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User Name: Bernie Venvertloh

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Reporter:	1404632 - FIRST BKR	Status:	SUBMITTED	Instructions
Series:	FRY9C	Submission Date:	10/28/2016 9:01am ET	
Asof Date:	09/30/2016	Submitter:		

Sections: Cover Page

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Board of Governors of the Federal Reserve System

Consolidated Financial Statements for Holding Companies - FR Y-9C

OMB Number 7100-0128

Person to whom questions about this report should be directed:

Name / Title

Area Code / Phone Number

Area Code / FAX Number

E-mail Address of Contact

Required Information

Legal Title of Holding Company

(Mailing Address of the Holding Company) Street / P.O. Box

City

State

Zip Code

Important Notes

Report at the close of business as of the last calendar day of the quarter

Holding companies must maintain in their files a manually signed and attested printout of the data submitted.

Public reporting burden for this information collection is estimated to vary from 5 to 1,250 hours per response, with an average of 50.84 hours per response for non-Advanced Approaches HCs and 52.09 hours for Advanced Approaches HCs, including time to gather and maintain data in the required form and to review instructions and complete the information collection. Comments regarding this burden estimate or any other aspect of this information collection, including suggestions for reducing the burden, may be sent to Secretary, Board of Governors of the Federal Reserve System, 20th and C Streets, NW, Washington, DC 20551, and to the Office of Management and Budget, Paperwork Reduction Project (7100-0128), Washington, DC 20503.

Legal

This Report is required by law: Section 5(c) of the BHC Act (12 U.S.C. § 1844(c)), section 10 of Home Owners' Loan Act (HOLA) (12 U.S.C. § 1467a(b)), section 618 of the Dodd-Frank Act (12 U.S.C. § 1850a(c)(1)), section 165 of the Dodd-Frank Act (12 U.S.C. § 5365), and section 252.153(b)(2) of Regulation YY (12 CFR 252.153(b)(2)).

This report form is to be filed by holding companies with total consolidated assets of \$1 billion or more. In addition, holding companies meeting certain criteria must file this report (FR Y-9C) regardless of size. See page 1 of the general instructions for further information. However, when such holding companies own or control, or are owned or controlled by, other holding companies, only the top-tier holding company must file this report for the consolidated holding company organization. The Federal Reserve may not conduct or sponsor, and an organization (or a person) is not required to respond to, a collection of information unless it displays a currently valid OMB control number.

Signature

NOTE: Each holding company's board of directors and senior management are responsible for establishing and maintaining an effective system of internal control, including controls over the Consolidated Financial Statements for Holding Companies. The Consolidated Financial Statements for Holding Companies is to be prepared in accordance with instructions provided by the Federal Reserve System. The Consolidated Financial Statements for Holding Companies must be signed and attested by the Chief Financial Officer (CFO) of the reporting holding company (or by the individual performing this equivalent function).

I, the undersigned CFO (or equivalent) of the named holding company, attest that the Consolidated Financial Statements for Holding Companies (including the supporting schedules) for this report date have been prepared in conformance with the instructions issued by the Federal Reserve System and are true and correct to the best of my knowledge and belief.

Printed Name of Chief Financial Officer (or Equivalent)

BRIAN A. IPPENSEN

Signature of Chief Financial Officer (or Equivalent)



Date of Signature (MM/DD/YYYY)

10/28/2016

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As of Date:	09/30/2016	Submitter:	67534	

Sections: **Schedule HI - Consolidated Income Statement**

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Consolidated Financial Statements for Holding Companies - FR Y-9C
Schedule HI - Consolidated Income Statement

Report of Income for Holding Companies
Report all Schedules of the Report of Income on a
calendar year-to-date basis.

1. Interest income

a. Interest and fee income on loans:

(1) In domestic offices:

(a) Loans secured by 1- 4 family residential properties [BHCK4435]

(b) All other loans secured by real estate [BHCK4436]

(c) All other loans [BHCKF821]

(2) In foreign offices, Edge and Agreement subsidiaries, and IBFs [BHCK4059]

b. Income from lease financing receivables [BHCK4065]

c. Interest income on balances due from depository institutions *	[BHCK4115]
---	------------

d. Interest and dividend income on securities:

(1) U.S. Treasury securities and U.S. government agency obligations (excluding mortgage-backed securities)	[BHCKB488]
--	------------

(2) Mortgage-backed securities [BHCKB489]

(3) All other securities [BHCK4060]

e. Interest income from trading assets	[BHCK4069]
--	------------

f. Interest income on federal funds sold and securities purchased under agreements to resell	[BHCK4020]
--	------------

g. Other interest income	[BHCK4518]
--------------------------	------------

h. Total interest income (sum of items 1.a through 1.q) [BHCK4107]

2. Interest expense

a. Interest on deposits:

(1) In domestic offices:

(a) Time deposits of \$100,000 or more [BHCKA517]

Dollar Amounts in Thousands	
	3375
	9351
	4223
	0
	0
	64
	2058
	1497
	1226
	0
	9
	43
	21846
	692

(b) Time deposits of less than \$100,000	[BHCKA518]	1088
(c) Other deposits	[BHCK6761]	857
(2) In foreign offices, Edge and Agreement subsidiaries, and IBFs	[BHCK4172]	0
b. Expense on federal funds purchased and securities sold under agreements to repurchase	[BHCK4180]	97
c. Interest on trading liabilities and other borrowed money (excluding subordinated notes and debentures)	[BHCK4185]	59
d. Interest on subordinated notes and debentures and on mandatory convertible securities	[BHCK4397]	0
e. Other interest expense	[BHCK4398]	269
f. Total interest expense (sum of items 2.a through 2.e)	[BHCK4073]	3062
3. Net interest income (item 1.h minus item 2.f)	[BHCK4074]	18784
4. Provision for loan and lease losses (from Schedule HI-B, part II, item 5)	[BHCK4230]	450
5. Noninterest income:		
a. Income from fiduciary activities	[BHCK4070]	7078
b. Service charges on deposit accounts in domestic offices	[BHCK4483]	926
c. Trading revenue **	[BHCKA220]	0
d. (1) Fees and commissions from securities brokerage	[BHCKC886]	373
(2) Investment banking, advisory, and underwriting fees and commissions	[BHCKC888]	328
(3) Fees and commissions from annuity sales	[BHCKC887]	186
(4) Underwriting income from insurance and reinsurance activities	[BHCKC386]	0
(5) Income from other insurance activities	[BHCKC387]	28
e. Venture capital revenue	[BHCKB491]	0
f. Net servicing fees	[BHCKB492]	433
g. Net securitization income	[BHCKB493]	0
h. Not applicable		
i. Net gains (losses) on sales of loans and leases	[BHCK8560]	432
j. Net gains (losses) on sales of other real estate owned	[BHCK8561]	0
k. Net gains (losses) on sales of other assets (excluding securities)	[BHCKB496]	0
l. Other noninterest income ***	[BHCKB497]	2239
m. Total noninterest income (sum of items 5.a through 5.l)	[BHCK4079]	12023
6. a. Realized gains (losses) on held-to-maturity securities	[BHCK3521]	0
b. Realized gains (losses) on available-for-sale securities	[BHCK3196]	487
7. Noninterest expense:		
a. Salaries and employee benefits	[BHCK4135]	12756
b. Expenses of premises and fixed assets (net of rental income) (excluding salaries and employee benefits and mortgage interest)	[BHCK4217]	1945
c. (1) Goodwill impairment losses	[BHCKC216]	0
(2) Amortization expense and impairment losses for other intangible assets	[BHCKC232]	127
d. Other noninterest expense ****	[BHCK4092]	5661

e. Total noninterest expense (sum of items 7.a through 7.d)	[BHCK4093]	20489
8. Income (loss) before applicable income taxes and discontinued operations (sum of items 3, 5.m, 6.a, and 6.b minus items 4 and 7.e)	[BHCK4301]	10355
9. Applicable income taxes (foreign and domestic)	[BHCK4302]	3321
10. Income (loss) before discontinued operations (item 8 minus item 9)	[BHCK4300]	7034
11. Discontinued Operations, net of applicable income taxes	[BHCKFT28]	0
12. Net income (loss) attributable to holding company and noncontrolling (minority) interests (sum of items 10 and 11)	[BHCKG104]	7034
13. LESS: Net income (loss) attributable to noncontrolling (minority) interests (if net income, report as a positive value; if net loss, report as a negative value)	[BHCKG103]	0
14. Net income (loss) attributable to holding company (item 12 minus item 13)	[BHCK4340]	7034

* Includes interest income on time certificates of deposit not held for trading.

** For holding companies required to complete Schedule HI, memoranda item 9, trading revenue reported in Schedule HI, item 5.c must equal the sum of memoranda items 9.a through 9.e.

*** See Schedule HI, memoranda item 6.

**** See Schedule HI, memoranda item 7.

Memoranda

Dollar Amounts in Thousands		
1. Net interest income (item 3 above) on a fully taxable equivalent basis	[BHCK4519]	19608
2. Net income before applicable income taxes, and discontinued operations (item 8 above) on a fully taxable equivalent basis	[BHCK4592]	11179
3. Income on tax-exempt loans and leases to states and political subdivisions in the U.S. (included in Schedule HI, items 1.a and 1.b, above)	[BHCK4313]	38
4. Income on tax-exempt securities issued by states and political subdivisions in the U.S. (included in Schedule HI, item 1.d.(3), above)	[BHCK4507]	1195

5. Number of full-time equivalent employees at end of current period (round to nearest whole number)

[BHCK4150]

Number
248

6. Other noninterest income (from Schedule HI, item 5.I, above) (only report amounts greater than \$100,000 that exceed 3 percent of Schedule HI, item 5.I):

Dollar Amounts in Thousands		
a. Income and fees from the printing and sale of checks	[BHCKC013]	0
b. Earnings on/increase in value of cash surrender value of life insurance	[BHCKC014]	307
c. Income and fees from automated teller machines (ATMs)	[BHCKC016]	0

d. Rent and other income from other real estate owned	[BHCK4042]	0
e. Safe deposit box rent	[BHCKC015]	0
f. Net change in the fair values of financial instruments accounted for under a fair value option	[BHCKF229]	
g. Bank card and credit card interchange fees	[BHCKF555]	1011
h. Gains on bargain purchases	[BHCKJ447]	
i. Income and fees from wire transfers	[BHCKT047]	

		Text	Dollar Amounts in Thousands
6. j. Description	[TEXT8562]	DATA PROCESSING	
j. Amount	[BHCK8562]		184
k. Description	[TEXT8563]	DISTRIBUTION FEES	
k. Amount	[BHCK8563]		422
l. Description	[TEXT8564]		
l. Amount	[BHCK8564]		

7. Other noninterest expense (from Schedule HI, item 7.d, above) (only report amounts greater than \$100,000 that exceed 3 percent of the sum of Schedule HI, item 7.d):

		Dollar Amounts in Thousands
a. Data processing expenses	[BHCKC017]	1713
b. Advertising and marketing expenses	[BHCK0497]	297
c. Directors' fees	[BHCK4136]	215
d. Printing, stationery, and supplies	[BHCKC018]	
e. Postage	[BHCK8403]	
f. Legal fees and expenses	[BHCK4141]	195

g. FDIC deposit insurance assessments	[BHCK4146]	376
h. Accounting and auditing expenses	[BHCKF556]	
i. Consulting and advisory expenses	[BHCKF557]	
j. Automated teller machine (ATM) and interchange expenses	[BHCKF558]	539
k. Telecommunications expenses	[BHCKF559]	
l. Other real estate owned expenses	[BHCKY923]	
m. Insurance expenses (not included in employee expenses, premises, and fixed assets expenses, and other real estate owned expenses.)	[BHCKY924]	

		Text	Dollar Amounts in Thousands
7. n. Description	[TEXT8565]	REGULATORY FEES	
n. Amount	[BHCK8565]		196
o. Description	[TEXT8566]		
o. Amount	[BHCK8566]		
p. Description	[TEXT8567]		
p. Amount	[BHCK8567]		

	Dollar Amounts in Thousands	Text	Dollar Amounts in Thousands
8. Discontinued operations and applicable income tax effect (from Schedule HI, item 11) (itemize and describe each discontinued operation):			
a. (1) Description	[TEXTFT29]		

a. (1) Amount [BHCKFT29]		
(2) Applicable income tax effect [BHCKFT30]		
b. (1) Description [TEXTFT31]		
b. (1) Amount [BHCKFT31]		
(2) Applicable income tax effect [BHCKFT32]		

		Dollar Amounts in Thousands
9. Trading revenue (from cash instruments and derivative instruments) (Sum of items 9.a through 9.e must equal Schedule HI, item 5.c.)		
Memorandum items 9.a through 9.e are to be completed by holding companies that reported average trading assets (Schedule HC-K, item 4.a) of \$2 million or more for any quarter of the preceding calendar year:		
a. Interest rate exposures [BHCK8757]		
b. Foreign exchange exposures [BHCK8758]		
c. Equity security and index exposures [BHCK8759]		
d. Commodity and other exposures [BHCK8760]		
e. Credit exposures [BHCKF186]		
Memoranda items 9.f and 9.g are to be completed by holding companies with \$100 billion or more in total assets that are required to complete Schedule HI, Memorandum items 9.a through 9.e, above. *		
f. Impact on trading revenue of changes in the creditworthiness of the holding company's derivatives counterparties on the holding company's derivative assets (included in Memorandum items 9.a through 9.e above) [BHCKK090]		
g. Impact on trading revenue of changes in the creditworthiness of the holding company on the holding company's derivative liabilities (included in Memorandum items 9.a through 9.e above) [BHCKK094]		
10. Net gains (losses) recognized in earnings on credit derivatives that economically hedge credit exposures held outside the trading account:		

a. Net gains (losses) on credit derivatives held for trading	[BHCKC889]	
b. Net gains (losses) on credit derivatives held for purposes other than trading	[BHCKC890]	
11. Credit losses on derivatives (see instructions)	[BHCKA251]	
Memorandum item 12.a is to be completed by holding companies with \$1 billion or more in total assets. *		
12. a. Income from the sale and servicing of mutual funds and annuities (in domestic offices)	[BHCK8431]	
b. (1) Premiums on insurance related to the extension of credit	[BHCKC242]	
(2) All other insurance premiums	[BHCKC243]	
c. Benefits, losses, and expenses from insurance-related activities	[BHCKB983]	

* The asset size test is generally based on the total assets reported as of June 30, 2015.

13. Does the reporting holding company [BHCKA530] have a Subchapter S election in effect for federal income tax purposes for the current tax year?

Enter "1" for Yes; Enter "0" for No
0

Memorandum item 14 is to be completed by holding companies that have elected to account for assets and liabilities under a fair value option.

14. Net gains (losses) recognized in earnings on assets and liabilities that are reported at fair value under a fair value option:

Dollar Amounts in Thousands	
a. Net gains (losses) on assets	[BHCKF551]
(1) Estimated net gains (losses) on loans attributable to changes in instrument-specific credit risk	[BHCKF552]
b. Net gains (losses) on liabilities	[BHCKF553]
(1) Estimated net gains (losses) on liabilities attributable to changes in instrument-specific credit risk	[BHCKF554]
15. Stock-based employee compensation expense (net of tax effects) calculated for all awards under the fair value method	[BHCKC409]

Dollar Amounts in Thousands	Year-to-date
Memorandum item 16 is to be completed by holding companies that are required to complete Schedule HC-C, Memorandum items 6.b and 6.c.	
16. Noncash income from negative amortization on closed-end loans secured by 1- 4 family residential properties (included in Schedule HI, item 1.a.(1)(a))	[BHCKF228]
17. Other-than-temporary impairment losses on held-to-maturity and available-for-sale debt securities:	
a. Total other-than-temporary impairment losses	[BHCKJ319]

b. Portion of losses recognized in other comprehensive income (before income taxes) [BHCKJ320]

c. Net impairment losses recognized in earnings (included in Schedule HI, items 6.a and 6.b) (Memorandum item 17.a minus Memorandum item 17.b) [BHCKJ321]

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Sections: Schedule HI-A - Changes in Holding Company Equity Capital

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Consolidated Financial Statements for Holding Companies - FR Y-9C
Schedule HI-A - Changes in Holding Company Equity Capital

	Dollar Amounts in Thousands
1. Total holding company equity capital most [BHCK3217] recently reported for the end of previous calendar year (i.e., after adjustments from amended Reports of Income)	86235
2. Cumulative effect of changes in accounting [BHCKB507] principles and corrections of material accounting errors	0
3. Balance end of previous calendar year as [BHCKB508] restated (sum of items 1 and 2)	86235
4. Net income (loss) attributable to holding [BHCT4340] company (must equal Schedule HI, item 14)	7034
5. Sale of perpetual preferred stock (excluding treasury stock transactions):	
a. Sale of perpetual preferred stock, [BHCK3577] gross	0
b. Conversion or retirement of perpetual [BHCK3578] preferred stock	-10000
6. Sale of common stock:	
a. Sale of common stock, gross [BHCK3579]	0
b. Conversion or retirement of common [BHCK3580] stock	0
7. Sale of treasury stock [BHCK4782]	0
8. LESS: Purchase of treasury stock [BHCK4783]	0
9. Changes incident to business [BHCK4356] combinations, net	0
10. LESS: Cash dividends declared on [BHCK4598] preferred stock	18
11. LESS: Cash dividends declared on [BHCK4460] common stock	801
12. Other comprehensive income * [BHCKB511]	2754
13. Change in the offsetting debit to the [BHCK4591] liability for Employee Stock Ownership Plan (ESOP) debt guaranteed by the holding company	0

14. Other adjustments to equity capital (not included above) [BHCK3581]
15. Total holding company equity capital end of current period (sum of items 3, 4, 5, 6, 7, 9, 12, 13, and 14, less items 8, 10, and 11) [BHCT3210]
(must equal item 27.a on Schedule HC)

0
85204

* Includes, but is not limited to, changes in net unrealized holding gains (losses) on available-for-sale securities, changes in accumulated net gains (losses) on cash flow hedges, foreign currency translation adjustments, and pension and other postretirement plan related changes other than net periodic benefit cost.

