 10175 SPENCER ST, APT 2081 LAS VEGAS NV 89183	40,000,000 100.000 %
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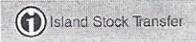
Certificate # / Book	Issue Date	Cancel Date	Denomination	Restricted
1000	05/11/2016		40,000,000	RESTRICTED/CONTROL PERSON
	TOTAL ACTIVE SHARES		40,000,000	*
	TOTAL RESTRICTED SHARES		40,000,000	*

1	Holders Qualified	TOTAL OUTSTANDING SHARES	40,000,000
		TOTAL RESTRICTED SHARES	40,000,000
		TOTAL NON-RESTRICTED SHARES	0

**CERTIFIED SHAREHOLDER LIST**

*ISLAND STOCK TRANSFER*

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RESTRICTION BREAKDOWN

RESTRICTION NAME	SHARES
RESTRICTED/CONTROL PERSON	40,000,000