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Sections: Schedule HI-B - Charge-Offs and Recoveries on Loans and Leases and Changes in Allowance for Loan and Lease Losses ▾

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Consolidated Financial Statements for Holding Companies - FR Y-9C

Schedule HI-B - Charge-Offs and Recoveries on Loans and Leases and Changes in Allowance for Loan and Lease Losses

Dollar Amounts in Thousands

(Column A)
Charge-offs *(Column B)
Recoveries

I. Charge-offs and Recoveries on Loans and Leases (Fully Consolidated)

1. Loans secured by real estate:

a. Construction, land development, and other land loans in domestic offices:

(1) 1- 4 family residential construction loans [BHCKC891]
[BHCKC892](2) Other construction loans and all land development and other land loans [BHCKC893]
[BHCKC894]b. Secured by farmland in domestic offices [BHCK3584]
[BHCK3585]

c. Secured by 1- 4 family residential properties in domestic offices:

(1) Revolving, open-end loans secured by 1- 4 family residential properties and extended under lines of credit [BHCK5411]
[BHCK5412]

(2) Closed-end loans secured by 1- 4 family residential properties in domestic offices:

(a) Secured by first liens [BHCKC234]
[BHCKC217](b) Secured by junior liens [BHCKC235]
[BHCKC218]d. Secured by multifamily (5 or more) residential properties in domestic offices [BHCK3588]
[BHCK3589]

e. Secured by nonfarm nonresidential properties in domestic offices:

(1) Loans secured by owner-occupied nonfarm nonresidential properties [BHCKC895]
[BHCKC896](2) Loans secured by other nonfarm nonresidential properties [BHCKC897]
[BHCKC898]f. In foreign offices [BHCKB512]
[BHCKB513]

2. Loans to depository institutions and acceptances of other banks:

0	0
0	0
0	0
0	1
49	22
0	0
0	0
0	0
0	0
467	0
0	0
0	0

a. To U.S. banks and other U.S. depository institutions	[BHCK4653] [BHCK4663]		
b. To foreign banks	[BHCK4654] [BHCK4664]	0	0
3. Loans to finance agricultural production and other loans to farmers	[BHCK4655] [BHCK4665]	0	0
4. Commercial and industrial loans:			
a. To U.S. addressees (domicile)	[BHCK4645] [BHCK4617]	0	3
b. To non-U.S. addressees (domicile)	[BHCK4646] [BHCK4618]	0	0
5. Loans to individuals for household, family, and other personal expenditures:			
a. Credit cards	[BHCKB514] [BHCKB515]	0	0
b. Automobile loans	[BHCKK129] [BHCKK133]	46	27
c. Other consumer loans (includes single payment, installment, all student loans, and revolving credit plans other than credit cards)	[BHCKK205] [BHCKK206]	13	8
6. Loans to foreign governments and official institutions	[BHCK4643] [BHCK4627]	0	0
7. All other loans	[BHCK4644] [BHCK4628]	0	0
8. Lease financing receivables:			
a. Leases to individuals for household, family, and other personal expenditures	[BHCKF185] [BHCKF187]	0	0
b. All other leases	[BHCKC880] [BHCKF188]	0	0
9. Total (sum of items 1 through 8)	[BHCK4635] [BHCK4605]	575	61

* Include write-downs arising from transfers to a held-for-sale account.

Dollar Amounts in Thousands		Calendar Year-to-date	
		(Column A) Charge-offs *	(Column B) Recoveries
Memoranda			
1. Loans to finance commercial real estate, construction, and land development activities (not secured by real estate) included in Schedule HI-B, part I, items 4 and 7 above	[BHCK5409] [BHCK5410]	0	0
2. Loans secured by real estate to non-U.S. addressees (domicile) (included in Schedule HI-B, part I, item 1, above)	[BHCK4652] [BHCK4662]	0	0

* Include write-downs arising from transfers to a held-for-sale account.

Dollar Amounts in Thousands	Calendar Year-to-date
Memorandum item 3 is to be completed by (1) holding companies that, together with affiliated institutions, have outstanding credit card receivables (as defined in the instructions) that exceed \$500 million as of the report date or (2) holding companies that on a consolidated basis are credit card specialty holding companies (as defined in the instructions).	

3. Uncollectible retail credit card fees and finance charges reversed against income (i.e., not included in charge-offs against the allowance for loan and lease losses) [BHCKC388]

0

Dollar Amounts in
Thousands

II. Changes in allowance for loan and lease losses

1. Balance most recently reported at end of previous year (i.e., after adjustments from amended Reports of Income) [BHCKB522]

8665

2. Recoveries (must equal Schedule HI-B, part I, item 9, column B, above) [BHCT4605]

61

3. LESS: Charge-offs (must equal Schedule HI-B, part I, item 9, column A above less Schedule HI-B, part II, item 4) [BHCKC079]

575

4. LESS: Write-downs arising from transfers of loans to a held-for-sale account [BHCK5523]

0

5. Provision for loan and lease losses (must equal Schedule HI, item 4) [BHCT4230]

450

6. Adjustments (see instructions for this schedule) [BHCKC233]

0

7. Balance at end of current period (sum of items 1, 2, 5, and 6, less items 3 and 4) (must equal Schedule HC, item 4.c) [BHCT3123]

8601

Dollar Amounts in
Thousands

Memoranda

1. Allocated transfer risk reserve included in Schedule HI-B, part II, item 7 [BHCKC435]

0

Memoranda items 2 and 3 are to be completed by (1) holding companies that, together with affiliated institutions, have outstanding credit card receivables (as defined in the instructions) that exceed \$500 million as of the report date or (2) holding companies that on a consolidated basis are credit card specialty holding companies (as defined in the instructions).

2. Separate valuation allowance for uncollectible retail credit card fees and finance charges [BHCKC389]

0

3. Amount of allowance for loan and lease losses attributable to retail credit card fees and finance charges (included in Schedule HC, item 4.c and Schedule HI-B, part II, item 7) [BHCKC390]

0

Memorandum item 4 is to be completed by all holding companies.

4. Amount of allowance for post-acquisition credit losses on purchased credit-impaired loans accounted for in accordance with AICPA Statement of Position 03-3 (included in Schedule HI-B, part II, item 7, above) [BHCKC781]

0

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Sections: Schedule HI-C - Disaggregated Data on the Allowance for Loan and Lease Losses

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Consolidated Financial Statements for Holding Companies - FR Y-9C

Schedule HI-C - Disaggregated Data on the Allowance for Loan and Lease Losses

Dollar Amounts in Thousands

	(Column A) Recorded Investment: Individually Evaluated for Impairment (ASC 310-10- 35)	(Column B) Allowance Balance: Individually Evaluated for Impairment (ASC 310-10- 35)	(Column C) Recorded Investment: Collectively Evaluated for Impairment (ASC 450-20)	(Column D) Allowance Balance: Collectively Evaluated for Impairment (ASC 450-20)	(Column E) Recorded Investment: Purchased Credit-Impaired Loans (ASC 310- 30)	(Column F) Allowance Balance: Purchased Credit-Impaired Loans (ASC 310- 30)
--	---	---	--	--	---	---

Schedule HI-C is
to be completed
by holding
companies with
\$1 billion or
more in total
assets. *

1. Real estate
loans:

a. Construction [BHCKM708]
loans [BHCKM709]

[BHCKM710]
[BHCKM711]
[BHCKM712]
[BHCKM713]

b. Commercial [BHCKM714]
real estate [BHCKM715]
loans [BHCKM716]

[BHCKM717]
[BHCKM719]
[BHCKM720]

c. Residential [BHCKM721]
real estate [BHCKM722]
loans [BHCKM723]

[BHCKM724]
[BHCKM725]
[BHCKM726]

2. Commercial [BHCKM727]
loans ** [BHCKM728]

[BHCKM729]
[BHCKM730]

	[BHCKM731]					
	[BHCKM732]					
3. Credit cards	[BHCKM733]					
	[BHCKM734]					
	[BHCKM735]					
	[BHCKM736]					
	[BHCKM737]					
	[BHCKM738]					
4. Other consumer loans	[BHCKM739]					
	[BHCKM740]					
	[BHCKM741]					
	[BHCKM742]					
	[BHCKM743]					
	[BHCKM744]					
5. Unallocated, if any	[BHCKM745]					
6. Total (sum of items 1.a. through 5.)	[BHCKM746]					
	[BHCKM747]					
	[BHCKM748]					
	[BHCKM749]					
	[BHCKM750]					
	[BHCKM751]					

* The asset size test is generally based on the total assets reported as of June 30, 2015.

** Include all loans and leases not reported as real estate loans, credit cards, or other consumer loans.

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Dollar Amounts in
Thousands

1. Total interest income	[BHBC4107]
a. Interest income on loans and leases	[BHBC4094]
b. Interest income on investment securities	[BHBC4218]
2. Total interest expense	[BHBC4073]
a. Interest expense on deposits	[BHBC4421]
3. Net interest income	[BHBC4074]
4. Provision for loan and lease losses	[BHBC4230]
5. Total noninterest income	[BHBC4079]
a. Income from fiduciary activities	[BHBC4070]
b. Trading revenue	[BHBCA220]
c. Investment banking, advisory, brokerage, and underwriting fees and commissions	[BHBCB490]
d. Venture capital revenue	[BHBCB491]
e. Net securitization income	[BHBCB493]
f. Insurance commissions and fees	[BHBCB494]
6. Realized gains (losses) on held-to-maturity and available-for-sale securities	[BHBC4091]
7. Total noninterest expense	[BHBC4093]
a. Salaries and employee benefits	[BHBC4135]

b. Goodwill impairment losses	[BHBCC216]	
8. Income (loss) before applicable income taxes and discontinued operations	[BHBC4301]	
9. Applicable income taxes	[BHBC4302]	
10. Noncontrolling (minority) interest	[BHBC4484]	
11. Discontinued operations, net of applicable income taxes and noncontrolling (minority) interest	[BHCKFT41]	
12. Net income (loss)	[BHBC4340]	
13. Cash dividends declared	[BHBC4475]	
14. Net charge-offs	[BHBC6061]	
15. Net interest income (item 3 above) on a fully taxable equivalent basis	[BHBC4519]	



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As of Date:	09/30/2016	Submitter:	67534	

Sections: Notes to the Income Statement (Other)

Select

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Consolidated Financial Statements for Holding Companies - FR Y-9C
Notes to the Income Statement (Other)

Enter in the lines provided below any additional information on specific line items on the income statement or to its schedules that the holding company wishes to explain, that has been separately disclosed in the holding company's quarterly reports to its shareholders, in its press releases, or on its quarterly reports to the Securities and Exchange Commission (SEC). Exclude any transactions that have been separately disclosed under the reporting requirements specified in memoranda items 6 through 8 to Schedule HI, the Consolidated Income Statement.

Also include any transactions which previously would have appeared as footnotes to Schedules HI through HI-B.

Each additional piece of information disclosed should include the appropriate reference to schedule and item number, as well as a description of the additional information and the dollar amount (in thousands of dollars) associated with that disclosure.

1. Description [TEXT5351]

Text	Dollar Amounts in Thousands

1. Amount [BHCK5351]
2. Description [TEXT5352]

2. Amount [BHCK5352]
3. Description [TEXT5353]

3. Amount [BHCK5353]
4. Description [TEXT5354]

4. Amount [BHCK5354]
5. Description [TEXT5355]

5. Amount [BHCK5355]
6. Description [TEXTB042]

6. Amount	[BHCKB042]		
7. Description	[TEXTB043]		
7. Amount	[BHCKB043]		
8. Description	[TEXTB044]		
8. Amount	[BHCKB044]		
9. Description	[TEXTB045]		
9. Amount	[BHCKB045]		
10. Description	[TEXTB046]		
10. Amount	[BHCKB046]		
11. Description	[TEXTB047]		
11. Amount	[BHCKB047]		
12. Description	[TEXTB048]		

12. Amount

[BHCKB048]

13. Description

[TEXTB049]

13. Amount

[BHCKB049]

14. Description

[TEXTB050]

14. Amount

[BHCKB050]

15. Description

[TEXTB051]

15. Amount

[BHCKB051]

16. Description

[TEXTB052]

16. Amount

[BHCKB052]

17. Description

[TEXTB053]

17. Amount	[BHCKB053]		
18. Description	[TEXTB054]		
18. Amount	[BHCKB054]		
19. Description	[TEXTB055]		
19. Amount	[BHCKB055]		
20. Description	[TEXTB056]		
20. Amount	[BHCKB056]		

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Asof Date:	09/30/2016	Submitter:	67534	

Sections: Schedule HC - Consolidated Balance Sheet

Select

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Consolidated Financial Statements for Holding Companies - FR Y-9C
Schedule HC - Consolidated Balance Sheet

Assets

1. Cash and balances due from depository institutions:

a. Noninterest-bearing balances and currency [BHCK0081] and coin *

b. Interest-bearing balances: **

(1) In U.S. offices [BHCK0395]

(2) In foreign offices, Edge and Agreement subsidiaries, and IBFs [BHCK0397]

2. Securities:

a. Held-to-maturity securities (from Schedule HC-B, column A) [BHCK1754]

b. Available-for-sale securities (from Schedule HC-B, column D) [BHCK1773]

3. Federal funds sold and securities purchased under agreements to resell:

a. Federal funds sold in domestic offices [BHDMB987]

b. Securities purchased under agreements to resell *** [BHCKB989]

4. Loans and lease financing receivables:

a. Loans and leases held for sale [BHCK5369]

b. Loans and leases, net of unearned income [BHCKB528]

c. LESS: Allowance for loan and lease losses [BHCK3123]

d. Loans and leases, net of unearned income and allowance for loan and lease losses (item 4.b minus 4.c) [BHCKB529]

5. Trading assets (from Schedule HC-D) [BHCK3545]

6. Premises and fixed assets (including capitalized leases) [BHCK2145]

7. Other real estate owned (from Schedule HC-M) [BHCK2150]

8. Investments in unconsolidated subsidiaries and associated companies [BHCK2130]

Dollar Amounts in Thousands	Dollar Amounts in Thousands
	11227
	42312
	0
	1201
	347912
	4555
	0
	172
507196	
8601	
	498595
	0
	18655
	413
	310

9. Direct and indirect investments in real estate ventures	[BHCK3656]	0
10. Intangible assets:		
a. Goodwill	[BHCK3163]	3050
b. Other intangible assets (from Schedule HC -M)	[BHCK0426]	752
11. Other assets (from Schedule HC-F)	[BHCK2160]	23454
12. Total assets (sum of items 1 through 11)	[BHCK2170]	952608

* Includes cash items in process of collection and unposted debits.

** Includes time certificates of deposit not held for trading.

*** Includes all securities resale agreements in domestic and foreign offices, regardless of maturity.

		Dollar Amounts in Thousands
Liabilities		
13. Deposits:		
a. In domestic offices (from Schedule HC-E):		
(1) Noninterest-bearing *	[BHDM6631]	115339
(2) Interest-bearing	[BHDM6636]	630624
b. In foreign offices, Edge and Agreement subsidiaries, and IBFs:		
(1) Noninterest-bearing	[BHFN6631]	0
(2) Interest-bearing	[BHFN6636]	0
14. Federal funds purchased and securities sold under agreements to repurchase:		
a. Federal funds purchased in domestic offices **	[BHDMB993]	0
b. Securities sold under agreements to repurchase ***	[BHCKB995]	72477
15. Trading liabilities (from Schedule HC-D)	[BHCK3548]	0
16. Other borrowed money (includes mortgage indebtedness and obligations under capitalized leases) (from Schedule HC-M)	[BHCK3190]	28000
17. Not applicable		
18. Not applicable		
19. a. Subordinated notes and debentures ****	[BHCK4062]	0
b. Subordinated notes payable to unconsolidated trusts issuing trust preferred securities, and trust preferred securities issued by consolidated special purpose entities	[BHCKC699]	10310
20. Other liabilities (from Schedule HC-G)	[BHCK2750]	10654
21. Total liabilities (sum of items 13 through 20)	[BHCK2948]	867404
22. Not applicable		
Equity Capital		
Holding Company Equity Capital		
23. Perpetual preferred stock and related surplus	[BHCK3283]	0
24. Common stock (par value)	[BHCK3230]	3606
25. Surplus (exclude all surplus related to preferred stock)	[BHCK3240]	1243
26. a. Retained earnings	[BHCK3247]	81059
b. Accumulated other comprehensive income *****	[BHCKB530]	6663

c. Other equity capital components *****	[BHCKA130]	-7367
27. a. Total holding company equity capital (sum of items 23 through 26.c)	[BHCK3210]	85204
b. Noncontrolling (minority) interests in consolidated subsidiaries	[BHCK3000]	0
28. Total equity capital (sum of items 27.a and 27.b)	[BHCKG105]	85204
29. Total liabilities and equity capital (sum of items 21 and 28)	[BHCK3300]	952608

* Includes noninterest-bearing demand, time, and savings deposits.

** Report overnight Federal Home Loan Bank advances in Schedule HC, item 16, "Other borrowed money."

*** Includes all securities repurchase agreements in domestic and foreign offices regardless of maturity.

**** Includes limited-life preferred stock and related surplus.

***** Includes, but is not limited to, net unrealized holding gains (losses) on available-for-sale securities, accumulated net gains (losses) on cash flow hedges, cumulative foreign currency translation adjustments, and accumulated defined benefit pension and other postretirement plan adjustments.

***** Includes treasury stock and unearned Employee Stock Ownership Plan shares.

Enter "1" for Yes;
Enter "0" for No

Memoranda (to be completed annually by holding companies for the December 31 report date)

1. Has the holding company engaged in a full-scope independent external audit at any time during the calendar year? [BHCKC884]

2. If response to Memoranda item 1 is yes, indicate below the name and address of the holding company's independent external auditing firm (see instructions), and the name and e-mail address of the auditing firm's engagement partner. *

a. (1) Name of External Auditing Firm [TEXTC703]

(2) City [TEXTC708]

(3) State Abbreviation [TEXTC714]

Text

(4) Zip Code	[TEXTC715]		
b. (1) Name of Engagement Partner	[TEXTC704]		
(2) E-mail Address	[TEXTC705]		

* The Federal Reserve regards information submitted in response to Memorandum item 2.b as confidential.

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		Submitter:	67534

Sections: Schedule HC-B - Securities

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Consolidated Financial Statements for Holding Companies - FR Y-9C
Schedule HC-B - Securities

Dollar Amounts in Thousands		Held-to-Maturity		Available-for-Sale	
		(Column A) Amortized Cost	(Column B) Fair Value	(Column C) Amortized Cost	(Column D) Fair Value
1. U.S. Treasury securities	[BHCK0211] [BHCK0213] [BHCK1286] [BHCK1287]	0	0	0	0
2. U.S. government agency obligations (exclude mortgage-backed securities):					
a. Issued by U.S. government agencies *	[BHCK1289] [BHCK1290] [BHCK1291] [BHCK1293]	0	0	0	0
b. Issued by U.S. government- sponsored agencies **	[BHCK1294] [BHCK1295] [BHCK1297] [BHCK1298]	0	0	134690	138949
3. Securities issued by states and political subdivisions in the U.S.	[BHCK8496] [BHCK8497] [BHCK8498] [BHCK8499]	1201	1427	46570	48928
4. Mortgage-backed securities (MBS)					
a. Residential pass-through securities:					
(1) Guaranteed by GNMA	[BHCKG300] [BHCKG301] [BHCKG302] [BHCKG303]	0	0	3749	3897
(2) Issued by FNMA and FHLMC	[BHCKG304] [BHCKG305] [BHCKG306] [BHCKG307]	0	0	142316	146597
(3) Other pass-through securities	[BHCKG308] [BHCKG309] [BHCKG310] [BHCKG311]	0	0	0	0

b. Other residential mortgage-backed securities (include CMOs, REMICs, and stripped MBS):					
(1) Issued or guaranteed by U.S. Government agencies or sponsored agencies	[BHCKG312] [BHCKG313] [BHCKG314] [BHCKG315]	0	0	7973	8103
(2) Collateralized by MBS issued or guaranteed by U.S. Government agencies or sponsored agencies	[BHCKG316] [BHCKG317] [BHCKG318] [BHCKG319]	0	0	0	0
(3) All other residential mortgage-backed securities	[BHCKG320] [BHCKG321] [BHCKG322] [BHCKG323]	0	0	0	0
c. Commercial MBS:					
(1) Commercial pass-through securities:					
(a) Issued or guaranteed by FNMA, FHLMC, or GNMA	[BHCKK142] [BHCKK143] [BHCKK144] [BHCKK145]	0	0	0	0
(b) Other pass-through securities	[BHCKK146] [BHCKK147] [BHCKK148] [BHCKK149]	0	0	0	0
(2) Other commercial MBS:					
(a) Issued or guaranteed by U.S. Government agencies or sponsored agencies ***	[BHCKK150] [BHCKK151] [BHCKK152] [BHCKK153]	0	0	0	0
(b) All other commercial MBS	[BHCKK154] [BHCKK155] [BHCKK156] [BHCKK157]	0	0	0	0
5. Asset-backed securities and structured financial products:					
a. Asset-backed Securities (ABS)	[BHCKC026] [BHCKC988] [BHCKC989] [BHCKC027]	0	0	0	0
b. Structured financial products:					
(1) Cash	[BHCKG336] [BHCKG337] [BHCKG338] [BHCKG339]	0	0	1118	691
(2) Synthetic	[BHCKG340] [BHCKG341] [BHCKG342] [BHCKG343]	0	0	0	0
(3) Hybrid	[BHCKG344] [BHCKG345] [BHCKG346] [BHCKG347]	0	0	0	0
6. Other debt securities:					
a. Other domestic debt securities	[BHCK1737] [BHCK1738] [BHCK1739] [BHCK1741]	0	0	0	0
b. Other foreign debt securities	[BHCK1742] [BHCK1743] [BHCK1744] [BHCK1746]	0	0	0	0
				748	747

7. Investments in mutual funds and other equity securities with readily determinable fair values	[BHCKA510] [BHCKA511]				
8. Total (sum of 1 through 7) (total of column A must equal Schedule HC, item 2.a) (total of column D must equal Schedule HC, item 2.b)	[BHCT1754] [BHCK1771] [BHCK1772] [BHCT1773]	1201	1427	337164	347912

* Includes Small Business Administration "Guaranteed Loan Pool Certificates," U.S. Maritime Administration obligations, and Export-Import Bank participation certificates.

** Includes obligations (other than mortgage-backed securities) issued by the Farm Credit System, the Federal Home Loan Bank System, the Federal Home Loan Mortgage Corporation, the Federal National Mortgage Association, the Financing Corporation, Resolution Funding Corporation, the Student Loan Marketing Association, and the Tennessee Valley Authority.

*** U.S. Government agencies include, but are not limited to, such agencies as the Government National Mortgage Association (GNMA), the Federal Deposit Insurance Corporation (FDIC), and the National Credit Union Administration (NCUA). U.S. Government-sponsored agencies include, but are not limited to, such agencies as the Federal Home Loan Mortgage Corporation (FHLMC) and the Federal National Mortgage Association (FNMA).

		Dollar Amounts in Thousands
Memoranda		
1. Pledged securities *	[BHCK0416]	338593
2. Remaining maturity or next repricing date of debt securities **/** (Schedule HC-B, items 1 through 6.b in columns A and D above):		
a. 1 year and less	[BHCK0383]	6328
b. Over 1 year to 5 years	[BHCK0384]	99142
c. Over 5 years	[BHCK0387]	236743
3. Amortized cost of held-to-maturity securities sold or transferred to available-for-sale or trading securities during the calendar year-to-date (report the amortized cost at date of sale or transfer)	[BHCK1778]	0
4. Structured notes (included in the held-to-maturity and available-for-sale accounts in Schedule HC-B, items 2, 3, 5, and 6):		
a. Amortized cost	[BHCK8782]	1118
b. Fair value	[BHCK8783]	691

* Includes held-to-maturity securities at amortized cost and available-for-sale securities at fair value.

** Exclude investments in mutual funds and other equity securities with readily determinable fair values.

*** Report fixed-rate debt securities by remaining maturity and floating debt securities by next repricing date.

Dollar Amounts in Thousands		Held-to-Maturity		Available-for-Sale	
		(Column A) Amortized Cost	(Column B) Fair Value	(Column C) Amortized Cost	(Column D) Fair Value
Memorandum item 5 is to be completed by holding companies with total assets over \$1 billion or with foreign offices. *					
5. Asset-backed securities (ABS) (sum of Memorandum items 5.a through 5.f must equal Schedule HC-B, item 5.a):					
a. Credit card receivables	[BHCKB838] [BHCKB839]	0	0	0	0

	[BHCKB840]				
	[BHCKB841]				
b. Home equity lines	[BHCKB842]	0	0	0	0
	[BHCKB843]				
	[BHCKB844]				
	[BHCKB845]				
c. Automobile loans	[BHCKB846]	0	0	0	0
	[BHCKB847]				
	[BHCKB848]				
	[BHCKB849]				
d. Other consumer loans	[BHCKB850]	0	0	0	0
	[BHCKB851]				
	[BHCKB852]				
	[BHCKB853]				
e. Commercial and industrial loans	[BHCKB854]	0	0	0	0
	[BHCKB855]				
	[BHCKB856]				
	[BHCKB857]				
f. Other	[BHCKB858]	0	0	0	0
	[BHCKB859]				
	[BHCKB860]				
	[BHCKB861]				
6. Structured financial products by underlying collateral or reference assets (for each column, sum of Memorandum items 6.a through 6.g must equal Schedule HC-B, sum of items 5.b.(1) through (3)):					
a. Trust preferred securities issued by financial institutions	[BHCKG348]	0	0	1118	691
	[BHCKG349]				
	[BHCKG350]				
	[BHCKG351]				
b. Trust preferred securities issued by real estate investment trusts	[BHCKG352]	0	0	0	0
	[BHCKG353]				
	[BHCKG354]				
	[BHCKG355]				
c. Corporate and similar loans	[BHCKG356]	0	0	0	0
	[BHCKG357]				
	[BHCKG358]				
	[BHCKG359]				
d. 1- 4 family residential MBS issued or guaranteed by U.S. government-sponsored enterprises (GSEs)	[BHCKG360]	0	0	0	0
	[BHCKG361]				
	[BHCKG362]				
	[BHCKG363]				
e. 1- 4 family residential MBS not issued or guaranteed by GSEs	[BHCKG364]	0	0	0	0
	[BHCKG365]				
	[BHCKG366]				
	[BHCKG367]				
f. Diversified (mixed) pools of structured financial products	[BHCKG368]	0	0	0	0
	[BHCKG369]				
	[BHCKG370]				
	[BHCKG371]				
g. Other collateral or reference assets	[BHCKG372]	0	0	0	0
	[BHCKG373]				
	[BHCKG374]				
	[BHCKG375]				

* The \$1 billion asset size test is generally based on the total assets reported as of June 30, 2015.

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Sections:

Consolidated Financial Statements for Holding Companies - FR Y-9C
Schedule HC-C - Loans and Lease Financing Receivables

Dollar Amounts in Thousands

(Column A)
Consolidated(Column B)
In Domestic Offices

Do not deduct the allowance for loan and lease losses from amounts reported in this schedule. Report (1) loans and leases held for sale at the lower of cost or fair value, (2) loans and leases held for investment, net of unearned income, and (3) loans and leases accounted for at fair value under a fair value option. Exclude assets held for trading and commercial paper.

1. Loans secured by real estate [BHCK1410]

387755

a. Construction, land development, and other land loans:

(1) 1- 4 family residential construction loans [BHCKF158]

5480

(2) Other construction loans and all land development and other land loans [BHCKF159]

13601

b. Secured by farmland [BHDM1420]

50567

c. Secured by 1- 4 family residential properties:

(1) Revolving, open-end loans secured by 1- 4 family residential properties and extended under lines of credit [BHDM1797]

25905

(2) Closed-end loans secured by 1- 4 family residential properties:

(a) Secured by first liens [BHDM5367]

70798

(b) Secured by junior liens [BHDM5368]

8092

d. Secured by multifamily (5 or more) residential properties [BHDM1460]

19333

e. Secured by nonfarm nonresidential properties:

(1) Loans secured by owner- occupied nonfarm nonresidential properties [BHCKF160]

79580

(2) Loans secured by other nonfarm nonresidential properties [BHCKF161]

114399

2. Loans to depository institutions and acceptances of other banks	[BHDM1288]		0
a. To U.S. banks and other U.S. depository institutions	[BHCK1292]	0	
b. To foreign banks	[BHCK1296]	0	
3. Loans to finance agricultural production and other loans to farmers	[BHCK1590] [BHDM1590]	36232	36232
4. Commercial and industrial loans	[BHDM1766]		34160
a. To U.S. addressees (domicile)	[BHCK1763]	34160	
b. To non-U.S. addressees (domicile)	[BHCK1764]	0	
5. Not applicable			
6. Loans to individuals for household, family, and other personal expenditures (i.e., consumer loans) (includes purchased paper)	[BHDM1975]		45917
a. Credit cards	[BHCKB538]	0	
b. Other revolving credit plans	[BHCKB539]	185	
c. Automobile loans	[BHCKK137]	38620	
d. Other consumer loans (includes single payment, installment, and all student loans)	[BHCKK207]	7112	
7. Loans to foreign governments and official institutions (including foreign central banks)	[BHCK2081] [BHDM2081]	0	0
8. Not applicable			
9. Loans to nondepository financial institutions and other loans:			
a. Loans to nondepository financial institutions	[BHCKJ454] [BHDMJ454]	0	0
b. Other loans			
(1) Loans for purchasing or carrying securities (secured or unsecured)	[BHCK1545] [BHDM1545]	31	31
(2) All other loans (exclude consumer loans)	[BHCKJ451] [BHDMJ451]	3290	3290
10. Lease financing receivables (net of unearned income)	[BHDM2165]		0
a. Leases to individuals for household, family, and other personal expenditures (i.e., consumer leases)	[BHCKF162]	0	
b. All other leases	[BHCKF163]	0	
11. LESS: Any unearned income on loans reflected in items 1-9 above	[BHCK2123] [BHDM2123]	17	17
12. Total (sum of items 1 through 10 minus item 11)(total of column A must equal Schedule HC, sum of items 4.a and 4.b)	[BHCK2122] [BHDM2122]	507368	507368

Memoranda

1. Loans restructured in troubled debt restructurings that are in compliance with their modified terms (included in Schedule HC-C, and not reported as past due or nonaccrual in Schedule HC-N, Memorandum item 1):

a. Construction, land development, and other land loans in domestic offices:

(1) 1- 4 family residential construction loans [BHDMK158]

Dollar Amounts in Thousands	Dollar Amounts in Thousands
	0

(2) All other construction loans and all land development and other land loans	[BHDMK159]		0
b. Loans secured by 1- 4 family residential properties in domestic offices	[BHDMF576]		208
c. Secured by multifamily (5 or more) residential properties in domestic offices	[BHDMK160]		0
d. Secured by nonfarm nonresidential properties in domestic offices:			
(1) Loans secured by owner-occupied nonfarm nonresidential properties	[BHDMK161]		280
(2) Loans secured by other nonfarm nonresidential properties	[BHDMK162]		0
e. Commercial and Industrial loans:			
(1) To U.S. addressees (domicile)	[BHCKK163]	0	
(2) To non-U.S. addressees (domicile)	[BHCKK164]	0	
f. All other loans (include loans to individuals for household, family, and other personal expenditures) *	[BHCKK165]		0
Itemize and describe loan categories included in Memorandum item 1.f, above that exceed 10 percent of total loans restructured in troubled debt restructurings that are in compliance with their modified terms (sum of Memorandum items 1.a through 1.f):			
(1) Loans secured by farmland in domestic offices	[BHDMK166]		0
(2) Loans to finance agricultural production and other loans to farmers	[BHCKK168]		0
(3) Loans to individuals for household, family, and other personal expenditures:			
(a) Credit cards	[BHCKK098]		0
(b) Automobile loans	[BHCKK203]		0
(c) Other consumer loans (includes single payment, installment, all student loans, and revolving credit plans other than credit cards)	[BHCKK204]		0
2. Loans to finance commercial real estate, construction, and land development activities (not secured by real estate) included in Schedule HC-C, items 4 and 9, Column A, above	[BHCK2746]		0
3. Loans secured by real estate to non-U.S. addressees (domicile) (included in Schedule HC-C, item 1, column A)	[BHCKB837]		0
Memorandum item 4 is to be completed by (1) holding companies that, together with affiliated institutions, have outstanding credit card receivables (as defined in the instructions) that exceed \$500 million as of the report date or (2) holding companies that on a consolidated basis are credit card specialty holding companies (as defined in the instructions).			
4. Outstanding credit card fees and finance charges (included in Schedule HC-C, item 6.a, Column A)	[BHCKC391]		0
Memorandum item 5 is to be completed by all holding companies.			
5. Purchased credit-impaired loans held for investment accounted for in accordance with AICPA Statement of Position 03- 3 (exclude loans held for sale):			
a. Outstanding balance	[BHCKC779]		0
b. Amount included in Schedule HC-C, items 1 through 9	[BHCKC780]		0

6. Closed-end loans with negative amortization features secured by 1- 4 family residential properties in domestic offices:

- a. Total amount of closed-end loans with negative amortization features secured by 1- 4 family residential properties (included in Schedule HC-C, items 1.c.(2)(a) and (b))

Memorandum items 6.b and 6.c are to be completed by holding companies that had closed-end loans with negative amortization features secured by 1- 4 family residential properties (as reported in Schedule HC-C, Memorandum item 6.a) as of December 31, 2015, that exceeded the lesser of \$100 million or 5 percent of total loans and leases, net of unearned income, in domestic offices (as reported in Schedule HC-C, item 12, column B).

6. b. Total maximum remaining amount of negative amortization contractually permitted on closed-end loans secured by 1- 4 family residential properties [BHCKF231]

- c. Total amount of negative amortization on closed-end loans secured by 1- 4 family residential properties included in the amount reported in Memorandum item 6.a above [BHCKF232]

7.-8. Not applicable.

9. Loans secured by 1- 4 family residential properties in domestic offices in process of foreclosure (included in Schedule HC-C, items 1.c.(1), 1.c.(2)(a), and 1.c.(2)(b)) [BHDMF577]

	0
	0
	0
	0

Dollar Amounts in Thousands

(Column A)
Consolidated

(Column B)
In Domestic Offices

Memorandum items 10 and 11 are to be completed by holding companies that have elected to measure loans included in Schedule HC-C, items 1 through 9, at fair value under a fair value option.

10. Loans measured at fair value:

- a. Loans secured by real estate [BHCKF608]

- (1) Construction, land development, and other land loans [BHDMF578]

- (2) Secured by farmland (including farm residential and other improvements) [BHDMF579]

- (3) Secured by 1- 4 family residential properties:

- (a) Revolving, open-end loans secured by 1- 4 family residential properties and extended under lines of credit [BHDMF580]

- (b) Closed-end loans secured by 1- 4 family residential properties:

- (i) Secured by first liens [BHDMF581]

- (ii) Secured by junior liens [BHDMF582]

- (4) Secured by multifamily (5 or more) residential properties [BHDMF583]

- (5) Secured by nonfarm nonresidential properties [BHDMF584]

- b. Commercial and industrial loans [BHCKF585]
[BHDMF585]

- c. Loans to individuals for household, family, and other personal expenditures (i.e., consumer loans) (includes purchased paper):

- (1) Credit cards [BHCKF586]
[BHDMF586]

- (2) Other revolving credit plans [BHCKF587]
[BHDMF587]

0	
	0
	0
	0
	0
	0
	0
0	0
0	0
0	0

(3) Automobile loans	[BHCKK196]	0	0
	[BHDMK196]		
(4) Other consumer loans (includes single payment, installment, and all student loans)	[BHCKK208]	0	0
	[BHDMK208]		
d. Other loans	[BHCKF589]	0	0
	[BHDMF589]		
11. Unpaid principal balances of loans measured at fair value (reported in memorandum item 10):			
a. Loans secured by real estate	[BHCKF609]	0	
(1) Construction, land development, and other land loans	[BHDMF590]		0
(2) Secured by farmland (including farm residential and other improvements)	[BHDMF591]		0
(3) Secured by 1- 4 family residential properties:			
(a) Revolving, open-end loans secured by 1- 4 family residential properties and extended under lines of credit	[BHDMF592]		0
(b) Closed-end loans secured by 1- 4 family residential properties:			
(i) Secured by first liens	[BHDMF593]		0
(ii) Secured by junior liens	[BHDMF594]		0
(4) Secured by multifamily (5 or more) residential properties	[BHDMF595]		0
(5) Secured by nonfarm nonresidential properties	[BHDMF596]		0
b. Commercial and industrial loans	[BHCKF597]	0	0
	[BHDMF597]		
c. Loans to individuals for household, family, and other personal expenditures (i.e., consumer loans) (includes purchased paper):			
(1) Credit cards	[BHCKF598]	0	0
	[BHDMF598]		
(2) Other revolving credit plans	[BHCKF599]	0	0
	[BHDMF599]		
(3) Automobile loans	[BHCKK195]	0	0
	[BHDMK195]		
(4) Other consumer loans (includes single payment, installment, and all student loans)	[BHCKK209]	0	0
	[BHDMK209]		
d. Other loans	[BHCKF601]	0	0
	[BHDMF601]		

Dollar Amounts in Thousands

(Column A) Fair value of acquired loans and leases at acquisition date	(Column B) Gross contractual amounts receivable at acquisition	(Column C) Best estimate at acquisition date of contractual cash flows not expected to be collected
--	---	--

12. Loans (not subject to the requirements of AICPA Statement of Position 03-3) and leases held for investment that are acquired in business combinations with acquisition dates in the current calendar year:

a. Loans secured by real estate	[BHCKG091]	0	0	0
	[BHCKG092]			
	[BHCKG093]			
b. Commercial and industrial loans	[BHCKG094]	0	0	0
	[BHCKG095]			
	[BHCKG096]			

c. Loans to individuals for household, family, and other personal expenditures	[BHCKG097]	0	0	0
	[BHCKG098]			
	[BHCKG099]			
d. All other loans and all leases	[BHCKG100]	0	0	0
	[BHCKG101]			
	[BHCKG102]			

Dollar Amounts in Thousands
413204

13. Not applicable

14. Pledged loans and leases [BHCKG378]

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	[BHCKG386]		
	[BHDMG386]		
6. Loans:			
a. Loans secured by real estate	[BHCKF610]		
(1) Construction, land development, and other land loans	[BHDMF604]		
(2) Secured by farmland (including farm residential and other improvements)	[BHDMF605]		
(3) Secured by 1- 4 family residential properties:			
(a) Revolving, open-end loans secured by 1- 4 family residential properties and extended under lines of credit	[BHDMF606]		
(b) Closed-end loans secured by 1- 4 family residential properties:			
(i) Secured by first liens	[BHDMF607]		
(ii) Secured by junior liens	[BHDMF611]		
(4) Secured by multifamily (5 or more) residential properties	[BHDMF612]		
(5) Secured by nonfarm nonresidential properties	[BHDMF613]		
b. Commercial and industrial loans	[BHCKF614]		
	[BHDMF614]		
c. Loans to individuals for household, family, and other personal expenditures (i.e., consumer loans) (includes purchased paper):			
(1) Credit cards	[BHCKF615]		
	[BHDMF615]		
(2) Other revolving credit plans	[BHCKF616]		
	[BHDMF616]		
(3) Automobile loans	[BHCKK199]		
	[BHDMK199]		
(4) Other consumer loans (includes single payment, installment, and all student loans)	[BHCKK210]		
	[BHDMK210]		
d. Other loans	[BHCKF618]		
	[BHDMF618]		
7.- 8. Not applicable			
9. Other trading assets	[BHCM3541]		
	[BHCK3541]		
10. Not applicable			
11. Derivatives with a positive fair value	[BHCM3543]		
	[BHCK3543]		
12. Total trading assets (sum of items 1 through 11)(total of Column A must equal Schedule HC, item 5)	[BHCT3545]		
	[BHDM3545]		
Liabilities			
13. a. Liability for short positions:			
(1) Equity securities	[BHCKG209]		
	[BHDMG209]		
(2) Debt securities	[BHCKG210]		
	[BHDMG210]		
(3) All other assets	[BHCKG211]		
	[BHDMG211]		
b. All other trading liabilities	[BHCKF624]		
	[BHDMF624]		
14. Derivatives with a negative fair value	[BHCK3547]		
	[BHDM3547]		
15. Total trading liabilities (sum of items 13.a through 14)(total of column A must equal Schedule HC, item 15)	[BHCT3548]		
	[BHDM3548]		

* U.S. Government agencies include, but are not limited to, such agencies as the Government National Mortgage Association (GNMA), the Federal Deposit Insurance Corporation (FDIC), and the National Credit Union Administration (NCUA). U.S. Government-sponsored agencies include, but are not limited to, such agencies as the Federal Home Loan Mortgage Corporation (FHLMC) and the Federal National Mortgage Association (FNMA).

Dollar Amounts in Thousands		(Column A) Consolidated	(Column B) In Domestic Offices
Memoranda			
1. Unpaid principal balance of loans measured at fair value (reported in Schedule HC-D, items 6.a. through 6.d.)			
a. Loans secured by real estate	[BHCKF790]		
(1) Construction, land development, and other land loans	[BHDMF625]		
(2) Secured by farmland (including farm residential and other improvements)	[BHDMF626]		
(3) Secured by 1- 4 family residential properties:			
(a) Revolving, open-end land secured by 1- 4 family residential properties and extended under lines of credit	[BHDMF627]		
(b) Closed-end loans secured by 1- 4 family residential properties:			
(i) Secured by first liens	[BHDMF628]		
(ii) Secured by junior liens	[BHDMF629]		
(4) Secured by multifamily (5 or more) residential properties	[BHDMF630]		
(5) Secured by nonfarm nonresidential properties	[BHDMF631]		
b. Commercial and industrial loans	[BHCKF632] [BHDMF632]		
c. Loans to individuals for household, family, and other personal expenditures (i.e., consumer loans) (includes purchased paper):			
(1) Credit cards	[BHCKF633] [BHDMF633]		
(2) Other revolving credit plans	[BHCKF634] [BHDMF634]		
(3) Automobile loans	[BHCKK200] [BHDMK200]		
(4) Other consumer loans (includes single payment, installment, and all student loans)	[BHCKK211] [BHDMK211]		
d. Other loans	[BHCKF636] [BHDMF636]		
2. Loans measured at fair value that are past due 90 days or more:			
a. Fair value	[BHCKF639] [BHDMF639]		
b. Unpaid principal balance	[BHCKF640] [BHDMF640]		
3. Structured financial products by underlying collateral or reference assets (for each column, sum of Memorandum items 3.a through 3.g must equal Schedule HC-D, sum of items 5.a.(1) through (3)):			
a. Trust preferred securities issued by financial institutions	[BHCKG299] [BHDMG299]		

b. Trust preferred securities issued by real estate investment trusts	[BHCKG332]		
	[BHDMG332]		
c. Corporate and similar loans	[BHCKG333]		
	[BHDMG333]		
d. 1- 4 family residential MBS issued or guaranteed by U.S. government-sponsored enterprises (GSEs)	[BHCKG334]		
	[BHDMG334]		
e. 1- 4 family residential MBS not issued or guaranteed by GSEs	[BHCKG335]		
	[BHDMG335]		
f. Diversified (mixed) pools of structured financial products	[BHCKG651]		
	[BHDMG651]		
g. Other collateral or reference assets	[BHCKG652]		
	[BHDMG652]		
4. Pledged trading assets:			
a. Pledged securities	[BHCKG387]		
	[BHDMG387]		
b. Pledged loans	[BHCKG388]		
	[BHDMG388]		

Memoranda items 5 through 10 are to be completed by holding companies that reported average trading assets (Schedule HC-K, item 4.a.) of \$1 billion or more in any of the four preceding quarters.

		Dollar Amounts in Thousands
5. Asset-backed securities:		
a. Credit card receivables	[BHCKF643]	
b. Home equity lines	[BHCKF644]	
c. Automobile loans	[BHCKF645]	
d. Other consumer loans	[BHCKF646]	
e. Commercial and industrial loans	[BHCKF647]	
f. Other	[BHCKF648]	
6. Retained beneficial interests in securitizations (first-loss or equity tranches)	[BHCKF651]	
7. Equity securities:		
a. Readily determinable fair values	[BHCKF652]	
b. Other	[BHCKF653]	
8. Loans pending securitization	[BHCKF654]	
9. a. (1) Gross fair value of commodity contracts	[BHCKG212]	
(2) Gross fair value of physical commodities held in inventory	[BHCKG213]	

9. b. Other trading assets (itemize and describe amounts included in Schedule HC-D, item 9, column A (other than amounts included in Memoranda items 9.a.(1) and 9.a.(2) above) that are greater than \$1,000,000,000 and exceed 25 percent of item 9 less Memoranda items 9.a.(1) and 9. a. (2)):

(1) Description

[BHTXF655]

Text	Dollar Amounts in Thousands

(1) Amount	[BHCKF655]		
(2) Description	[BHTXF656]		
(2) Amount	[BHCKF656]		
(3) Description	[BHTXF657]		
(3) Amount	[BHCKF657]		
10. Other trading liabilities (itemize and describe amounts included in Schedule HC-D, item 13.b that are greater than \$1,000,000 and exceed 25 percent of the item):			
a. Description	[BHTXF658]		
a. Amount	[BHCKF658]		
b. Description	[BHTXF659]		
b. Amount	[BHCKF659]		
c. Description	[BHTXF660]		

c. Amount

[BHCKF660]

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Sections: Schedule HC-E - Deposit Liabilities *

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Consolidated Financial Statements for Holding Companies - FR Y-9C

Schedule HC-E - Deposit Liabilities *

1. Deposits held in domestic offices of commercial bank subsidiaries of the reporting holding company:

- a. Noninterest-bearing balances ** [BHCB2210]
- b. Interest-bearing demand deposits, NOW, ATS, and other transaction accounts [BHCB3187]
- c. Money market deposit accounts and other savings accounts [BHCB2389]
- d. Time deposits of less than \$100,000 [BHCB6648]
- e. Time deposits of \$100,000 or more [BHCB2604]

Dollar Amounts in Thousands

	115339
	42489
	370979
	128755
	88401

2. Deposits held in domestic offices of other depository institutions that are subsidiaries of the reporting holding company:

- a. Noninterest-bearing balances ** [BHOD3189]
- b. Interest-bearing demand deposits, NOW, ATS, and other transaction accounts [BHOD3187]
- c. Money market deposit accounts and other savings accounts [BHOD2389]
- d. Time deposits of less than \$100,000 [BHOD6648]
- e. Time deposits of \$100,000 or more [BHOD2604]

Dollar Amounts in Thousands

	1046

Memoranda

[BHDMA243]

* The sum of items 1.a through 1.e and items 2.a through 2.e must equal the sum of Schedule HC, items 13.a.(1) and 13.a.(2).

** Includes noninterest-bearing demand, time, and savings deposits.

1. Brokered deposits less than \$100,000 with a remaining maturity of one year or less
2. Brokered deposits less than \$100,000 [BHDMA164] with a remaining maturity of more than one year
3. Time deposits of \$100,000 or more [BHDMA242] with a remaining maturity of one year or less
4. Foreign office time deposits with a remaining maturity of one year or less [BHFNA245]

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51261
0



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Sections: Schedule HC-F - Other Assets

Select

Consolidated Financial Statements for Holding Companies - FR Y-9C
Schedule HC-F - Other Assets

		Dollar Amounts in Thousands
1. Accrued interest receivable *	[BHCKB556]	4073
2. Net deferred tax assets **	[BHCK2148]	0
3. Interest-only strips receivable (not in the form of a security) *** on:		
a. Mortgage loans	[BHCKA519]	0
b. Other financial assets	[BHCKA520]	0
4. Equity securities that DO NOT have readily determinable fair values ****	[BHCK1752]	2647
5. Life insurance assets:		
a. General account life insurance assets	[BHCKK201]	14488
b. Separate account life insurance assets	[BHCKK202]	0
c. Hybrid account life insurance assets	[BHCKK270]	0
6. Other	[BHCK2168]	2246
7. Total (sum of items 1 through 6) (must equal Schedule HC, item 11)	[BHCT2160]	23454

* Include accrued interest receivable on loans, leases, debt securities and other interest-bearing assets.

** See discussion of deferred income taxes in Glossary entry on "income taxes."

*** Report interest-only strips receivable in the form of a security as available-for-sale securities in Schedule HC, item 2.b, or as trading assets in Schedule HC, item 5, as appropriate.

**** Include Federal Reserve stock, Federal Home Loan Bank stock, and bankers' bank stock.

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Sections: Schedule HC-G - Other Liabilities

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Consolidated Financial Statements for Holding Companies - FR Y-9C

Schedule HC-G - Other Liabilities

		Dollar Amounts in Thousands
1. Not applicable		
2. Net deferred tax liabilities *	[BHCK3049]	2747
3. Allowance for credit losses on off- balance-sheet credit exposures	[BHCKB557]	0
4. Other	[BHCKB984]	7907
5. Total (sum of items 2 through 4) (must equal Schedule HC, item 20)	[BHCT2750]	10654

* See discussion of deferred income taxes in Glossary entry on "income taxes."



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Sections: Schedule HC-H - Interest Sensitivity *

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Consolidated Financial Statements for Holding Companies - FR Y-9C
Schedule HC-H - Interest Sensitivity *

		Dollar Amounts in Thousands
1. Earning assets that are repriceable within one year or mature within one year	[BHCK3197]	213241
2. Interest-bearing deposit liabilities that reprice within one year or mature within one year included in item 13.a.(2) and 13.b.(2) on Schedule HC, Balance Sheet	[BHCK3296]	118045
3. Long-term debt that reprices within one year included in items 16 and 19.a on Schedule HC, Balance Sheet	[BHCK3298]	0
4. Variable-rate preferred stock (includes both limited-life and perpetual preferred stock)	[BHCK3408]	0
5. Long-term debt reported in Schedule HC, item 19.a on the Balance Sheet that is scheduled to mature within one year	[BHCK3409]	0

* Holding companies with foreign offices have the option of excluding the smallest of such non-U.S. offices from coverage in this schedule. Such holding companies may omit the smallest of their offices in foreign countries when arrayed by total assets provided that the assets of the excluded offices do not exceed 50 percent of the total assets of the holding company's assets in foreign countries and 10 percent of the holding company's total consolidated assets as of the report date.

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Sections: Schedule HC-I - Insurance-Related Underwriting Activities (Including Reinsurance) ▼

Select

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Consolidated Financial Statements for Holding Companies - FR Y-9C**Schedule HC-I - Insurance-Related Underwriting Activities (Including Reinsurance)**

Schedule HC-I must be completed by all top-tier holding companies. (See instructions for additional information.)

I. Property and Casualty Underwriting

Item 1 is to be completed by holding companies with \$10,000,000 or more in reinsurance recoverables as of the effective date each quarter.

Assets

- | | | |
|-----------------------------|------------|--|
| 1. Reinsurance recoverables | [BHCKB988] | |
| 2. Total assets | [BHCKC244] | |

Liabilities

- | | | |
|--|------------|--|
| 3. Claims and claims adjustment expense reserves | [BHCKB990] | |
| 4. Unearned premiums | [BHCKB991] | |
| 5. Total equity | [BHCKC245] | |
| 6. Net income | [BHCKC246] | |

Dollar Amounts in
Thousands**II. Life and Health Underwriting**

Item 1 is to be completed by holding companies with \$10,000,000 or more in reinsurance recoverables as of the effective date each quarter.

Assets

- | | | |
|-----------------------------|------------|--|
| 1. Reinsurance recoverables | [BHCKC247] | |
| 2. Separate account assets | [BHCKB992] | |
| 3. Total assets | [BHCKC248] | |

Liabilities

[BHCKB994]

Dollar Amounts in
Thousands

- 4. Policyholder benefits and contractholder funds
- 5. Separate account liabilities
- 6. Total equity
- 7. Net income

[BHCKB996]
[BHCKC249]
[BHCKC250]

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Sections: Schedule HC-K - Quarterly Averages ▼SelectModify Cancel

Consolidated Financial Statements for Holding Companies - FR Y-9C
Schedule HC-K - Quarterly Averages

			Dollar Amounts in Thousands
Assets			
1. Securities:			
a. U.S. Treasury securities and U.S. government agency obligations (excluding mortgage-backed securities)	[BHCKB558]		129763
b. Mortgage-backed securities	[BHCKB559]		143488
c. All other securities (includes securities issued by states and political subdivisions in the U.S.)	[BHCKB560]		48813
2. Federal funds sold and securities purchased under agreements to resell	[BHCK3365]		4925
3. a. Total loans and leases in domestic offices	[BHDM3516]		510604
(1) Loans secured by 1- 4 family residential properties	[BHDM3465]		106202
(2) All other loans secured by real estate	[BHDM3466]		284652
(3) Loans to finance agricultural production and other loans to farmers	[BHDM3386]		35711
(4) Commercial and industrial loans	[BHDM3387]		35579
(5) Loans to individuals for household, family, and other personal expenditures:			
(a) Credit cards	[BHDMB561]		0
(b) Other (includes single payment, installment other than auto loans, all student loans, and revolving credit plans other than credit cards)	[BHDMB562]		45714
b. Total loans and leases in foreign offices, Edge and agreement subsidiaries, and IBFs	[BHFN3360]		0
4. a. Trading assets	[BHCK3401]		0
b. Other earning assets	[BHCKB985]		2647
5. Total consolidated assets	[BHCK3368]		910273
Liabilities			

6. Interest-bearing deposits (domestic) *	[BHCK3517]	628771
7. Interest-bearing deposits (foreign) *	[BHCK3404]	0
8. Federal funds purchased and securities sold under agreements to repurchase	[BHCK3353]	70267
9. All other borrowed money	[BHCK2635]	23435
10. Not applicable		
Equity Capital		
11. Total equity capital (excludes limited-life preferred stock)	[BHCK3519]	77501

* Includes interest-bearing demand deposits.

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Reporter:	1404632 - FIRST BKR	Status:	SUBMITTED	Instructions
Series:	FRY9C	Submission Date:	10/28/2016 9:01am ET	
As of Date:	09/30/2016	Submitter:	67534	

Sections: Schedule HC-L - Derivatives and Off-Balance-Sheet Items

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Consolidated Financial Statements for Holding Companies - FR Y-9C
Schedule HC-L - Derivatives and Off-Balance-Sheet Items

Report only transactions with nonrelated institutions

1. Unused commitments (report only the unused portions of commitments that are fee paid or otherwise legally binding):

a. Revolving, open-end loans secured by 1- 4 [BHCK3814]
family residential properties, (e.g., home equity lines)

b. (1) Unused consumer credit card lines [BHCKJ455]

(2) Other unused credit card lines [BHCKJ456]

c. (1) Commitments to fund commercial real estate, construction, and land development loans secured by real estate (sum of items 1.c.(1)(a) and (b) must equal item 1.c.(1)) [BHCK3816]

(a) 1- 4 family residential construction loan commitments [BHCKF164]

(b) Commercial real estate, other construction loan, and land development loan commitments [BHCKF165]

(2) Commitments to fund commercial real estate, construction, and land development loans NOT secured by real estate [BHCK6550]

d. Securities underwriting [BHCK3817]

e. Other unused commitments:

(1) Commercial and industrial loans [BHCKJ457]

(2) Loans to financial institutions [BHCKJ458]

(3) All other unused commitments [BHCKJ459]

2. Financial standby letters of credit and foreign office guarantees [BHCK6566]

Item 2.a is to be completed by holding companies with \$1 billion or more in total assets. *

a. Amount of financial standby letters of credit conveyed to others [BHCK3820]

Dollar Amounts in Thousands	Dollar Amounts in Thousands
	18759
	0
	0
	10497
1225	
9272	
	0
	0
	30126
	0
	23020
	0
	0

3. Performance standby letters of credit and foreign office guarantees	[BHCK6570]	967
Item 3.a is to be completed by holding companies with \$1 billion or more in total assets. *		
a. Amount of performance standby letters of credit conveyed to others	[BHCK3822]	0
4. Commercial and similar letters of credit	[BHCK3411]	0
5. Not applicable		
6. Securities:		
a. Securities lent	[BHCK3433]	0
b. Securities borrowed	[BHCK3432]	0

* The \$1 billion asset size test is generally based on the total assets reported as of June 30, 2015.

	(Column A) Sold Protection	(Column B) Purchased Protection
7. Credit derivatives:		
a. Notional amounts:		
(1) Credit default swaps	[BHCKC968]	
	[BHCKC969]	
(2) Total return swaps	[BHCKC970]	
	[BHCKC971]	
(3) Credit options	[BHCKC972]	
	[BHCKC973]	
(4) Other credit derivatives	[BHCKC974]	
	[BHCKC975]	
b. Gross fair values:		
(1) Gross positive fair value	[BHCKC219]	
	[BHCKC221]	
(2) Gross negative fair value	[BHCKC220]	
	[BHCKC222]	

	Dollar Amounts in Thousands
7. c. Notional amounts by regulatory capital treatment:	
(1) Positions covered under the Market Risk Rule:	
(a) Sold protection	[BHCKG401]
(b) Purchased protection	[BHCKG402]
(2) All other positions:	
(a) Sold protection	[BHCKG403]
(b) Purchased protection that is recognized as a guarantee for regulatory capital purposes	[BHCKG404]
(c) Purchased protection that is not recognized as a guarantee for regulatory capital purposes	[BHCKG405]

	Remaining Maturity of:		
Dollar Amounts in Thousands	(Column A) One year or less	(Column B) Over One Year Through Five Years	(Column C) Over Five Years
7. d. Notional amounts by remaining maturity:			
(1) Sold credit protection:			

(a) Investment grade	[BHCKG406]			
	[BHCKG407]			
	[BHCKG408]			
(b) Subinvestment grade	[BHCKG409]			
	[BHCKG410]			
	[BHCKG411]			
(2) Purchased credit protection:				
(a) Investment grade	[BHCKG412]			
	[BHCKG413]			
	[BHCKG414]			
(b) Subinvestment grade	[BHCKG415]			
	[BHCKG416]			
	[BHCKG417]			

		Dollar Amounts in Thousands
8. Spot foreign exchange contracts	[BHCK8765]	
9. All other off-balance-sheet items (exclude derivatives) (include in item 9 the aggregate amount all other off-balance-sheet items that individually exceed 10 percent of Schedule HC, item 27.a, "Total holding company equity capital") (itemize and describe in items 9.a through 9.f only amounts that exceed 25 percent of Schedule HC, item 27.a)	[BHCK3430]	
a. Commitments to purchase when-issued securities	[BHCK3434]	
b. Commitments to sell when-issued securities	[BHCK3435]	

		Text	Dollar Amounts in Thousands
9. c. Description	[TEXT6561]		
c. Amount	[BHCK6561]		
d. Description	[TEXT6562]		
d. Amount	[BHCK6562]		
e. Description	[TEXT6568]		

e. Amount	[BHCK6568]		
f. Description	[TEXT6586]		
f. Amount	[BHCK6586]		
10. Not applicable			

Dollar Amounts in Thousands		(Column A) Interest Rate Contracts	(Column B) Foreign Exchange Contracts	(Column C) Equity Derivative Contracts	(Column D) Commodity and Other Contracts
Derivatives Position Indicators					
11. Gross amounts (e.g., notional amounts) (for each column, sum of items 11.a through 11.e must equal sum of items 12 and 13):					
a. Futures contracts	[BHCK8693] [BHCK8694] [BHCK8695] [BHCK8696]	0	0	0	0
b. Forward contracts	[BHCK8697] [BHCK8698] [BHCK8699] [BHCK8700]	3345	0	0	0
c. Exchange-traded option contracts:					
(1) Written options	[BHCK8701] [BHCK8702] [BHCK8703] [BHCK8704]	0	0	0	0
(2) Purchased options	[BHCK8705] [BHCK8706] [BHCK8707] [BHCK8708]	0	0	0	0
d. Over-the-counter option contracts:					
(1) Written options	[BHCK8709] [BHCK8710] [BHCK8711] [BHCK8712]	3173	0	0	0
(2) Purchased options	[BHCK8713] [BHCK8714] [BHCK8715] [BHCK8716]	0	0	0	0
e. Swaps	[BHCK3450] [BHCK3826] [BHCK8719] [BHCK8720]	0	0	0	0
12. Total gross notional amount of derivative contracts held for trading	[BHCKA126] [BHCKA127] [BHCK8723] [BHCK8724]	0	0	0	0
13. Total gross notional amount of derivative contracts held for purposes other than trading	[BHCK8725] [BHCK8726] [BHCK8727] [BHCK8728]	6518	0	0	0

(6) Equity securities	[BHCKG448]					
	[BHCKG449]					
	[BHCKG450]					
	[BHCKG451]					
	[BHCKG452]					
(7) All other collateral	[BHCKG453]					
	[BHCKG454]					
	[BHCKG455]					
	[BHCKG456]					
	[BHCKG457]					
(8) Total fair value of collateral (sum of items 15.b.(1) through (7))	[BHCKG458]					
	[BHCKG459]					
	[BHCKG460]					
	[BHCKG461]					
	[BHCKG462]					

* The \$10 billion asset size test is generally based on the total assets reported as of June 30, 2015.

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Series:	FRY9C	Status:	SUBMITTED
As of Date:	09/30/2016	Submission Date:	10/28/2016 9:01am ET
		Submitter:	67534

Sections: Schedule HC-M - Memoranda

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Consolidated Financial Statements for Holding Companies - FR Y-9C
Schedule HC-M - Memoranda

- | | |
|--|------------|
| 1. Total number of holding company common shares outstanding | [BHCK3459] |
| 2. Debt maturing in one year or less (included in Schedule HC, items 16 and 19.a) that is issued to unrelated third parties by bank subsidiaries | [BHCK6555] |
| 3. Debt maturing in more than one year (included in Schedule HC, items 16 and 19.a) that is issued to unrelated third parties by bank subsidiaries | [BHCK6556] |
| 4. Other assets acquired in satisfaction of debts previously contracted | [BHCK6557] |
| 5. Securities purchased under agreements to resell offset against securities sold under agreements to repurchase on Schedule HC | [BHCKA288] |
| 6. Assets covered by loss-sharing agreements with the FDIC: | |
| a. Loans and leases (included in Schedule HC, items 4.a and 4.b): | |
| (1) Loans secured by real estate in domestic offices: | |
| (a) Construction, land development, and other land loans: | |
| (1) 1- 4 family residential construction loans | [BHDMK169] |
| (2) Other construction loans and all land development and other land loans | [BHDMK170] |
| (b) Secured by farmland | [BHDMK171] |
| (c) Secured by 1- 4 family residential properties: | |
| (1) Revolving, open-end loans secured by 1- 4 family residential properties and extended under lines of credit | [BHDMK172] |
| (2) Closed-end loans secured by 1- 4 family residential properties: | |
| (a) Secured by first liens | [BHDMK173] |
| (b) Secured by junior liens | [BHDMK174] |
| (d) Secured by multifamily (5 or more) residential properties | [BHDMK175] |

Number (Unrounded)	Dollar Amounts in Thousands
3079521	
	28000
	0
	0
	0
	0
	0
	0
	0
	0
	0
	0

(e) Secured by nonfarm nonresidential properties:			
(1) Loans secured by owner-occupied nonfarm nonresidential properties	[BHDMK176]		0
(2) Loans secured by other nonfarm nonresidential properties	[BHDMK177]		0
(2) Loans to finance agricultural production and other loans to farmers	[BHCKK178]		0
(3) Commercial and industrial loans	[BHCKK179]		0
(4) Loans to individuals for household, family, and other personal expenditures (i.e., consumer loans) (includes purchased paper):			
(a) Credit cards	[BHCKK180]		0
(b) Automobile loans	[BHCKK181]		0
(c) Other consumer loans (includes single payment, installment, all student loans, and all revolving credit plans other than credit cards)	[BHCKK182]		0
(5) All other loans and leases	[BHCKK183]		0
b. Other real estate owned (included in Schedule HC, item 7):			
(1) Construction, land development, and other land in domestic offices	[BHDMK187]		0
(2) Farmland in domestic offices	[BHDMK188]		0
(3) 1- 4 family residential properties in domestic offices	[BHDMK189]		0
(4) Multifamily (5 or more) residential properties in domestic offices	[BHDMK190]		0
(5) Nonfarm nonresidential properties in domestic offices	[BHDMK191]		0
(6) In foreign offices	[BHFNK260]		0
(7) Portion of covered other real estate owned included in items 6.b.(1) through (6) above that is protected by FDIC loss-sharing agreements	[BHCKK192]		0
c. Debt securities (included in Schedule HC, items 2.a and 2.b)	[BHCKJ461]		0
d. Other assets (exclude FDIC loss-sharing indemnification assets)	[BHCKJ462]		0
7. Captive insurance and reinsurance subsidiaries:			
a. Total assets of captive insurance subsidiaries *	[BHCKK193]		0
b. Total assets of captive reinsurance subsidiaries *	[BHCKK194]		0

* Report total assets before eliminating intercompany transactions between the consolidated insurance or reinsurance subsidiary and other offices or consolidated subsidiaries of the reporting holding company.

	Enter "1" for Yes; Enter "0" for No	Text
8. Has the holding company entered into a business combination during the calendar year that was accounted for by the purchase method of accounting? (Enter "1" for Yes; enter "0" for No.) [BHCKC251]	0	
9. Has the holding company restated its financial statements during the last quarter as a result of new or revised Statements of Financial Accounting Standards? [BHCK6689]	0	

(Enter "1" for Yes; enter "0" for No.)

10. Not applicable

11. Have all changes in investments and activities been reported to the Federal Reserve on the Report of Changes in Organizational Structure (FR Y-10)? Holding companies must not leave blank or enter "N/A." The holding company must enter "1" for yes or for no changes to report; or enter "0" for no. If the answer to this question is no, complete the FR Y-10

Name of Holding Company Official [TEXT6428]
Verifying FR Y-10 Reporting

Area Code / Phone Number [TEXT9009]

0	
	Brian A. Ippensen
	217-221-8658

12. Intangible assets other than goodwill:

a. Mortgage servicing assets [BHCK3164]

(1) Estimated fair value of mortgage servicing assets [BHCK6438]

b. Purchased credit card relationships and nonmortgage servicing assets [BHCKB026]

c. All other identifiable intangible assets [BHCK5507]

d. Total (sum of items 12.a, 12.b, and 12.c) (must equal Schedule HC, item 10.b) [BHCT0426]

13. Other real estate owned [BHCT2150]

14. Other borrowed money:

a. Commercial paper [BHCK2309]

b. Other borrowed money with a remaining maturity of one year or less [BHCK2332]

c. Other borrowed money with a remaining maturity of more than one year [BHCK2333]

d. Total (sum of items 14.a, 14.b, and 14.c) (must equal Schedule HC, item 16) [BHCT3190]

Dollar Amounts in Thousands	Dollar Amounts in Thousands
0	0
0	
	0
	752
	752
	413
	0
	28000
	0
	28000

Enter "1" for Yes;
Enter "0" for No

[BHCKB569]

1

15. Does the holding company sell private label or third-party mutual funds and annuities?

Dollar Amounts in
Thousands

16. Assets under management in proprietary mutual funds and annuities [BHCKB570]

The following two questions (items 17 and 18) will be used to determine if the reporting holding company must complete the Consolidated Holding Company Report of Equity Investments in Nonfinancial Companies (FR Y-12). See the line item instructions for further details.

17. Does the holding company hold, either directly or indirectly through a subsidiary or affiliate, any nonfinancial equity investments (see instructions for definition) within a Small Business Investment Company (SBIC) structure, or under section 4(c)(6) or 4 (c)(7) of the Bank Holding Company Act, or pursuant to the merchant banking authority of section 4(k)(4)(H) of the Bank Holding Company Act, or pursuant to the investment authority granted by Regulation K? [BHCKC161]

If the answer to item 17 is no, your organization does not need to complete the FR Y-12. Skip item 18 and proceed to items 19.a and 19.b below. If the answer to item 17 is yes, proceed to item 18.

18. Do your aggregate nonfinancial equity investments (see instructions for definition) equal or exceed the lesser of \$100 million (on an acquisition cost basis) or 10 percent of the holding company's consolidated Tier 1 capital as of the report date? [BHCKC159]

If the answer to both item 17 and item 18 is yes, your organization must complete the FR Y-12. Skip items 19.a and 19.b and proceed to item 20 below.

If the answer to either item 17 or item 18 is no, your organization does not need to complete the FR Y-12. Proceed to items 19.a. and 19.b. below.

Items 19.a. and 19.b. are to be completed by all holding companies that are not required to file the FR Y-12.

19. a. Has the holding company sold or otherwise liquidated its holding of any nonfinancial equity investment since the previous reporting period? [BHCKC700]

b. Does the holding company manage any nonfinancial equity investments for the benefit of others? [BHCKC701]

Enter "1" for Yes;
Enter "0" for No

0
0
0

Dollar Amounts in
Thousands

Memoranda items 20 and 21 are to be completed only by holding companies who have made an effective

20. Balances of broker-dealer subsidiaries engaged in underwriting or dealing securities pursuant to Section 4(k)(4)(E) of the Bank Holding Company Act as amended by the Gramm-Leach-Bliley Act:

b. Balances due from related institutions:

(2) Due from subsidiary banks of the holding company, gross [BHCK4833]

(3) Due from nonbank subsidiaries of the [BHCK4834] holding company, gross

c. Balances due to related institutions:

(1) Due to holding company (parent company only), gross [BHCK5041]

(2) Due to subsidiary banks of the holding company, gross [BHCK5043]

(3) Due to nonbank subsidiaries of the holding company, gross [BHCK5045]

d. Intercompany liabilities reported in items 20.c.(1), 20.c.(2), and 20.c.(3) above that qualify as liabilities subordinated to claims of general creditors [BHCK5047]

21. Net assets of subsidiaries engaged in insurance or reinsurance underwriting pursuant to Section 4(k)(4)(B) of the Bank Holding Company Act as amended by the Gramm-Leach-Bliley Act (12 U.S.C. § 1843(k)(4)(B)) *

* A savings and loan holding company that wishes to engage in financial holding company activities must have an effective election to be treated as a financial holding company or conducts activities under section 10(c)(2)(H)(i) of the HOLA (12 U.S.C. 1467a(c)(2)(H)(i)).

Memoranda item 22 is to be completed by holding companies with total assets of \$30 billion or more.

22. Address (URL) for the reporting holding company's web page that displays risk disclosures, including those about credit and market risk. (Example: www.examplebhc.com/riskdisclosures) [TEXTC497]

Text

Memoranda items 23 and 24 are to be completed by all holding companies.

23. Secured liabilities:

a. Amount of "Federal funds purchased [BHCKF064]
in domestic offices" that are secured
(included in Schedule HC, item 14.a)

b. Amount of "Other borrowings" that are secured (included in Schedule HC-M, item 14.d) [BHCKF065]

Dollar Amounts in
Thousands

C

28000

24. Issuances associated with the U.S.
Department of Treasury Capital Purchase
Program:

- a. Senior perpetual preferred stock or similar items [BHCKG234]
- b. Warrants to purchase common stock or similar items [BHCKG235]

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0

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Series:	FRY9C	Submission Date:	10/28/2016 9:01am ET	
As of Date:	09/30/2016	Submitter:	67534	

Sections: Schedule HC-N - Past Due and Nonaccrual Loans, Leases, and Other Assets

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Consolidated Financial Statements for Holding Companies - FR Y-9C

Schedule HC-N - Past Due and Nonaccrual Loans, Leases, and Other Assets

Dollar Amounts in Thousands

(Column A)
Past due 30
through 89 days
and still accruing(Column B)
Past due 90 days or
more and still
accruing(Column C)
Nonaccrual

1. Loans secured by real estate:

a. Construction, land development, and other
land loans in domestic offices:(1) 1- 4 family residential construction loans [BHCKF172]
[BHCKF174]
[BHCKF176](2) Other construction loans and all land
development and other land loans [BHCKF173]
[BHCKF175]
[BHCKF177]b. Secured by farmland in domestic offices [BHCK3493]
[BHCK3494]
[BHCK3495]c. Secured by 1- 4 family residential properties in
domestic offices:(1) Revolving, open-end loans secured by 1- [BHCK5398]
4 family residential properties and extended [BHCK5399]
under lines of credit [BHCK5400](2) Closed-end loans secured by 1- 4 family
residential properties:(a) Secured by first liens [BHCKC236]
[BHCKC237]
[BHCKC229](b) Secured by junior liens [BHCKC238]
[BHCKC239]
[BHCKC230]d. Secured by multifamily (5 or more) residential [BHCK3499]
properties in domestic offices [BHCK3500]
[BHCK3501]e. Secured by nonfarm nonresidential properties
in domestic offices:(1) Loans secured by owner-occupied [BHCKF178]
nonfarm non-residential properties [BHCKF180]
[BHCKF182]

	(Column A) Past due 30 through 89 days and still accruing	(Column B) Past due 90 days or more and still accruing	(Column C) Nonaccrual
(1) 1- 4 family residential construction loans [BHCKF172] [BHCKF174] [BHCKF176]	0	0	0
(2) Other construction loans and all land development and other land loans [BHCKF173] [BHCKF175] [BHCKF177]	0	0	0
b. Secured by farmland in domestic offices [BHCK3493] [BHCK3494] [BHCK3495]	0	0	104
(1) Revolving, open-end loans secured by 1- [BHCK5398] 4 family residential properties and extended [BHCK5399] under lines of credit [BHCK5400]	239	0	79
(a) Secured by first liens [BHCKC236] [BHCKC237] [BHCKC229]	3293	67	671
(b) Secured by junior liens [BHCKC238] [BHCKC239] [BHCKC230]	0	0	0
d. Secured by multifamily (5 or more) residential [BHCK3499] properties in domestic offices [BHCK3500] [BHCK3501]	0	0	0
(1) Loans secured by owner-occupied [BHCKF178] nonfarm non-residential properties [BHCKF180] [BHCKF182]	113	0	29

(2) Loans secured by other nonfarm nonresidential properties	[BHCKF179] [BHCKF181] [BHCKF183]	348	0	2272
f. In foreign offices	[BHCKB572] [BHCKB573] [BHCKB574]	0	0	0
2. Loans to depository institutions and acceptances of other banks:				
a. U.S. banks and other U.S. depository institutions	[BHCK5377] [BHCK5378] [BHCK5379]	0	0	0
b. Foreign banks	[BHCK5380] [BHCK5381] [BHCK5382]	0	0	0
3. Loans to finance agricultural production and other loans to farmers	[BHCK1594] [BHCK1597] [BHCK1583]	124	0	0
4. Commercial and industrial loans	[BHCK1606] [BHCK1607] [BHCK1608]	327	0	96
5. Loans to individuals for household, family, and other personal expenditures:				
a. Credit cards	[BHCKB575] [BHCKB576] [BHCKB577]	0	0	0
b. Automobile loans	[BHCKK213] [BHCKK214] [BHCKK215]	399	0	0
c. Other consumer loans (includes single payment, installment, all student loans, and revolving credit plans other than credit cards)	[BHCKK216] [BHCKK217] [BHCKK218]	171	3	0
6. Loans to foreign governments and official institutions	[BHCK5389] [BHCK5390] [BHCK5391]	0	0	0
7. All other loans	[BHCK5459] [BHCK5460] [BHCK5461]	0	0	0
8. Lease financing receivables:				
a. Leases to individuals for household, family, and other personal expenditures	[BHCKF166] [BHCKF167] [BHCKF168]	0	0	0
b. All other leases	[BHCKF169] [BHCKF170] [BHCKF171]	0	0	0
9. Debt securities and other assets (exclude other real estate owned and other repossessed assets)	[BHCK3505] [BHCK3506] [BHCK3507]	0	0	691
10. TOTAL (sum of items 1 through 9)	[BHCK5524] [BHCK5525] [BHCK5526]	5014	70	3942
11. Loans and leases reported in items 1 through 8 above which are wholly or partially guaranteed by the U.S. Government (excluding loans and leases covered by loss-sharing agreements with the FDIC)	[BHCKK036] [BHCKK037] [BHCKK038]	0	0	0
a. Guaranteed portion of loans and leases (exclude rebooked "GNMA loans") included in item 11 above	[BHCKK039] [BHCKK040] [BHCKK041]	0	0	0
b. Rebooked "GNMA loans" that have been repurchased or are eligible for repurchase included in item 11 above	[BHCKK042] [BHCKK043] [BHCKK044]	0	0	0
12. Loans and leases in items 1 through 8 above which are covered by loss-sharing agreements with the FDIC:				

f. Portion of covered loans and leases included in items 12.a through 12.e above that is protected by FDIC loss-sharing agreements	[BHCKK102] [BHCKK103] [BHCKK104]
--	--

0	0	0
0	0	0
0	0	0
0	0	0
0	0	0
0	0	0
0	0	0
0	0	0
0	0	0
0	0	0
0	0	0
0	0	0
0	0	0
0	0	0
0	0	0
0	0	0

* Amounts reported in Schedule HC-N, items 1 through 8, above include guaranteed and unguaranteed portions of past due and nonaccrual loans and leases. Report in item 11 below certain guaranteed loans and leases that have already been included in the amounts reported in items 1 through 8.

Dollar Amounts in Thousands		(Column A) Past due 30 through 89 days and still accruing	(Column B) Past due 90 days or more and still accruing	(Column C) Nonaccrual
Memoranda				
1. Loans restructured in troubled debt restructurings included in Schedule HC-N, items 1 through 7, above (and not reported in Schedule HC-C, Memorandum item 1):				
a. Construction, land development, and other land loans in domestic offices:				
(1) 1-4 family residential construction loans	[BHDMK105] [BHDMK106] [BHDMK107]	0	0	0
(2) Other construction loans and all land development and other land loans	[BHDMK108] [BHDMK109] [BHDMK110]	0	0	0
b. Loans secured by 1- 4 family residential properties in domestic offices	[BHCKF661] [BHCKF662] [BHCKF663]	0	0	373
c. Secured by multifamily (5 or more) residential properties in domestic offices	[BHDMK111] [BHDMK112] [BHDMK113]	0	0	0
d. Secured by nonfarm nonresidential properties in domestic offices:				
(1) Loans secured by owner-occupied nonfarm nonresidential properties	[BHDMK114] [BHDMK115] [BHDMK116]	0	0	0
(2) Loans secured by other nonfarm nonresidential properties	[BHDMK117] [BHDMK118] [BHDMK119]	0	0	1305
e. Commercial and industrial loans:				
(1) To U.S. addressees (domicile)	[BHCKK120] [BHCKK121] [BHCKK122]	0	0	0
(2) To non-U.S. addressees (domicile)	[BHCKK123] [BHCKK124] [BHCKK125]	0	0	0
f. All other loans (include loans to individuals for household, family, and other personal expenditures)	[BHCKK126] [BHCKK127] [BHCKK128]	0	0	0
Itemize and describe loan categories included in item 1.f, above that exceed 10 percent of total loans restructured in troubled debt restructurings that are past due 30 days or more or in non-accrual status (sum of Memorandum items 1.a through 1.f, columns A through C):				
(1) Loans secured by farmland in domestic offices	[BHDMK130] [BHDMK131] [BHDMK132]	0	0	0
(2) Loans to finance agricultural production and other loans to farmers	[BHCKK138] [BHCKK139] [BHCKK140]	0	0	0
(3) Loans to individuals for household, family, and other personal expenditures:				
(a) Credit cards	[BHCKK274] [BHCKK275] [BHCKK276]	0	0	0

(b) Automobile loan	[BHCKK277]	0	0	0
	[BHCKK278]			
	[BHCKK279]			
(c) Other consumer loans (includes single payment, installment, all student loans, and revolving credit plans other than credit cards)	[BHCKK280]	0	0	0
	[BHCKK281]			
	[BHCKK282]			
2. Loans to finance commercial real estate, construction, and land development activities (not secured by real estate) included in Schedule HC-N, items 4 and 7 above	[BHCK6558]	0	0	0
	[BHCK6559]			
	[BHCK6560]			
3. Loans and leases included in Schedule HC-N, items 1, 2, 4, 5, 6, 7, and 8 extended to non-U.S. addressees	[BHCK3508]	0	0	0
	[BHCK1912]			
	[BHCK1913]			
4. Not applicable				
5. Loans and leases held-for-sale and loans measured at fair value (included in Schedule HC-N, items 1 through 8 above)				
a. Loans and leases held for sale	[BHCKC240]	0	0	0
	[BHCKC241]			
	[BHCKC226]			
b. Loans measured at fair value:				
(1) Fair value	[BHCKF664]	0	0	0
	[BHCKF665]			
	[BHCKF666]			
(2) Unpaid principal balance	[BHCKF667]	0	0	0
	[BHCKF668]			
	[BHCKF669]			

Dollar Amounts in Thousands

Item 6 is to be reported only by holding companies with total consolidated assets of \$1 billion or more, or with \$2 billion or more in par/notional amounts of off-balance-sheet derivative contracts (as reported in Schedule HC-L, items 11.a through 11.e).

6. Derivative contracts:

Fair value of amounts carried as assets [BHCK3529]
[BHCK3530]

(Column A) Past due 30 through 89 days	(Column B) Past due 90 days or more
0	0

Dollar Amounts in
Thousands

7. Additions to nonaccrual assets during the quarter [BHCKC410]

8. Nonaccrual assets sold during the quarter [BHCKC411]

1964
0

9. Purchased credit-impaired loans accounted for in accordance with FASB ASC 310-30 (former AICPA Statement of Position 03-3):

a. Outstanding balance

b. Amount included in Schedule HC-N, items 1 through 7, above

[BHCKL183]
[BHCKL184]
[BHCKL185]
[BHCKL186]
[BHCKL187]
[BHCKL188]

(Column A) Past due 30 through 89 days and still accruing	(Column B) Past due 90 days or more and still accruing	(Column C) Nonaccrual
0	0	0
0	0	0

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Sections: Schedule HC-P - 1-4 Family Residential Mortgage Banking Activities in Domestic Offices

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Consolidated Financial Statements for Holding Companies - FR Y-9C

Schedule HC-P - 1-4 Family Residential Mortgage Banking Activities in Domestic Offices

Schedule HC-P is to be completed by (1) all holding companies with \$1 billion or more in total assets* and (2) holding companies with less than \$1 billion in total assets at which either 1- 4 family residential mortgage loan originations and purchases for resale** from all sources, loan sales, or quarter-end loans held for sale or trading in domestic offices exceed \$10 million for two consecutive quarters.

1. Retail originations during the quarter of 1- 4 family residential mortgage loans for sale: **

a. Closed-end first liens [BHCKF066]

b. Closed-end junior liens [BHCKF067]

c. Open-end loans extended under lines of credit:

(1) Total commitment under the lines of credit [BHDMF670]

(2) Principal amount funded under the lines of credit [BHDMF671]

2. Wholesale originations and purchases during the quarter of 1- 4 family residential mortgage loans for sale: **

a. Closed-end first liens [BHCKF068]

b. Closed-end junior liens [BHCKF069]

c. Open-end loans extended under lines of credit:

(1) Total commitment under the lines of credit [BHDMF672]

(2) Principal amount funded under the lines of credit [BHDMF673]

3. 1- 4 family residential mortgages sold during the quarter:

a. Closed-end first liens [BHCKF070]

b. Closed-end junior liens [BHCKF071]

Dollar Amounts in
Thousands

0
0
0
0
0
0
0
0
0
0

c. Open-end loans extended under lines of credit:		
(1) Total commitment under the lines of credit	[BHDMF674]	0
(2) Principal amount funded under the lines of credit	[BHDMF675]	0
4. 1- 4 family residential mortgages held for sale or trading at quarter-end (included in Schedule HC, items 4.a and 5):		
a. Closed-end first liens	[BHCKF072]	0
b. Closed-end junior liens	[BHCKF073]	0
c. Open-end loans extended under lines of credit:		
(1) Total commitment under the lines of credit	[BHDMF676]	0
(2) Principal amount funded under the lines of credit	[BHDMF677]	0
5. Noninterest income for the quarter from the sale, securitization, and servicing of 1- 4 family residential mortgage loans (included in Schedule HI, items 5.c, 5.f, 5.g, and 5.i):		
a. Closed-end 1- 4 family residential mortgage loans	[BHCKF184]	0
b. Open-end 1- 4 family residential mortgage loans extended under lines of credit	[BHDMF560]	0
6. Repurchases and indemnifications of 1- 4 family residential mortgage loans during the quarter:		
a. Closed-end first liens	[BHDMF678]	0
b. Closed-end junior liens	[BHDMF679]	0
c. Open-end loans extended under lines of credit:		
(1) Total commitment under the lines of credit	[BHDMF680]	0
(2) Principal amount funded under the lines of credit	[BHDMF681]	0
7. Representation and warranty reserves for 1- 4 family residential mortgage loans sold:		
a. For representations and warranties made to U.S. government agencies and government-sponsored agencies	[BHCKL191]	0
b. For representations and warranties made to other parties	[BHCKL192]	0
c. Total representation and warranty reserves (sum of items 7.a and 7.b)	[BHCKM288]	0

* The \$1 billion asset size test is generally based on the total assets reported as of June 30, 2015.

** Exclude originations and purchases of 1 - 4 family residential mortgage loans that are held for investment.

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Sections: Schedule HC-Q - Assets and Liabilities Measured at Fair Value on a Recurring Basis



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Consolidated Financial Statements for Holding Companies - FR Y-9C

Schedule HC-Q - Assets and Liabilities Measured at Fair Value on a Recurring Basis

Dollar Amounts in Thousands

 (Column A)
Total Fair Value
Reported on
Schedule HC

 (Column B)
LESS: Amounts
Netted in the
Determination of
Total Fair Value

 (Column C)
Level 1 Fair Value
Measurements

 (Column D)
Level 2 Fair Value
Measurements

 (Column E)
Level 3 Fair Value
Measurements

Schedule HC-Q is to be completed by all holding companies.

Assets

1. Available-for-sale securities

 [BHCT1773]
[BHCKG474]
[BHCKG475]
[BHCKG476]
[BHCKG477]

347912

0

0

347912

0

2. Federal funds sold and securities purchased under agreements to resell

 [BHCKG478]
[BHCKG479]
[BHCKG480]
[BHCKG481]
[BHCKG482]

0

0

0

0

0

3. Loans and leases held for sale

 [BHCKG483]
[BHCKG484]
[BHCKG485]
[BHCKG486]
[BHCKG487]

0

0

0

0

0

4. Loans and leases held for investment

 [BHCKG488]
[BHCKG489]
[BHCKG490]
[BHCKG491]
[BHCKG492]

0

0

0

0

0

5. Trading assets:

a. Derivative assets

 [BHCT3543]
[BHCKG493]
[BHCKG494]
[BHCKG495]
[BHCKG496]

0

0

0

0

0

b. Other trading assets

 [BHCKG497]
[BHCKG498]
[BHCKG499]
[BHCKG500]
[BHCKG501]

0

0

0

0

0

(1) Nontrading securities at fair value with changes in fair value reported in current earnings (included in Schedule HC-Q, item 5.b, above)

 [BHCKF240]
[BHCKF684]
[BHCKF692]
[BHCKF241]
[BHCKF242]

0

0

0

0

0

6. All other assets

 [BHCKG391]
[BHCKG392]
[BHCKG395]
[BHCKG396]
[BHCKG804]

0

0

0

0

0

7. Total assets measured at fair value on a recurring basis

 [BHCKG502]
[BHCKG503]
[BHCKG504]

347912

0

0

347912

0

b. [BHCKG541] Nontrading derivative assets [BHCKG542] [BHCKG543] [BHCKG544] [BHCKG545]					
c. [BHTXG546] Description					
c. Amount [BHCKG546] [BHCKG547] [BHCKG548] [BHCKG549] [BHCKG550]					
d. [BHTXG551] Description					
d. Amount [BHCKG551] [BHCKG552] [BHCKG553] [BHCKG554] [BHCKG555]					
e. [BHTXG556] Description					
e. Amount [BHCKG556] [BHCKG557] [BHCKG558] [BHCKG559] [BHCKG560]					
f. [BHTXG561] Description					
f. Amount [BHCKG561] [BHCKG562] [BHCKG563] [BHCKG564] [BHCKG565]					
2. All other liabilities (itemize and describe amounts included in Schedule HC-Q, item 13 that are greater than \$100,000					

and exceed 25 percent of item 13):					
a. Loan commitment (not accounted for as derivatives)	[BHCKF261]				
	[BHCKF689]				
	[BHCKF697]				
	[BHCKF262]				
	[BHCKF263]				
b. Nontrading derivative liabilities	[BHCKG566]				
	[BHCKG567]				
	[BHCKG568]				
	[BHCKG569]				
	[BHCKG570]				
c. Description	[BHTXG571]				
c. Amount	[BHCKG571]				
	[BHCKG572]				
	[BHCKG573]				
	[BHCKG574]				
	[BHCKG575]				
d. Description	[BHTXG576]				
d. Amount	[BHCKG576]				
	[BHCKG577]				
	[BHCKG578]				
	[BHCKG579]				
	[BHCKG580]				
e. Description	[BHTXG581]				
e. Amount	[BHCKG581]				
	[BHCKG582]				
	[BHCKG583]				
	[BHCKG584]				
	[BHCKG585]				
f. Description	[BHTXG586]				
f. Amount	[BHCKG586]				
	[BHCKG587]				
	[BHCKG588]				
	[BHCKG589]				
	[BHCKG590]				

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Sections: Schedule HC-R - Regulatory Capital

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Consolidated Financial Statements for Holding Companies - FR Y-9C
Schedule HC-R - Regulatory Capital

Part I. Regulatory Capital Components and Ratios

Common Equity Tier 1 Capital

- | | Dollar Amounts in
Thousands |
|--|--------------------------------|
| 1. Common stock plus related surplus,
net of treasury stock and unearned
employee stock ownership plan (ESOP)
shares [BHCAP742] | -2518 |
| 2. Retained earnings [BHCT3247] | 81059 |
| 3. Accumulated other comprehensive
income (AOCI) [BHCA8530] | 6663 |

Enter "1" for Yes;
Enter "0" for No

- | | |
|--|---|
| 3. a. AOCI opt-out election (Advanced
approaches institutions must enter "0"
for No.) [BHCAP838] | 1 |
|--|---|

Common Equity Tier 1 Capital

- | | Dollar Amounts in
Thousands |
|---|--------------------------------|
| 4. Common equity tier 1 minority interest
Includable in common equity tier 1 capital [BHCAP839] | 0 |
| 5. Common equity tier 1 capital before
adjustments and deductions (sum of items 1
through 4) [BHCAP840] | 85204 |

Common Equity Tier 1 Capital: Adjustments and
Deductions

- | | |
|---|------|
| 6. LESS: Goodwill net of associated deferred
tax liabilities (DTLs) [BHCAP841] | 3050 |
| 7. LESS: Intangible assets (other than
goodwill and mortgage servicing assets
(MSAs)), net of associated DTLs [BHCAP842] | 451 |
| 8. LESS: Deferred tax assets (DTAs) that
arise from net operating loss and tax credit
carryforwards, net of any related valuation
allowances and net of DTLs [BHCAP843] | 0 |
| 9. AOCI-related adjustments (items 9.a.
through 9.e. are effective January 1, 2015)
(If entered "1" for Yes in item 3.a, complete
only items 9.a through 9.e; if entered "0" for
No in item 3.a, complete only item 9.f): | |
| a. LESS: Net unrealized gains (losses) on [BHCAP844]
available-for-sale securities (if a gain,
report as a positive value; if a loss,
report as a negative value) | 6663 |
| b. LESS: Net unrealized loss on available [BHCAP845]
-for-sale preferred stock classified as an
equity security under GAAP and available
-for-sale equity exposures (report loss as
a positive value) | 0 |
| c. LESS: Accumulated net gains (losses) [BHCAP846]
on cash flow hedges (if a gain, report as
a positive value; if a loss, report as a
negative value) | 0 |
| d. LESS: Amounts recorded in AOCI [BHCAP847]
attributed to defined benefit
postretirement plans resulting from the
initial and subsequent application of the
relevant GAAP standards that pertain to
such plans (if a gain, report as a positive
value; if a loss, report as a negative
value) | 0 |
| e. LESS: Net unrealized gains (losses) on [BHCAP848]
held-to-maturity securities that are
included in AOCI (if a gain, report as a
positive value; if a loss, report as a
negative value) | 0 |
| f. To be completed only by holding [BHCAP849]
companies that entered "0" for No in
item 3.a: LESS: Accumulated net gain
(loss) on cash flow hedges included in
AOCI, net of applicable income taxes,
that relate to the hedging of items that
are not recognized at fair value on the
balance sheet (if a gain, report as a
positive value; if a loss, report as a
negative value) | |
| 10. Other deductions from (additions to)
common equity tier 1 capital before threshold
-based deductions: [BHCAQ258] | 0 |

a. LESS: Unrealized net gain (loss) related to changes in the fair value of liabilities that are due to changes in own credit risk (if a gain, report as a positive value; if a loss, report as a negative value)		
b. LESS: All other deductions from (additions to) common equity tier 1 capital before threshold-based deductions [BHCAP850]		0
11. LESS: Non-significant investments in the capital of unconsolidated financial institutions in the form of common stock that exceed the 10 percent threshold for non-significant investments [BHCAP851]		0
12. Subtotal (item 5 minus items 6 through 11) [BHCAP852]		75040
13. LESS: Significant investments in the capital of unconsolidated financial institutions in the form of common stock, net of associated DTLs, that exceed the 10 percent common equity tier 1 capital deduction threshold [BHCAP853]		0
14. LESS: MSAs, net of associated DTLs, that exceed the 10 percent common equity tier 1 capital deduction threshold [BHCAP854]		0
15. LESS: DTAs arising from temporary differences that could not be realized through net operating loss carrybacks, net of related valuation allowances and net of DTLs, that exceed the 10 percent common equity tier 1 capital deduction threshold [BHCAP855]		0
16. LESS: Amount of significant investments in the capital of unconsolidated financial institutions in the form of common stock, net of associated DTLs; MSAs, net of associated DTLs; and DTAs arising from temporary differences that could not be realized through net operating loss carrybacks, net of related valuation allowances and net of DTLs; that exceeds the 15 percent common equity tier 1 capital deduction threshold [BHCAP856]		0
17. LESS: Deductions applied to common equity tier 1 capital due to insufficient amounts of additional tier 1 capital and tier 2 capital to cover deductions [BHCAP857]		0
18. Total adjustments and deductions for common equity tier 1 capital (sum of items 13 through 17) [BHCAP858]		0
19. Common equity tier 1 capital (item 12 minus item 18) [BHCAP859]		75040
Additional Tier 1 Capital		
20. Additional tier 1 capital instruments plus related surplus [BHCAP860]		10000
21. Non-qualifying capital instruments subject to phase out from additional tier 1 capital [BHCAP861]		0
22. Tier 1 minority interest not included in common equity tier 1 capital [BHCAP862]		0
23. Additional tier 1 capital before deductions (sum of items 20, 21, and 22) [BHCAP863]		10000
24. LESS: Additional tier 1 capital deductions [BHCAP864]		0
25. Additional tier 1 capital (greater of item 23 minus item 24, or zero) [BHCAP865]		10000
Tier 1 Capital		
26. Tier 1 capital (sum of items 19 and 25) [BHCA8274]		85040
Tier 2 Capital		
27. Tier 2 capital instruments plus related surplus [BHCAP866]		
28. Non-qualifying capital instruments subject to phase out from tier 2 capital [BHCAP867]		0
29. Total capital minority interest that is not included in tier 1 capital [BHCAP868]		0
30. a. Allowance for loan and lease losses includable in tier 2 capital [BHCA5310]		7672
b. (Advanced approaches holding companies that exit parallel run only): Eligible credit reserves includable in tier 2 capital [BHCAW5310]		
31. Unrealized gains on available-for-sale preferred stock classified as an equity security under GAAP and available-for-sale equity exposures includable in tier 2 capital [BHCAQ257]		0
32. a. Tier 2 capital before deductions (sum of items 27 through 30.a, plus item 31) [BHCAP870]		7672
b. (Advanced approaches holding companies that exit parallel run only): Tier 2 capital before deductions (sum of items 27 through 29, plus items 30.b and 31) [BHCAW870]		
33. LESS: Tier 2 capital deductions [BHCAP872]		0
34. a. Tier 2 capital (greater of item 32.a minus item 33, or zero) [BHCA5311]		7672
b. (Advanced approaches holding companies that exit parallel run only): Tier 2 capital (greater of item 32.b minus item 33, or zero) [BHCAW5311]		
Total Capital		
35. a. Total capital (sum of items 26 and 34.a) [BHCA3792]		92712
b. (Advanced approaches holding companies that exit parallel run only): Total capital (sum of items 26 and 34.b) [BHCAW3792]		
Total Assets for the Leverage Ratio		
36. Average total consolidated assets [BHCA3368]		910273

to all
assets not
specifically
assigned a
risk-weight
under
Subpart D
of the
Federal
Reserve's
regulatory
capital
rules * and
not
deducted
from tier 1
or tier 2
capital.
Balance
Sheet
Asset
Categories
**

1. Cash [BHCKD957]
and [BHCKS396]
balances [BHCKD958]
due from [BHCKD959]
depository [BHCKS397]
institutions [BHCKD960]
[BHCKS398]

2. Securities:

a. Held-to- [BHCKD961]
maturity [BHCKS399]
securities [BHCKD962]
[BHCKD963]
[BHCKD964]
[BHCKD965]
[BHCKS400]

b. Available- [BHCKD966]
for-sale [BHCKS402]
securities [BHCKD967]
[BHCKD968]
[BHCKD969]
[BHCKD970]
[BHCKS403]
[BHCKS405]
[BHCKS406]
[BHCKH271]
[BHCKH272]

3. Federal
funds sold
and
securities
purchased
under
agreements
to resell:

a. Federal [BHCKD971]
funds sold [BHCKD972]
(in [BHCKD973]
domestic [BHCKS410]
offices) [BHCKD974]
[BHCKS411]

b. [BHCKH171]
Securities [BHCKH172]
purchased
under
agreements
to resell

4. Loans
and leases
held for
sale:

a. [BHCKS413]
Residential [BHCKS414]
mortgage [BHCKH173]
exposures [BHCKS415]
[BHCKS416]
[BHCKS417]
[BHCKH273]
[BHCKH274]

b. High [BHCKS419]
volatility [BHCKS420]
commercial [BHCKH174]
real [BHCKH175]
estate [BHCKH176]
exposures [BHCKH177]
[BHCKS421]
[BHCKH275]
[BHCKH276]

c. [BHCKS423]
Exposures [BHCKS424]
past due [BHCKS425]
90 days [BHCKS426]
or more [BHCKS427]
or on [BHCKS428]
nonaccrual [BHCKS429]
*** [BHCKH277]
[BHCKH278]

d. All [BHCKS431]
other [BHCKS432]
exposures [BHCKS433]
[BHCKS434]
[BHCKS435]
[BHCKS436]
[BHCKS437]
[BHCKH279]
[BHCKH280]

5. Loans and leases, net of unearned income:																			
a. Residential mortgage exposures	[BHCKS439]	70626	0	0			0	69890	736										
	[BHCKS440]																		
	[BHCKH178]																		
	[BHCKS441]																		
	[BHCKS442]																		
	[BHCKS443]																		
	[BHCKH281]																		
	[BHCKH282]																		
b. High volatility commercial real estate exposures	[BHCKS445]	10849	0	0			0	0	0	10849									
	[BHCKS446]																		
	[BHCKH179]																		
	[BHCKH180]																		
	[BHCKH181]																		
	[BHCKH182]																		
	[BHCKS447]																		
	[BHCKH283]																		
	[BHCKH284]																		
c. Exposures past due 90 days or more on nonaccrual	[BHCKS449]	2898	0	0			0	0	0	2898									
	[BHCKS450]																		
	[BHCKS451]																		
	[BHCKS452]																		
	[BHCKS453]																		
	[BHCKS454]																		
	[BHCKS455]																		
	[BHCKH285]																		
	[BHCKH286]																		
d. All other exposures	[BHCKS457]	422823	0	0			4455	19333	399035	0									
	[BHCKS458]																		
	[BHCKS459]																		
	[BHCKS460]																		
	[BHCKS461]																		
	[BHCKS462]																		
	[BHCKS463]																		
	[BHCKH287]																		
	[BHCKH288]																		
6. LESS: Allowance for loan and lease losses	[BHCKS3123]	8601	8601																
	[BHCKY3123]																		
7. Trading Assets	[BHCKD976]	0	0	0			0	0	0	0		0	0	0					
	[BHCKS466]																		
	[BHCKD977]																		
	[BHCKD978]																		
	[BHCKD979]																		
	[BHCKD980]																		
	[BHCKS467]																		
	[BHCKH186]																		
	[BHCKH290]																		
	[BHCKH187]																		
	[BHCKH291]																		
	[BHCKH292]																		
8. All other assets	[BHCKD981]	46634	3167	843			2752	467	39405	0		0	0	0					
	[BHCKS469]																		
	[BHCKD982]																		
	[BHCKD983]																		
	[BHCKD984]																		
	[BHCKD985]																		
	[BHCKH185]																		
	[BHCKH188]																		
	[BHCKS470]																		
	[BHCKS471]																		
	[BHCKH294]																		
	[BHCKH295]																		
a. Separate account bank-owned life insurance	[BHCKH296]																		
	[BHCKH297]																		
b. Default fund contributions to central counterparties	[BHCKH298]																		
	[BHCKH299]																		

* For bank holding companies, 12 CFR Part 217 and 225; and for covered savings and loan holding companies, 12 CFR Part 217.

** All securitization exposures held as on-balance sheet assets of the reporting institution are to be excluded from items 1 through 8 and are to be reported instead in item 9.

*** For loans and leases held for sale, exclude residential mortgage exposures, high volatility commercial real estate exposures, or sovereign exposures that are past due 90 days or more or on nonaccrual.

**** Includes, for example, investments in mutual funds/investment funds, exposures collateralized by securitization exposures or mutual funds, exposures to which the collateral haircut approach is applied, separate account bank-owned life insurance, and default fund contributions to central counterparties.

***** Column K - 250% risk weight is not applicable until the March 31, 2018, report date.

***** For loans and leases, net of unearned income, exclude residential mortgage exposures, high volatility commercial real estate exposures, or sovereign exposures that are past due 90 days or more or on nonaccrual.

***** Includes premises and fixed assets; other real estate owned; investments in unconsolidated subsidiaries and associated companies; direct and indirect investments in real estate ventures; intangible assets; and other assets.

Dollar Amounts in Thousands		(Column A) Totals	(Column B) Adjustments to Totals Reported in Column A	Allocation by Risk- Weight Category (Column Q) 1250%	Total Risk-Weighted Asset Amount by Calculation Methodology (Column T) SSFA *		(Column U) Gross-Up
Securitization Exposures: On-and Off-Balance Sheet							
9. On-balance sheet securitization exposures:							
a. Held-to-maturity securities	[BHCKS475]	0	0	0	0	0	0
	[BHCKS476]						
	[BHCKS477]						
	[BHCKS478]						
	[BHCKS479]						
b. Available-for-sale securities	[BHCKS480]	691	-428	1119	0	0	0
	[BHCKS481]						
	[BHCKS482]						

c. Trading assets	[BHCKS483]					
	[BHCKS484]					
	[BHCKS485]	0	0	0	0	0
	[BHCKS486]					
	[BHCKS487]					
d. All other on-balance sheet securitization exposures	[BHCKS488]					
	[BHCKS489]					
	[BHCKS490]	0	0	0	0	0
	[BHCKS491]					
	[BHCKS492]					
10. Off-balance sheet securitization exposures	[BHCKS493]					
	[BHCKS494]					
	[BHCKS495]	1967	1967		1191	0
	[BHCKS496]					
	[BHCKS497]					
	[BHCKS498]					
	[BHCKS499]					

* Simplified Supervisory Formula Approach.

Dollar Amounts in Thousands		(Column A) Totals From Schedule HC	(Column B) Adjustments to Totals Reported in Column A	Allocation by Risk-Weight Category												
				(Column C) 0%	(Column D) 2%	(Column E) 4%	(Column F) 10%	(Column G) 20%	(Column H) 50%	(Column I) 100%	(Column J) 150%	(Column K) 250% **	(Column L) 300%	(Column M) 400%	(Column N) 600%	(Column O) 625%
11.	[BHCT2170]	952608	5313	46306				356337	89862	439924	13747		0	0	0	
Total	[BHCKS500]															
balance	[BHCKD987]															
sheet	[BHCKD988]															
assets	[BHCKD989]															
*	[BHCKD990]															
	[BHCKS503]															
	[BHCKS505]															
	[BHCKS506]															
	[BHCKS507]															
	[BHCKS510]															
	[BHCKH300]															

* For each of columns A through R of item 11, report the sum of items 1 through 9. For item 11, the sum of columns B through R must equal column A.

** Column K - 250% risk weight is not applicable until the March 31, 2018, report date.

Dollar Amounts in Thousands	(Column A) Face, Notional, or Other Amount	CCF *	(Column B) Credit Equivalent Amount **/**	Allocation by Risk-Weight Category									
				(Column C) 0%	(Column D) 2%	(Column E) 4%	(Column F) 10%	(Column G) 20%	(Column H) 50%	(Column I) 100%	(Column J) 150%	(Column O) 625%	(Column P) 937.5%
Derivatives, Off- Balance Sheet Items, and Other Items Subject to Risk- Weighting (Excluding Securitization Exposure) *****													
12. [BHCKD991]	0		0	0				0	0	0	0		
Financial standby [BHCKD992]													
letters of [BHCKD993]		1.0											
credit [BHCKD994]													
[BHCKD995]													
[BHCKD996]													
[BHCKS511]													
13. [BHCKD997]	967		484	0				0	0	484	0		
Performance [BHCKD998]													
standby [BHCKD999]													
letters of [BHCKG603]		0.5											
credit and [BHCKG604]													
transaction [BHCKG605]													
-related [BHCKS512]													
contingent items													
14. [BHCKG606]	0		0	0				0	0	0	0		
Commercial [BHCKG607]													
and [BHCKG608]													
similar [BHCKG609]													
letters of [BHCKG610]		0.2											
credit [BHCKG611]													
with an [BHCKS513]													
original maturity of one year or less													
15. [BHCKG612]	0		0	0				0	0	0	0		
Retained [BHCKG613]													
recourse [BHCKG614]		1.0											
on small [BHCKG615]													
business [BHCKG616]													
obligations [BHCKG617]													
sold with [BHCKS514]													
recourse													
16. Repo- [BHCKS515]	72477	1.0	72477	72477	0	0		0	0	0	0		
style [BHCKS516]													
transaction [BHCKS517]													
***** [BHCKS518]													
[BHCKS519]													
[BHCKS520]													

* Credit conversion factor.
 ** Column A multiplied by credit conversion factor. For each of items 12 through 21, the sum of columns C through J plus column R must equal column B.
 *** For items 18.b. and 19, column A multiplied by credit conversion factor.
 **** Includes, for example, exposures collateralized by securitization exposures or mutual funds and exposures to which the collateral haircut approach is applied.
 ***** All derivatives and off-balance sheet items that are securitization exposures are to be excluded from items 12 through 21 and are to be reported instead in item 10.
 ***** Includes securities purchased under agreements to resell (reverse repos), securities sold under agreements to repurchase (repos), securities borrowed, and securities lent.
 ***** Excludes unused commitments to asset-backed commercial paper conduits.
 ***** For item 22, the sum of columns C through Q must equal column A.

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24. Risk weight factor

25. Risk-weighted assets by risk-weight category (for each column, item 23 multiplied by item 24)	[BHCKG634]	0	0	0	0	71267	44973	462922	20621		0	0	0
	[BHCKS569]												
	[BHCKS570]												
	[BHCKS571]												
	[BHCKG635]												
	[BHCKG636]												
	[BHCKG637]												
	[BHCKS572]												
	[BHCKS574]												
	[BHCKS575]												
	[BHCKS576]												
	[BHCKS577]												
	[BHCKS578]												
	[BHCKS579]												

* Column K - 250% risk weight is not applicable until the March 31, 2018, report date.

Dollar Amounts in Thousands

26. Risk-weighted assets for purposes of calculating the allowance for loan and lease losses 1.25 percent threshold [BHCKS580]
27. Standardized market-risk weighted assets (applicable only to holding companies that are covered by the market risk capital rules) [BHCKS581]
28. Risk-weighted assets before deductions for excess allowance of loan and lease losses and allocated risk transfer risk reserve * [BHCKB704]
29. LESS: Excess allowance for loan and lease losses [BHCKA222]
30. LESS: Allocated transfer risk reserve [BHCK3128]
31. Total risk-weighted assets (item 28 minus items 29 and 30) [BHCKG641]

Totals
613771
0
614962
914
0
614048

* Sum of items 2.b. through 20, column S; items 9.a., 9.b., 9.c., 9.d., and 10, columns T and U; item 25, columns C through Q; and item 27 (if applicable).

Dollar Amounts in Thousands

Memoranda

1. Current credit exposure across all derivative contracts covered by the regulatory capital rules [BHCKG642]

0

Dollar Amounts in Thousands

2. Notional principal amounts of over-the-counter derivative contracts:

a. Interest rate

[BHCKS582]

With a remaining maturity of	(Column A) One year or less	(Column B) Over one year through five years	(Column C) Over 5 years
	3345	0	0

b. Foreign exchange rate and gold

[BHCKS583]

	0	0	0
--	---	---	---

c. Credit (investment grade reference asset)

[BHCKS584]

	0	0	0
--	---	---	---

d. Credit (non-investment grade reference asset)

[BHCKS585]

	0	0	0
--	---	---	---

e. Equity

[BHCKS586]

	0	0	0
--	---	---	---

f. Precious metals (except gold)

[BHCKS587]

	0	0	0
--	---	---	---

g. Other

[BHCKS588]

	0	0	0
--	---	---	---

3. Notional principal amounts of centrally cleared derivative contracts:

a. Interest rate

[BHCKS589]

	0	0	0
--	---	---	---

b. Foreign exchange rate and gold

[BHCKS590]

	0	0	0
--	---	---	---

c. Credit (investment grade reference asset)

[BHCKS591]

	0	0	0
--	---	---	---

d. Credit (non-investment grade reference asset)

[BHCKS592]

	0	0	0
--	---	---	---

e. Equity

[BHCKS593]

	0	0	0
--	---	---	---

f. Precious metals (except gold)

[BHCKS594]

	0	0	0
--	---	---	---

g. Other

[BHCKS595]

	0	0	0
--	---	---	---

Dollar Amounts in Thousands

[BHCKS600]

0

4. Standardized market risk-weighted
assets attributable to specific risk
(included in Schedule HC-R, item 27)

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As of Date:	09/30/2016	Submitter:	67534	

Sections: Schedule HC-S - Servicing, Securitization, and Asset Sale Activities

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Consolidated Financial Statements for Holding Companies - FR Y-9C
 Schedule HC-S - Servicing, Securitization, and Asset Sale Activities

Dollar Amounts in Thousands	(Column A) 1 - 4 Family Residential Loans	(Column B) Home Equity Lines	(Column C) Credit Card Receivables	(Column D) Auto Loans	(Column E) Other Consumer Loans	(Column F) Commercial and Industrial Loans	(Column G) All Other Loans, All Leases, and All Other Assets
Securitization Activities							
1. [BHCKB705] Outstanding [BHCKB706] principal [BHCKB707] balance of [BHCKB708] assets [BHCKB709] sold and [BHCKB710] securitized [BHCKB711] with servicing retained or with recourse or other seller- provided credit enhancements	0	0	0	0	0	0	0
2. Maximum amount of credit exposure arising from recourse or other seller- provided credit enhancements provided to structures reported in item 1							

in the form of:							
a. Credit enhancing interest-only strips (included in HC-B, HC-D, or HC-F)	[BHCKB712] 0	[BHCKB713] 0	[BHCKB714] 0	[BHCKB715] 0	[BHCKB716] 0	[BHCKB717] 0	[BHCKB718] 0
b. Subordinated securities and other residual interests	[BHCKC393] 0	[BHCKC394] 0	[BHCKC395] 0	[BHCKC396] 0	[BHCKC397] 0	[BHCKC398] 0	[BHCKC399] 0
c. Standby letters of credit and other enhancements	[BHCKC400] 0	[BHCKC401] 0	[BHCKC402] 0	[BHCKC403] 0	[BHCKC404] 0	[BHCKC405] 0	[BHCKC406] 0
3. Reporting institution's unused commitments to provide liquidity to structures reported in item 1	[BHCKB726] 0	[BHCKB727] 0	[BHCKB728] 0	[BHCKB729] 0	[BHCKB730] 0	[BHCKB731] 0	[BHCKB732] 0
4. Past due loan amounts included in item 1:							
a. 30-89 days past due	[BHCKB733] 0	[BHCKB734] 0	[BHCKB735] 0	[BHCKB736] 0	[BHCKB737] 0	[BHCKB738] 0	[BHCKB739] 0
b. 90 days or more past due	[BHCKB740] 0	[BHCKB741] 0	[BHCKB742] 0	[BHCKB743] 0	[BHCKB744] 0	[BHCKB745] 0	[BHCKB746] 0
5. Charge-offs and recoveries on assets sold and securitized with servicing retained or with recourse or other seller-provided credit							

enhancements (calendar year-to- date):							
a. Charge [BHCKB747]	0	0	0	0	0	0	0
-offs [BHCKB748]							
[BHCKB749]							
[BHCKB750]							
[BHCKB751]							
[BHCKB752]							
[BHCKB753]							
b. [BHCKB754]	0	0	0	0	0	0	0
Recoveries [BHCKB755]							
[BHCKB756]							
[BHCKB757]							
[BHCKB758]							
[BHCKB759]							
[BHCKB760]							
6. Amount of ownership (or seller's) interests carried as:							
a. [BHCKB761]		0	0			0	
Securities [BHCKB762]							
(included [BHCKB763]							
in HC-B)							
b. Loans [BHCKB500]		0	0			0	
(included [BHCKB501]							
in HC-C) [BHCKB502]							
7. Past due loan amounts included in interests reported in item 6.a:							
a. 30-89 [BHCKB764]		0	0			0	
days past [BHCKB765]							
due [BHCKB766]							
b. 90 [BHCKB767]		0	0			0	
days or [BHCKB768]							
more past [BHCKB769]							
due							
8. Charge- offs and recoveries on loan amounts included in interests reported in item 6.a (calendar year-to- date):							
a. Charge [BHCKB770]		0	0			0	
-offs [BHCKB771]							
[BHCKB772]							
b. [BHCKB773]		0	0			0	
Recoveries [BHCKB774]							
[BHCKB775]							

For Securitization Facilities Sponsored By or Otherwise Established By Other Institutions							
9. [BHCKB776] Maximum [BHCKB777] amount of [BHCKB778] credit [BHCKB779] exposure [BHCKB780] arising [BHCKB781] from [BHCKB782] credit enhancements provided by the reporting institution to other institutions' securitization structures in the form of standby letters of credit, purchased subordinated securities, and other enhancements	0	0	0	0	0	0	0
10. [BHCKB783] Reporting [BHCKB784] institution's [BHCKB785] unused [BHCKB786] commitment [BHCKB787] to provide [BHCKB788] liquidity to [BHCKB789] other institutions' securitization structures	0	0	0	0	0	0	0
Asset Sales							
11. Assets [BHCKB790] sold with [BHCKB791] recourse [BHCKB792] or other [BHCKB793] seller- [BHCKB794] provided [BHCKB795] credit [BHCKB796] enhancements and not securitized	5220	0	0	0	0	0	0
12. [BHCKB797] Maximum [BHCKB798] amount of [BHCKB799] credit [BHCKB800] exposure [BHCKB801] arising [BHCKB802] from [BHCKB803] recourse or other	1967	0	0	0	0	0	0

seller-provided credit enhancements provided to assets reported in item 11

--	--	--	--	--	--	--

Memoranda

1. Small business obligations transferred with recourse under Section 208 of the Riegle Community Development and Regulatory Improvement Act of 1994:

a. Outstanding principal balance [BHCKA249]

b. Amount of retained recourse on these obligations as of the report date [BHCKA250]

2. Outstanding principal balance of assets serviced for others (includes participations serviced for others):

a. 1- 4 family residential mortgages serviced with recourse or other servicer-provided credit enhancements [BHCKB804]

b. 1- 4 family residential mortgages serviced with no recourse or other servicer-provided credit enhancements [BHCKB805]

c. Other financial assets * [BHCKA591]

d. 1- 4 family residential mortgages serviced for others that are in process of foreclosure at quarter-end (includes closed-end and open-end loans) [BHCKF699]

3. Asset-backed commercial paper conduits:

a. Maximum amount of credit exposure arising from credit enhancements provided to conduit structures in the form of standby letters of credit, subordinated securities, and other enhancements:

(1) Conduits sponsored by the bank, a bank affiliate, or the holding company [BHCKB806]

(2) Conduits sponsored by other unrelated institutions [BHCKB807]

b. Unused commitments to provide liquidity to conduit structures:

(1) Conduits sponsored by the bank, a bank affiliate, or the holding company [BHCKB808]

(2) Conduits sponsored by other unrelated institutions [BHCKB809]

4. Outstanding credit card fees and finance charges (included in Schedule HC-S, item 1, column C) ** [BHCKC407]

Dollar Amounts in Thousands

0
0
5220
185831
14475
413
0
0
0
0
0

* Memorandum item 2.c is to be completed if the principal balance of other financial assets serviced for others is more than \$10 million.

** Memorandum item 4 is to be completed by (1) holding companies that, together with affiliated institutions, have outstanding credit card receivables (as defined in the instructions) that exceed \$500 million as of the report date or (2) holding companies that on a consolidated basis are credit card specialty holding companies (as defined in the instructions).

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Consolidated Financial Statements for Holding Companies - FR Y-9C
Schedule HC-V - Variable Interest Entities

(Column C)
Other VIEs

k. Other assets

[illegible]

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Sections: Notes to the Balance Sheet (Other)

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Consolidated Financial Statements for Holding Companies - FR Y-9C
Notes to the Balance Sheet (Other)

Enter in the lines provided below any additional information on specific line items on the balance sheet or its supporting schedules that the holding company wishes to explain, that has been separately disclosed in the holding company's quarterly reports to its shareholders, in its press releases, or on its quarterly reports to the Securities and Exchange Commission (SEC). Also include any transactions which previously would have appeared as footnotes to Schedules HC through HC-S.

Each additional piece of information disclosed should include the appropriate reference to schedule and item number, as well as a description of the additional information and the dollar amount (in thousands of dollars) associated with that disclosure.

1. Outstanding issuances of perpetual preferred stock associated with the U.S. Department of Treasury Community Development Capital Initiative (CDCI) program included in Schedule HC, item 23, Perpetual preferred stock and related surplus (for Subchapter S corporations, outstanding issuances of subordinated debt securities associated with CDCI included in Schedule HC, item 19.a,

Text	Dollar Amounts in Thousands

Subordinated notes and
debentures)

1. Amount

[BHCKK141]

2. Description

[TEXT5357]

2. Amount

[BHCK5357]

3. Description

[TEXT5358]

3. Amount

[BHCK5358]

4. Description

[TEXT5359]

4. Amount

[BHCK5359]

5. Description

[TEXT5360]

5. Amount

[BHCK5360]

6. Description

[TEXTB027]

6. Amount

[BHCKB027]

7. Description

[TEXTB028]

7. Amount

8. Description

8. Amount

9. Description

9. Amount

10. Description

10. Amount

11. Description

11. Amount

12. Description

12. Amount	[BHCKB033]		
13. Description	[TEXTB034]		
13. Amount	[BHCKB034]		
14. Description	[TEXTB035]		
14. Amount	[BHCKB035]		
15. Description	[TEXTB036]		
15. Amount	[BHCKB036]		
16. Description	[TEXTB037]		
16. Amount	[BHCKB037]		
17. Description	[TEXTB038]		
17. Amount	[BHCKB038]		
18. Description	[TEXTB039]		



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Sections: Notes to the Balance Sheet - Predecessor Financial Items

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Consolidated Financial Statements for Holding Companies - FR Y-9C**Notes to the Balance Sheet - Predecessor Financial Items**

For holding companies involved in a business combination(s) during the quarter, provide on the lines below quarterly average information for any acquired company(ies) with aggregated assets of \$10 billion or more or 5 percent of the reporting holding company's total consolidated assets as of the previous quarter-end, whichever is less.

- | | |
|--|------------|
| 1. Average loans and leases (net of unearned income) | [BHBC3516] |
| 2. Average earning assets | [BHBC3402] |
| 3. Average total consolidated assets | [BHBC3368] |
| 4. Average equity capital | [BHBC3519] |

Dollar Amounts in
Thousands

Modify

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